1 IN THE UNITED STATES DISTRICT COURT FOR THE WESTERN DISTRICT OF PENNSYLVANIA 2 3 MARK BREAKIRON, 4 **PETITIONER** 5 VS. CIVIL ACTION NO. 00-300 6 MARTIN HORN, COMMISSIONER, PENNSYLVANIA DEPARTMENT OF CORRECTIONS, 8 RESPONDENTS 9 10 **PROCEEDINGS** Transcript of EVIDENTIARY HEARING, commencing on FRIDAY, 11 FEBRUARY 2, 2006, AT 9:00 A.M., in the United States District Court, U.S. Courthouse, Fifth Floor, Pittsburgh, 12 Pennsylvania, before the HONORABLE THOMAS M. HARDIMAN, UNITED STATES DISTRICT COURT JUDGE. 13 **APPEARANCES:** 14 FOR THE PETITIONER: By: Stuart B. Lev, Esquire Tricia Russell, Esquire 15 Defender Association of Philadelphia 601 Walnut Street 16 The Curtis Center, Suite 545-W 17 Philadelphia, Pennsylvania 19106 FOR THE RESPONDENTS: By: Christopher D. Carusone, Esquire Senior Deputy Attorney General Office of the Attorney General 19

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1 FRIDAY MORNING SESSION, FEBRUARY 2, 2007 9:00 A.M.

- 2 - - -
- 3 THE COURT: Good morning.
- 4 MR. LEV: Good morning, Your Honor.
- 5 MR. CARUSONE: Good morning, Your Honor.
- 6 MR. LEV: I'm Stuart Lev from the Federal Defender
- in Philadelphia, in behalf of Mr. Breakiron.
- MS. RUSSELL: I'm Tricia Russell from the Federal 8
- Public Defender Office, Capital Litigation Unit, located in
- Pittsburgh. 10
- THE COURT: I suppose I ought to move your 11
- admission. I should say, someone should move your admission.
- I ought to admit you before we do anything further.
- 14 MR. CARUSONE: Yes, Your Honor. Okay. Actually,
- my sponsor is not present right now, if it's okay --
- 16 MR. LEPORE: I would be glad to move for the
- admission of Mr. Stuart Lev. I am a member of this district.
- 18 THE COURT: Okay. Would you just spell your name,
- for the record, please?

- MR. LEPORE: First name, Alphonse, A-L-P-H-O-N-S-E,
- 21 middle initial P, last name Lepore, L-E-P-O-R-E.
- THE COURT: All right. Can you attest to the
- 23 fitness and character of Mr. Carusone to become a member of
- 24 the Bar?
- MR. LEPORE: Yes, I can, Your Honor.

THE COURT: How long have you known Mr. Carusone?

- 2 MR. LEPORE: For the length of this case, but he's
- 3 evidenced to be of good character and a strong knowledge of
- 4 the law.
- 5 THE COURT: Thank you.
- 6 All right. Mr. Carusone, do you wish to swear or
- 7 affirm?
- 8 MR. CARUSONE: Swear.
- 9 THE COURT: All right.
- MR. LEV: Judge, Your Honor, before you do, I am
- 11 not a member of the Western District and I did not make
- 12 arrangements with the Clerk to be sworn in today. So, --
- 13 THE COURT: All right. Well, I could administer
- 14 the oath to you, jointly.
- MR. LEV: If you would like to do that jointly,
- 16 I'll make arrangements with the Clerk.
- MS. RUSSELL: I am happy to sponsor Mr. Lev. I've
- 18 known him for three years and he's been one of the most
- 19 wonderful attorneys I've ever worked with.

25 word, I, you should state your own names, and after that you

- 1 can repeat everything I say.
- 2 (Whereupon, the oath was administered by the
- 3 Court.)
- 4 THE COURT: Gentlemen, welcome.
- 5 MR. CARUSONE: Thank you, Your Honor.
- 6 MR. LEV: Thank you, Your Honor.
- 7 THE COURT: We are pleased to have you here in the
- 8 Western District of Pennsylvania.
- 9 Are there any matters that we ought to take up
- 10 while we are awaiting the arrival of the first in-custody
- 11 witness?
- MR. LEV: First, Your Honor, I just want to thank
- 13 you for the courtesy that you have shown. I know
- 14 Mr. Carusone feels the same, but Mr. Carusone and I thank you
- 15 for the scheduling of the hearing over the last few months.
- The only matter I would have is to ask, Your Honor,
- 17 if it would be helpful for me to spend a minute or two just
- 18 putting the hearing today into the context that I think it
- 19 fits in within the overall scheme of this case.

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- THE COURT: I think that would be useful. And I
- 21 will give Mr. Carusone a chance to respond.
- Go ahead, Mr. Lev.
- MR. LEV: Thank you. Your Honor, this is, of
- 24 course, a capital habeas corpus case. Mr. Breakiron was
- 25 convicted of the murder of Saundra Martin, sentenced to death

- 1 in Pennsylvania back in 1988. We are here and at the time of
- 2 trial, the defendant in the case, Mr. Breakiron, has never
- 3 denied committing the offense. The question has always been
- 4 the degree of homicide and his intent of the crime.
- 5 He presented, I think, badly, and that's another
- 6 issue not before us today, an intoxication defense at the
- 7 time of the trial seeking to reduce his degree of
- 8 culpability. One of the key witnesses at the time of trial
- 9 was a man named Ellis Price, who was an inmate in the jail
- 10 with Mr. Breakiron at the -- prior to Mr. Breakiron's trial.
- Mr. Price testified, in short, during the course of
- 12 the trial that Mr. Breakiron confessed his guilt to him,
- 13 provided some of the details of what happened. Mr. Price's
- 14 testimony was extremely important, in my view, to the
- 15 Commonwealth because it was the only evidence the
- 16 Commonwealth presented of any planning, premeditated design,
- 17 that was contrary to the idea of intoxication and a sudden
- 18 unprovoked attack that might have reduced the culpability of
- 19 the offense. That's why Mr. Price's testimony becomes so

- 20 important within the context of the scheme of the case.
- Our initial habeas corpus petition to this Court
- 22 claimed four -- in that petition we raised a Brady,
- 23 ineffective assistance of counsel claim. That raised three
- 24 items that we thought were either not disclosed, were
- 25 testified wrongly, and were not corrected by the Commonwealth

at the time of trial.

- Those three things were, one, Mr. Price's actual
- 3 prior record which Mr. Price had described as an assault in

- 4 Michigan, which, under, in fact, his conviction was for
- 5 assault with intent to commit armed robbery. Case much more
- 6 likely a robbery in Pennsylvania than an assault charge
- 7 crimen falsi case as opposed to non crimen falsi that was not
- 8 corrected.
- 9 We also alleged Mr. Price received favorable
- 10 treatment following his statement to the Commonwealth
- 11 implicating Mr. Breakiron, that favorable treatment being
- 12 Commonwealth's decision not to appeal the grant of an arrest
- 13 of judgment. Mr. Price had recently been tried by a jury,
- 14 convicted of aggravated assault and attempted homicide. An
- 15 arrest of judgment had been granted and Mr. Price had made a
- 16 statement to the police and then the Commonwealth did not
- 17 appeal the arrest of judgment.
- That, to us, is a benefit conferred on Mr. Price.
- 19 Whether it was a deal or not, we'll talk about today.

- THE COURT: That all depends on the reason why the
- 21 arrest of judgment was issued in the first place and the
- 22 reason why an appeal was not taken; correct?
- MR. LEV: In part, it does. I think that it's the
- 24 decision not to appeal that is, is a benefit, regardless.
- But whether or not it's a reasonable benefit, or

- 1 trial strategy, or something related to this case, is one of
- 2 the questions before the Court.
- THE COURT: Even assuming that's a benefit, is that
- 4 the type of benefit that would be Brady material? Because
- 5 the arrest of judgment was a matter of public record and the
- 6 failure to appeal was a matter of public record. So, why
- 7 would some disclosure be required there?
- 8 MR. LEV: Well, I think there's two answers to
- 9 that, Your Honor. One is, is that is, that in the
- 10 alternative, that if it's not Brady material, there is an
- 11 ineffective assistance of counsel underlying, that counsel
- 12 hadn't done his homework to fully investigate Mr. Price's
- 13 record and circumstances and bring them out before the jury.
- 14 Two, I think Brady law is clear that it's -- that
- 15 if there is Brady material, exculpatory evidence, impeachment
- 16 evidence in the Commonwealth's possession, they have a duty
- 17 to disclose regardless of the could have been otherwise
- 18 discovered by the defense or not. Banks v. Drecky, U.S.
- 19 Supreme Court, said that the Brady process is not a hide and

- 20 seek kind of game. It's the Commonwealth's duty to disclose,
- 21 regardless of the defense. So, whether it's Brady or whether
- 22 it's ineffective assistance, they're both covered in that
- 23 initial claim for --
- 24 THE COURT: Let me just challenge the other thing
- 25 you just said, Mr. Lev. I think when you began you indicated

- that the only evidence of your client's intent was the
- testimony from Mr. Price. But isn't that contrary to
- footnote 11 in the Supreme Court's opinion in Breakiron II,
- which was reported at 556 PA 519? In that footnote, the
- Supreme Court stated, in addition to the facts, the guilt was
- overwhelming, which is not really the issue here because you
- have conceded that the crime was committed by your client.
- But, further on, the Supreme Court said that 8
- Mr. Breakiron testified that he recalls Miss Martin being
- stabbed and that he pulled the knife from her back. He then
- admitted to dragging her body from the bar and taking it to 11
- his grandparents to dispose of the body.
- 13 Breakiron admits that he took the money from the
- bar. Breakiron's testimony did not suggest that he was so
- intoxicated that he could not walk, drive, and dispose of the
- body. Isn't that the Supreme Court indicating that there was
- other evidence of intent based upon the facts at trial, in 17
- addition to whatever Ellis Price testified to?
- 19 MR. LEV: Well, I think, in part, and maybe I

- 20 should correct myself and say this. Ellis Price was the most
- 21 direct evidence of intent that was presented. I think the
- 22 Commonwealth could rightfully argue that the circumstantial
- 23 evidence of the stabbing, and the hitting with the one
- 24 object, there's also -- we would allow inference of intent to
- 25 be drawn circumstantially. I think that's what the Supreme

1 Court's referring to as to Mr. Breakiron's testimony. I

- 2 don't think the Pennsylvania Supreme Court gets it quite
- 3 right.
- 4 Mr. Breakiron testified that he didn't really
- 5 remember exactly what happened at the time of the killing.
- 6 He remembered being in the bar, drinking. And then he
- 7 remembered waking up next to the body with the knife in it,
- 8 then admitted all the post-stabbing cover-up things that are
- 9 described by the Court.
- But in terms of what actually happened, during the
- 11 time in which the murder occurred, Mr. Breakiron's testimony
- 12 was that he did not recall because of alcohol state of mind
- 13 at the time. That was the basis for the intoxication defense
- 14 that was presented.
- 15 THE COURT: And in reviewing this case on habeas
- 16 corpus, am I supposed to credit his testimony or am I
- 17 supposed to view the evidence in the light most favorable to
- 18 the verdict winner?
- MR. LEV: Part of that, I think, depends on the

- 20 nature of the issue and the nature of, of the question that
- 21 you are being faced. For example, Your Honor, if, if we're
- 22 looking at a, at a claim of ineffective assistance of
- 23 counsel, one of the elements that would have to be proved is
- 24 the prejudice part of that.
- I think, when you look at the prejudice prong, the

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- 1 Strickman standard requires you to kind of look, look at all
- 2 the evidence, neither credit the defense, nor, nor the
- 3 prosecution, neither assume the truth of either. But if
- 4 there were an error that counsel made, you would then have to
- 5 weigh what possible effect that error would have on the
- 6 deliberations of the jury in terms of their verdict.
- 7 So, it wouldn't be necessary if you don't accept
- 8 all the prosecution's evidence as true. If it were a
- 9 sufficiency evidence issue, of course, you would accept the
- 10 evidence and in the view of the verdict winner. So, I think
- 11 it depends on the nature of the issue and the nature of the
- 12 questions being presented to you.
- Going back to put it in context. The third part of
- 14 the original claim was for a claim that Ellis Price had
- 15 originally told the police that Mr. Breakiron admitted to him
- 16 that he was intoxicated at the time and that that information
- 17 was left out of the police report that was provided.
- 18 Those three things that we have been talking about
- 19 so far were exhausted in State court and, and came here in

- 20 federal court as exhausted claims. They were exhausted in
- 21 Breakiron III, the second PCRA proceeding.
- After that, we came across additional information
- 23 that suggested that Ellis Price had approached the
- 24 Commonwealth in hopes of obtaining some kind of benefit and
- 25 some kind of reward in exchange for his testimony. At trial,

1 Mr. Price denied that he had any interest, that he had asked

- 2 for, nor received any type of reward or benefit in exchange
- 3 for his testimony. The information we came up with was from
- 4 inmates who had been in Fayette County Jail with Mr. Price at
- 5 the time who provided affidavits supporting the claim that
- 6 this was all part of the plan, to try to get some benefits in
- 7 exchange for his testimony.
- 8 That information was new and had not been presented
- 9 in state court. We agree with the Commonwealth that there
- 10 was no remedy available in state court. We pled it as an
- 11 amendment to claim four as an additional Brady claim. And
- 12 it's that part of the claim, as I understand, of what we're
- 13 here today to do, looking at whether there's cause and
- 14 prejudice to overcome the default for not having raised it in
- 15 state court before.
- And, as Your Honor mentioned on the phone last
- 17 week, that cause and prejudice tested our view. Conflates
- 18 with, ultimately, the merits of the claim that's judged by
- 19 the same standard as merits of the Brady claim would be. So,

- 20 that is my understanding of where we are and what we're doing
- 21 today.
- THE COURT: Thank you, Mr. Lev.
- Mr. Carusone.
- MR. CARUSONE: Your Honor, just briefly. You had
- 25 pointed to the Pennsylvania Supreme Court opinion in

- Document 168
- 1 Breakiron II and recited a number of facts that I agree with
- 2 this Court are inconsistent with this idea that Mr. Breakiron
- 3 was so intoxicated that he couldn't intend to kill.
- 4 I want to point out, you had asked Mr. Lev about
- 5 how is this Court to view those findings of fact. And as
- 6 this Court is probably aware, under 28 U.S.C., Section 2254,
- 7 which is the habeas statute, this Court is required to accept
- 8 those factual findings as correct and to presume them as
- 9 correct, unless the petitioner can demonstrate by clear and
- 10 convincing evidence that they're not good. It would be
- 11 preposterous to do so, since many of those details came from
- 12 Mr. Breakiron's own mouth during the testimony at the trial.
- One other thing that I want to point out. Near the
- 14 end, Mr. Lev indicated he made certain statements about what
- 15 Ellis Price said during his testimony during the trial. I
- 16 ask the Court to review Ellis Price's testimony very
- 17 carefully, if you would.
- 18 THE COURT: Because there is a distinction between
- 19 a man who says, I'm looking for a deal and a man who's got a

- 20 deal.
- MR. CARUSONE: Exactly right. And, you know, was
- 22 there any testimony that Ellis Price said -- did Ellis Price
- 23 deny during trial that he was looking for a deal. I don't
- 24 believe he was ever questioned about that. He was questioned
- 25 about do -- did you have a deal with the DA? No. He was

1 asked that numerous times. I ask you to carefully look at

- 2 that in assessing Mr. Lev's statement.
- THE COURT: Well, that's an issue that I have
- 4 thought about and I may need some further argument from
- 5 counsel and briefing after the hearing.
- 6 But one question that arises in my mind is that, if
- 7 a cooperating witness, who's interested in a deal, or looking
- 8 for a deal, or hoping somehow to get a reduction in his
- 9 sentence, if that is being deemed Brady material, then that's
- 10 going to be prevalent in almost every criminal case.
- 11 MR. CARUSONE: Yes, Your Honor.
- 12 THE COURT: That would seem to me to be a change in
- 13 the law. I don't know that there is any support in the law
- 14 for that. On the other hand, it's pretty clear to me that if
- 15 Mr. Price had a deal, namely, if you cooperate with us in the
- 16 Breakiron case, we will, in consideration for that
- 17 cooperation, do the following for you, then that seems to me
- 18 axiomatic that that would need to be disclosed and that the
- 19 prejudice that follows, I think, is self-evident from a

- 20 violation of that nature.
- I'm not suggesting that's what happened. That's
- 22 what we're here to have testimony on. But that's how I
- 23 preliminarily review the legal principles in this case.
- MR. LEV: Although, and, perhaps, we will need
- 25 further briefing and argument, I think I would take issue,

- 1 Your Honor. I think that if, if a jailhouse inmate
- 2 approaches the Commonwealth, asking for a deal, asking for
- 3 some consideration, that that motivation, the fact that
- 4 they're doing that, goes to his credibility, is something
- 5 that the jury should hear.
- 6 And if the Commonwealth doesn't reveal that, then
- 7 that is Brady, because it goes to impeachment evidence.
- Now, there may be a question, how material, that
- 9 you have to decide. But that is impeachment evidence. That
- 10 is the type that meets -- needs to be disclosed,
- 11 particularly, when favorable things happen to the witness.
- 12 Ultimately, the question is for the jury to
- 13 determine whether -- what weight to give to the person's
- 14 credibility and it's the information that needs to be put
- 15 into the hands of the jury.
- Now, in this case, and I agree with Mr. Carusone,
- 17 what we should rely on is what Mr. Price testified to at
- 18 trial. And he testified to, as I understand it, and I could
- 19 be mistaken, that his motivation in coming forward was

- Case 2:00-cv-00300-NBF Document 168 Filed 07/23/2007 20 because he thought that Mr. Breakiron's conduct was repugnant
- 21 and that he should -- that he had a duty to come forward and
- 22 to be a witness on behalf of the Commonwealth. Mr. Breakiron
- 23 shouldn't be allowed to get away with that.
- 24 That would be very different than somebody who,
- 25 initially, approached the Commonwealth with the idea of

- Document 168
- looking for the deal. Whether they got it or not, the jury
- should be entitled to know what their initial motivation
- we're looking for was.
- That would be my position. If we need to argue and 4
- research more, then we can.
- 6 THE COURT: All right. I would like to start with
- testimony.
- MR. CARUSONE: May I just have fifteen seconds 8
- here? 9
- I, first of all, the Court should be aware that we 10
- dispute the factual contention that Ellis Price asked for a
- deal. It's our position he didn't ask for a deal.
- But even if he did, even if you believe that he did 13
- ask for a deal, it's our position, as a legal matter, that
- that is not Brady material. If he asked for a deal and the
- Commonwealth said, you are not getting any kind of a deal,
- when he gets up on the stand and testifies, he doesn't have
- an expectation of favorable treatment because it's already
- 19 been -- that request has already been rejected.

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  Assuming it's even been made, which we dispute, I
- 21 think that the Court's view of the law is consistent with the
- 22 United States Supreme Court precedent. And in the absence of
- 23 any action by the Commonwealth that would have led Ellis
- 24 Price to believe that such a request would have been granted
- 25 and he would have gotten some benefit in the future, that's

- 1 not Brady material in this instance. But, we can brief that.
- THE COURT: All right. Let's start.
- 3 MR. LEV: I would ask that the witnesses be
- 4 sequestered of this witness.
- 5 MR. CARUSONE: Same motion, Your Honor.
- 6 THE COURT: The witnesses will be sequestered.
- Anyone who may be called as a witness, would you
- 8 please excuse yourself from the courtroom?
- 9 (Whereupon, witnesses were sequestered.)
- MR. CARUSONE: Your Honor, I just want to point out
- 11 that I did have representatives from some of the Martin
- 12 family are present in the courtroom. They want to observe
- 13 the proceeding. I don't intend to call them as witnesses.
- 14 THE COURT: All right. And the other three people
- 15 in courtroom are not -- non-witnesses; correct?
- MR. LEV: That's correct.
- 17 THE COURT: All right. The first witness, Mr. Lev?
- MR. LEV: Mr. James Sullivan. Does Your Honor have
- 19 a preference of whether we sit or stand as we proceed?

JAMES FRANCIS SULLIVAN, A WITNESS, having been

25 first duly sworn, was examined and testified as follows:

- 1 THE DEPUTY CLERK: State your name, sir?
- 2 THE WITNESS: James Francis Sullivan.
- 3 THE DEPUTY CLERK: Spell your last name, for the

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- record?
- 5 THE WITNESS: S-U-L-I-V-A-N.
- 6 THE DEPUTY CLERK: Okay, sir. Would you take the
- witness stand to my far left?
- MR. LEV: Your Honor, is it necessary that the 8
- witness remain handcuffed during testimony?
- 10 THE COURT: Well, --
- 11 MR. CARUSONE: He's basically doing life for
- murder. It's a security question, Your Honor.
- 13 THE COURT: I would not want to micro-manage the
- security issue. I'm going to leave the security to the
- experts. 15
- 16 But having said that, if we could reduce it to some
- extent, the restraints on Mr. Sullivan, that would be
- appreciated. But I'm going to let the experts make that
- 19 decision.

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  This is not a jury case. And, the extent to which
- 21 the witness is handcuffed or shackled is in no way going to
- 22 affect my assessment. I understand that he is in custody.
- MR. LEV: I understand that, Your Honor. I just
- 24 suggest that it would, just trying to make it as comfortable
- 25 as possible, more comfortable for the witness. I'll leave

- 1 that up to the officer.
- 2 (Whereupon, an off-the-record discussion was had.)

- 3 MR. LEV: May I begin, Your Honor?
- 4 THE COURT: Yes. Thank you, Mr. Lev.
- 5 DIRECT EXAMINATION
- 6 BY MR. LEV:
- 7 Q Mr. Sullivan, you are an inmate in the Pennsylvania
- 8 Department of Corrections?
- 9 A That's correct.
- 10 Q And currently you are incarcerated for what convictions?
- 11 A I didn't hear that.
- 12 Q Currently, what convictions are you incarcerated for?
- 13 A Right now, I'm a parole violator serving ten years. I
- 14 have a life sentence. I don't know when it starts because I
- 15 violated my parole in 2000. Arrested me later in 2000.
- 16 Q And the life sentence was imposed for what crime?
- 17 A First degree murder. Happened in 1987.
- 18 Q The parole violation was as a result of what conviction?
- 19 A Of a murder that happened in '87.

- 20 Q What degree of homicide were you convicted?
- 21 A Third degree.
- 22 Q Back in 1987, were you incarcerated in the Fayette
- 23 County Jail?
- 24 A Yes, sir.
- THE COURT: Mr. Sullivan, could I ask you to get up

- 1 on that mike a little bit, please?
- THE WITNESS: Oh.
- 3 THE COURT: There you go.
- 4 BY MR. LEV:
- 5 Q And did you come to know Mark Breakiron during that
- 6 time?
- 7 A Yes, sir. I knew Mark Breakiron beginning 1979, I think
- 8 I first met the young man.
- 9 MR. LEV: Your Honor, just as an aside. I suppose
- 10 the record should reflect, we didn't put this on the record
- 11 before, that Mr. Breakiron is not present today. That he
- 12 waived his presence to be here at this hearing, that he was
- 13 interviewed by an agent of Mr. Carusone's to insure that,
- 14 that, that he was, in fact, waiving it, and that is what's
- 15 going on. He's waived his presence and is not here.
- 16 THE COURT: Thank you for putting that on the
- 17 record, Mr. Lev.
- 18 BY MR. LEV:
- 19 Q Okay. Back to you, Mr. Sullivan. You met Mark

- 20 Breakiron in the Fayette County Jail; is that what you said?
- 21 A Yes, sir.
- 22 Q And how did you get to know Mr. Breakiron in the jail?
- 23 A We were on the same range together.
- 24 Q And what is -- what does that mean, for those of us who
- 25 don't know what the range is?

- 1 A Speaking '87, now.
- 2 Q Yes.
- 3 A Everybody that had a violent crime was housed on a

- 4 different range in the jail. That would have been D range,
- 5 if my memory serves me right.
- 6 Q D range. How many inmates were housed on a range?
- 7 A At that time, we were single cells. So, there would
- 8 have been eleven.
- 9 Q Was Ellis Price also housed on that range at that time?
- 10 A Yes, he was.
- 11 Q How about Chris Owen Miller?
- 12 A Yes. Chris was there, too.
- 13 Q Robert Price?
- 14 A No. Bobby, they never put the Price brothers together
- 15 on any range, because there was all hell broke loose when all
- 16 three were together.
- 17 Q During the course -- let me ask you this. Did you know
- 18 what Mr. Breakiron was charged with at the time?
- 19 A Oh, yes. Yes.

- 20 Q What was he charged with?
- 21 A Murder.
- How did you know what he was charged with? 22 Q
- 23 A It was in the paper. Plus, I knew the victim's family
- 24 who was involved in that.
- 25 Q Who did you know?

- 1 A Her brother, James.
- 2 Q Did you devise some kind of plan to try to help yourself
- 3 at Mr. Breakiron's expense?
- 4 MR. CARUSONE: Objection to the leading nature of
- 5 the question.
- 6 THE COURT: Sustained.
- 7 MR. LEV: I just meant that as a foundational
- 8 question, Your Honor. That was kind of -- just kind of
- 9 following it up.
- 10 BY MR. LEV:
- 11 Q Would you explain to the Court what happened in terms of
- 12 information you received from Mr. Breakiron?
- 13 A Well, actually, I didn't receive any information from
- 14 Mr. Breakiron. Most of it was gleaned from reading his
- 15 transcripts, most of discovery.
- 16 Q How did you get access to his notes from discovery?
- 17 A Well, Mark was sharing -- he didn't know what some of
- 18 the big words were, what the technology words meaning. He
- 19 was in the dark, and I have been through this.

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  20 Q Did you decide to do anything with that knowledge that
- 21 you had learned?
- 22 A Yes, sir.
- 23 Q What did you decide?
- Three of us contacted, we contacted the DA's Office, who 24 A
- at that time was Gerald Solomon, Fayette County.

Who were the three people that put their heads together? 1 Q

- Myself, Ellis Price, and I can't remember his name, now.
- Blair. Connor Blair.
- And what did the three of you decide to do?
- To tell Jerry Solomon that Chris or Mark had confessed 5
- the crime to us.
- Who was Jerry Solomon?
- DA at the time in Fayette County.
- Why was it that you wanted to tell Mr. Solomon that Mark
- had confessed a crime to you?
- To see what kind of deals they would offer. Plus, his 11
- father was my employer at the time.
- Whose father? 13 Q
- The DA. 14 A
- How did you go about contacting the DA? 15 Q
- 16 A Through the mail. Wrote a letter.
- Who wrote the letter? 17 Q
- 18 A I did.
- And what did you write in the letter? 19 O

- 20 A Just basic. Tied bits. Spiked his interest that we
- 21 were very useful. We knew what we were talking about from
- 22 things I learned from reading his discovery packet, his
- 23 material.
- 24 Q So, are you saying, is that what you described, some of
- 25 the facts of the case, provided details of that; is that --?

- 1 A Right.
- 2 Q -- what you mean?
- 3 A Right. Like have a pubic hair trimmed in a heart shape,

- 4 the knife going through the ear drum, cloth put in a paint
- 5 can and discarded at grandmother's house as because that's
- 6 where the body had been taken to from actually the killing
- 7 site, as I understand.
- 8 Q From where had you gotten that information from?
- 9 A From the newspapers and his discovery materials.
- 10 Q What role did Ellis Price play in this, in this process?
- 11 A Of the three of us or Ellis alone?
- 12 Q Of the three.
- 13 A Pretty equal share in everything.
- 14 Q In the letter, what did you ask the DA? Did you ask for
- 15 anything in return for this information?
- 16 A For myself, my homicide consisted of beating a man up
- 17 and he died as a result. I asked, instead of being charged
- 18 with first degree murder, being charged with murder. Joshua
- 19 Perper, who was the coroner in this county, ruled the beating

- 20 by itself wasn't fatal. Inclement weather, amount of
- 21 alcohol, was all contributing factors. So, I couldn't be
- 22 charged with the top of the scale. One, that the beating
- 23 wasn't the result of the death.
- 24 Q Do you know what Ellis Price asked for?
- 25 A Ellis asked for the moon. I didn't think he would get

- 1 it. He wanted his conviction for shooting the bartender
- 2 overturned and his brother's overturned and, in exchange for

- 3 his testimony, to be sent to a State of Michigan where he had
- 4 eight to sixty-year sentence for disemboweling a man outside
- 5 a bar.
- 6 Q Who wrote, who actually wrote the letter?
- 7 A I did. I wrote it. Most of them guys couldn't spell
- 8 any word if their life depended on it.
- 9 Q Who signed the letter?
- 10 A I signed my name first. The other two signed it, as
- 11 well.
- 12 Q Did you see them sign the letter?
- 13 A Yes. Right in front of me.
- 14 Q What did you do with it after?
- 15 A Mailed it. Sealed it up, gave to it the prison guard.
- 16 He took it downstairs and off it went.
- 17 Q Now, you spoke to an investigator from my office in 2002
- 18 about this incident?
- 19 A Yes.

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- 20 Q How was it, if you know, that she came to speak with you
- 21 about this?
- 22 A I called her, told her what I wanted to do. Told her
- 23 what I wanted to do. I wanted to come forward and tell
- 24 someone how I got this conviction.
- 25 Q And this is now fourteen, fifteen years after?

- 1 A Good while ago; yes.
- 2 Q After the event, why, why then did you decide that you

- 3 were willing to come forward?
- 4 A See, I was placed in the precarious situation. I liked
- 5 Mark and I liked Saundra's brother Jimmy. So, I couldn't do
- 6 anything physical.
- 7 Q Let me interrupt you. Jimmy was the decreased brother?
- 8 A James Martin; yes. So, I was like between a rock and a
- 9 hard spot. So, I figured this is best. Give it to them, see
- 10 what they could do.
- 11 After I seen what was going on in the judicial system,
- 12 I said, this is crazy for the guy to kill somebody with bogus
- 13 information, because I think it make them no better than what
- 14 the actual killer was, because if they knew it was bogus.
- 15 Q Did you ever get any response to your letter from -- to
- 16 DA Solomon?
- 17 A Not from the DA. From Court appointed Mark Morrison.
- 18 M-O-R-R-I-S-O-N, I, I believe that's right.
- 19 Q And Mr. Morrison was representing you on what charges at

- 20 the time?
- 21 A Criminal homicide.
- 22 Q And that's the beating case that you --?
- 23 A Right. That was in '87.
- 24 Q And did you tell Mr. Mark Morrison about the letter that
- 25 you sent?

1 A Well, no. When he came over, he was up in arms about

- 2 it. He said that I really screwed up. That I should have
- 3 wrote the letter to him, let him take it to Jerry. He said,
- 4 you blew it. Just sat there and looked at him. He was
- 5 really upset about it.
- 6 Q He came to see you after you had sent the letter?
- 7 A Oh, yes. Almost immediately, because I remember I --.
- 8 Q Other than Mr. Morrison coming to you about the letter,
- 9 did you get any official response from any police officer,
- 10 investigator, --
- 11 A No. Nothing like that.
- 12 Q -- member of the DA's Office?
- 13 Mr. Sullivan, besides the offenses you have told us
- 14 about today, you have some other prior convictions in your
- 15 past?
- 16 A Yes. From age of eighteen up. I have burglary, stolen
- 17 Corvettes.
- 18 Q In 1965, you were convicted of a burglary?
- 19 A I stole two Hondas. Motorcycles.

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- 20 Q We don't need the details. And, in 1975, were you
- 21 convicted of two counts of burglary, larceny, receiving
- 22 stolen property?
- 23 A I was in the penitentiary in '75.
- 24 Q I am sorry?
- 25 A I was in the pen at that point until '76.

- 1 Q In the early seventies, did you have additional
- 2 convictions for burglary, larceny, receiving stolen property

- 3 that you --
- 4 A 1970; yes. '69 to '70.
- 5 Q Oh, in 1970?
- 6 A Um-hum.
- 7 Q And, in 1983, were you convicted of receiving stolen
- 8 property and a weapons charge?
- 9 A Yes. I got, eventually got found guilty of them. I was
- 10 convicted of carrying a firearm without a license.
- MR. LEV: I have no further questions of this
- 12 witness.
- 13 THE COURT: Thank you, Mr. Lev.
- 14 Cross-examination, Mr. Carusone.
- 15 MR. CARUSONE: Thank you, Your Honor.
- 16 CROSS-EXAMINATION
- 17 BY MR. CARUSONE:
- 18 Q Mr. Sullivan, Mark Breakiron confessed to you in jail to
- 19 the murder of Saundra Martin; isn't that?

- 20 A He never came right out and told me he did it.
- 21 Q Really? Do you remember being interviewed by Agent
- 22 Kerpchar of the Office of Attorney General?
- 23 A Oh, yes. Yes. I remember that, up in Dallas.
- 24 Q Right. You remember he interviewed you about your
- 25 affidavit that you had presented? You had put together and

- 1 signed?
- 2 A Yes.
- 3 Q Okay. Do you remember telling Agent Kerpchar that Mark

- 4 Breakiron confessed to the homicide of Saundra Martin to you,
- 5 and that you told Agent Kerpchar Mark killed Saundra because
- 6 she was a common whore, and Mark wanted to fuck her, and
- 7 Saundra didn't want anything to do with Mark, other than
- 8 being friends. Do you recall telling him that?
- 9 A That is actually what I said to him.
- 10 Q So, Mark Breakiron did, in fact, confess to you to the
- 11 murder of Saundra?
- 12 THE COURT: If both of you could slow down a
- 13 little, too, that would help.
- 14 BY MR. CARUSONE:
- 15 Q So, he did confess to you; is that right?
- 16 A He never said, I did that. I have -- never in those
- 17 words at all.
- 18 Q But you told Agent Kerpchar that he did confess?
- 19 A We were just talking off the cuff. I wasn't real at

- 20 ease with that guy. That only lasted thirty minutes. I got
- 21 up and walked out.
- 22 Q After he told you that your statements that you were
- 23 giving had to be -- were subject to penalties for
- 24 falsifications, isn't that true, isn't that when you ended
- 25 the interview?

- 1 A I didn't think I should be talking to him in the
- 2 beginning. So, --.
- 3 Q So, you made off-the-cuff statements to Agent Kerpchar

- 4 that Breakiron confessed to you that he murdered Saundra
- 5 Martin; is that right?
- 6 A That is, yes, sir that's what I just testified to.
- 7 Q All right. Now, Mr. Sullivan, isn't it true, that Ellis
- 8 Price received no benefit whatsoever in exchange for his
- 9 testimony?
- 10 MR. LEV: Objection, Your Honor.
- 11 THE COURT: That's -- hold on. Hold on. The
- 12 objection is?
- MR. LEV: That how would Mr. Sullivan know if
- 14 Mr. Price received any benefits?
- MR. CARUSONE: I can lay a foundation.
- 16 THE COURT: All right. Go ahead. Try to lay a
- 17 foundation.
- 18 BY MR. CARUSONE:
- 19 Q Do you recall being interviewed by the Office of

- 20 Attorney --
- 21 A Same guy we're talking --
- 22 Q Yes.
- 23 A Yes.
- 24 Q Do you recall being questioned about whether Ellis Price
- 25 received any benefit in exchange for his testimony?

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- 1 A No. If he would have, I would certainly told him.
- 2 Q So, you didn't talk to him about that?
- 3 A Not there.
- 4 Q Okay. You didn't tell Kerpchar that Ellis Price
- 5 received nothing in exchange for his --
- 6 A If I did-- refresh my memory. I'll tell you if I did or
- 7 not.
- 8 Q In Mr. Kerpchar's report, --
- 9 MR. LEV: Could you show the witness the report,
- 10 please?
- 11 MR. CARUSONE: Sure.
- 12 BY MR. CARUSONE:
- 13 Q Mr. Sullivan, I'm going to show you a report prepared by
- 14 Sergeant Greg Kerpchar, dated March 8 of 2005. I'm going to
- 15 turn to page 2 of that report and ask you to read the first
- 16 highlighted sentence on the second page?
- MR. LEV: Excuse me. Could you just tell me where
- 18 on the second page?
- MR. CARUSONE: It's the second sentence on that

- MR. LEV: Okay.
- 22 BY MR. CARUSONE:
- 23 Q Why don't you read it out loud?
- 24 A I don't have my glasses. That's why I'm going like
- 25 this.

- 1 Q It says, Sullivan remarked that neither he, nor Ellis
- 2 Price, or Blair, received any benefit as a result of a letter
- 3 to District Attorney Solomon.
- 4 Is that true?
- 5 A That's what I said.
- 6 Q That is what you told him. All I asked you. Did you
- 7 tell him that?
- 8 A Obviously, I said that; yes.
- 9 Q All right. Now, do you recall signing a
- 10 declaration/affidavit for the Defender's Association?
- 11 A Yes.
- 12 Q In your statement, have you seen that statement
- 13 recently?
- 14 A Um-hum.
- 15 Q You have; okay. In your statement, sir, do you recall
- 16 indicating that Ellis Price signed your letter to the
- 17 District Attorney and asked for a deal? Do you remember
- 18 saying that in your affidavit?
- 19 A Um-hum.

- 20 Q All right. You didn't mention Robert Price or Clinton
- 21 -- Conrad Blair signing that letter; did you?
- 22 A In that right there?
- 23 Q Yes; in that affidavit?
- 24 A Because Bobby Price never signed it. There was only
- 25 three of us, Clinton, myself, and Ellis. When I was talking

1 to them people there, we may not have been talking about

- 2 Blair, because Blair was very, very small player in any of
- 3 this.
- 4 Q Again, I want to refer you back to your interview with
- 5 Agent Kerpchar of the Office of Attorney General.
- 6 MR. CARUSONE: May I approach, Your Honor?
- 7 BY MR. CARUSONE:
- 8 Q Mr. Sullivan, I'm going to show you again page 2 of
- 9 Agent Kerpchar's report, ask you to read the first sentence
- 10 of that report? Very first sentence on the top?
- 11 A Sullivan confirmed he wrote the letter on behalf of
- 12 Ellis Price. I didn't say Bobby Price. This isn't --
- 13 Q The sentence reads -- why don't you read the sentence as
- 14 it is, first?
- 15 A Sullivan confirmed he wrote the letter on behalf of
- 16 Ellis Price, Bob Price, Clinton Blair, to Fayette County DA
- 17 Gerald Solomon, stating that I had information against Mark
- 18 Breakiron for containing leniency relative to charges pending
- 19 all of them.

- 20 Q Oh, so Agent Kerpchar reported in his report that you
- 21 had written that letter also on behalf of Bob Price, being
- 22 Robert Price? You are saying you disagree with that; that's
- 23 not true?
- 24 A I don't remember saying that.
- 25 Q Did he --

- 1 A I don't remember saying that.
- 2 Q Did you take any notes of the interview that you had?

- 3 A Oh, no. No. Why should I.
- 4 Q Did he take notes?
- 5 A Did he take notes?
- 6 Q Yes.
- 7 A I don't know. I can't remember if he did or not.
- 8 Q Do you dispute this statement, where he says that you
- 9 wrote the letter on behalf Ellis Price, Bob Price, Clinton --
- 10 A I may have made a misstatement on my part. I certainly
- 11 didn't write on behalf of Bobby. Bobby wasn't involved.
- 12 Q May be a misstatement on your part?
- 13 A Yes, sir. We're talking about years ago.
- 14 Q Mr. Sullivan, I want to refer you to your
- 15 declaration/affidavit that you had signed for Mark
- 16 Breakiron's attorneys. I want to refer you to paragraph 3
- 17 and ask you to review that to yourself.
- 18 A There is no paragraph -- for the whole thing?
- 19 Q Yes. Paragraph 3 that you have listed here. Why don't

- 20 you read that to yourself?
- 21 A Okay.
- 22 Q Would you agree with me that nowhere in that paragraph
- 23 do you say anything about Robert Price or Clinton Conrad
- 24 Blair signing that letter to the District Attorney?
- 25 A I said, the other guys. I didn't put names in there.

Okay. Where is that? Where is that indication there? 1 0

- Where does it say, other guys at? 2 A
- Signing the letter? 3 Q
- Me and the other guys was -- the letter was an attempt
- by me and the other guys -- let me finish -- who were in on
- it to get deals in exchange for testifying against Mark.
- 7 I asked for the prosecutor to reduce the charges to
- third degree murder on my case. Ellis asked the prosecutor,
- who also signed the letter, also asked for a deal.
- Is there any mention in this affidavit that, that Robert
- Price or Clinton Conrad Blair also signed that letter?
- 12 A No. There is not.
- Thank you. Mr. Sullivan, you were never interviewed by 13
- the police in response to your letter; is that right?
- 15 A No, sir.
- Was Clinton Conrad Blair ever interviewed by the police
- in response to your letter?
- I don't know. 18
- What was that? 19 Q

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- 20 A I don't know.
- 21 Q How about Rob Price? Do you know if he was ever
- 22 interviewed by the police in response to your letter?
- 23 A I don't know. I don't know.
- 24 Q Where is this letter today?
- 25 A (Indicating.)

- 1 Q Did you keep a copy of it?
- 2 A No.
- 3 Q You don't keep a copy of stuff like that in jail?
- 4 A No. Please. You know that.
- 5 Q I've never been in jail.
- 6 A You're a prosecutor. You know how the snitch network
- 7 goes.
- 8 Q So, you didn't keep a copy of this?
- 9 A Absolutely not. Sent it off to Jerry. Let him deal
- 10 with it.
- 11 Q What was the date of the letter?
- 12 A I don't remember. 1987.
- 13 Q So, it was written in 1987?
- 14 A I believe the summer of '87.
- 15 Q Summer of '87?
- 16 A I believe.
- 17 Q How long was the letter?
- 18 A Two pages.
- 19 Q You remember that? You remember it was only two pages?

- 20 A Um-hum.
- 21 Q Everybody signed it?
- 22 A Yes.
- 23 Q What deals, specifically, did you say Ellis Price asked
- 24 for?
- 25 A He wanted his conviction dropped for shooting the

- bartender, him and his brother, and turned over to the
- Michigan authorities where he had an eight- to sixty-year
- sentence to serve and they were going to drop Bobby's down

- to a five to ten.
- 5 Reason why I know so much of this stuff because the
- person who overturned the conviction of Ellis Price was my
- wife's first cousin. I was married to the Judge's cousin.
- That's how I know what went on. Shortly after, the Judge who
- tried the case resigned.
- You are aware Ellis Price's conviction was vacated in 10
- the summer of 1987?
- 12 Um-hum. A
- Q So, you are saying, you are saying he asked for his
- 14 conviction to be vacated in the summer and his conviction had
- already been vacated?
- 16 MR. LEV: I would object to that. Summer carries a
- stretch of time and the befores are not clear.
- 18 THE COURT: Right. I agree.
- Why don't you rephrase? 19

- 20 BY MR. CARUSONE:
- 21 Q Ellis Price's conviction was vacated on July 24, 1987.
- 22 A If you say so.
- 23 Q Okay. That's what the record is will show. When was
- 24 this letter written?
- 25 A I came to jail in March. So, had to be before that.

- But I know one thing, that's the first and only time in
- Fayette County anything like that ever happened. Then the

- District Attorney refused to re-charge. Re-try. Come on.
- It's like egg in your face. So, it's so obvious.
- Did you beat up on Mark Breakiron? 5
- 6 A Did I?
- Did you used to beat up on Mark while you were in --
- MR. LEV: Objection, Your Honor. 8
- THE COURT: Mr. Sullivan, just when there is an 9
- objection, just don't answer until I rule on the objection;
- all right? 11
- THE WITNESS: All right. 12
- 13 MR. LEV: Relevance.
- THE COURT: What's the relevance? 14
- MR. CARUSONE: I think, Your Honor, there is going 15
- to be some testimony from other witnesses that Mr. Lev is
- going to call that Breakiron was a guy who used to get picked
- 18 on a lot.
- I want to know, is that true. Was, was 19

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- 20 Mr. Sullivan involved in picking on and beating up Mark
- 21 Breakiron? We are going to have witnesses, that Mr. Lev is
- 22 going to call, witnesses that say that Mr. Sullivan was one
- 23 of the main people that used to beat up Mark Breakiron.
- MR. LEV: I don't know that we're going to have
- 25 that testimony or not.

MR. CARUSONE: Well, if we're not, sure then he

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2 ought ---

- 3 MR. LEV: Let --
- 4 BY MR. CARUSONE:
- 5 Q Used to beat up Mark Breakiron?
- 6 A Never physically hit him. Never physically hit him.
- 7 Q Anyone hit him at all?
- 8 A Only scared him. Threw water on him. Coffee. Never
- 9 put my hands on him.
- 10 Q Never put your hands on him?
- 11 A No. I did not.
- 12 Q Mr. Sullivan, how would you describe your reputation
- 13 among criminals in Fayette County?
- MR. LEV: Objection. How would he know? What is
- 15 the relevance of that?
- MR. CARUSONE: I believe this is proper,
- 17 reputation, 608A, Your Honor.
- MR. LEV: We didn't put forth reputation evidence
- 19 on behalf of Mr. Sullivan and reputation among criminals. I

- 20 just, I don't understand the relevance.
- MR. CARUSONE: That goes to truthfulness, Your
- 22 Honor. If he enjoys a good reputation among criminals, in
- 23 other words, a criminal's criminal, that goes to truthfulness
- 24 or untruthfulness. I believe it fits under the end of the
- 25 Rule 608A.

1 THE COURT: Doesn't Mr. Lev have to open the door

- 2 on that?
- 3 MR. CARUSONE: I don't think so, Your Honor, under
- 4 608A. I think I can impeach with reputation for
- 5 truthfulness.
- 6 THE COURT: Well, on direct, we heard testimony
- 7 about prior crimen falsi of this witness; correct, Mr. Lev?
- 8 MR. LEV: Correct.
- 9 THE COURT: Doesn't that put his truthfulness at
- 10 issue?
- MR. LEV: I think his truthfulness is at issue by
- 12 virtue of his testimony by appearing as a witness, as all
- 13 witnesses is at issue, Your Honor. The question is, is his
- 14 reputation at issue? Is his reputation evidence?
- 15 As I understand the normal course of reputation
- 16 evidence, you, you don't ask about your own reputation.
- 17 That, that you would present a witness who would be aware of
- 18 someone else's reputation. That would be relevant and would
- 19 testify to that reputation in the community. I don't know

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- 20 about asking a witness about their own reputation within a
- 21 very narrow community. Doesn't seem to me to fit within the
- 22 context of the rule.
- MR. CARUSONE: Your Honor, I think the witness is
- 24 capable of knowing what the reputation is. In fact, I have a
- 25 -- in a prior interview, just make this proffer.

1 In a prior interview with Agent Kerpch	ar there was	C

- 2 a statement made by James Sullivan to Agent Kerpchar that he
- 3 enjoyed a good reputation among the criminals in Fayette
- 4 County. I believe that with that proffer he clearly knows
- 5 what his reputation is among criminals in Fayette County.
- 6 Also, Rule 608A doesn't say anything about having
- 7 that reputation be at issue before I can introduce evidence
- 8 of bad reputation. It's only where, and this is 608 in the
- 9 second paragraph. You can't introduce evidence of good
- 10 character for truthfulness until the reputation for bad
- 11 character has been attacked. So, I don't think there is any,
- 12 anything improper with my question.
- MR. LEV: Let me -- just a minute -- say. Under
- 14 Rule 608, if you are going to allow, the question should be
- 15 limited to the character for truthfulness, untruthfulness, as
- 16 opposed to general character.
- 17 THE COURT: I believe I agree. You can ask the
- 18 question, but I goes to the issue of truthfulness --
- 19 MR. CARUSONE: Or untruthfulness.

- 21 question that I am asking about his reputation among
- 22 criminals in Fayette County, I think that does go to the
- 23 question of truthfulness or untruthfulness.
- THE COURT: I think you have to make that part of,
- 25 of the question.

- 1 MR. CARUSONE: Okay.
- 2 BY MR. CARUSONE:
- 3 Q How is your reputation for truthfulness in testifying in
- 4 Court proceedings? How would you describe your reputation?

- 5 A I have never had to testify in a Court proceeding like
- 6 this.
- 7 Q Never had to testify in Court before?
- 8 A For myself.
- 9 Q Never been an informant, jailhouse informant, for the
- 10 police?
- 11 A Nope.
- 12 Q Never?
- 13 A Never.
- 14 Q Never been interviewed before by the State Police on
- 15 other homicides?
- 16 A Sure. Sure. They got nothing.
- 17 Q What do you mean, they have nothing?
- 18 A They got nothing because I didn't do anything with what
- 19 they were questioning about.

- 20 Q You didn't commit any murder?
- 21 A No. I have yet to kill anybody. Directly kill someone.
- 22 Q Did you tell Agent Kerpchar that you describe yourself
- 23 as having a good reputation among criminals in Fayette
- 24 County?
- MR. LEV: Objection.

- 1 THE COURT: Sustained.
- 2 MR. CARUSONE: All right. I'll move on, Your

- 3 Honor.
- 4 BY MR. CARUSONE:
- 5 Q Ellis Price didn't take any discovery materials from
- 6 Mark Breakiron's cell; isn't that true?
- 7 A No. It was Clinton Blair took.
- 8 Q Clinton Blair?
- 9 A Um-hum.
- 10 Q So, it was only one person that took it, Clinton Blair?
- 11 A Yes. Gave it back to Mark Breakiron.
- 12 Q So, you, you -- once those materials were obtained by
- 13 Blair, you gave them back to Breakiron?
- 14 A Got them off of him.
- 15 Q Why?
- 16 A Why? Because I didn't -- it wasn't right what Clinton
- 17 was doing, was beating up the kid. Kid was scared to death
- 18 on that range. They was taking advantage of this.
- 19 Q But this was your scheme; isn't that what you are

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- 20 saying?
- 21 A I just wrote the letter. Don't hate the postman, the
- 22 messenger. I just wrote it.
- 23 Q So, you were just a messenger? You just wrote a letter?
- 24 A On my behalf. I don't think I wasn't -- I don't care
- 25 what -- the reason why I got involved in it, I didn't plead

- 1 guilty to nothing. I don't believe in the plea bargains.
- 2 Reason why I wrote the letter, got myself involved in

- 3 it, I wanted to see if they were willing to deal, if they're
- 4 not willing to deal, they're not sure of this. So, I can
- 5 play the game like they played.
- 6 Q You were playing a game?
- 7 A Yes, sir.
- 8 Q You were in charge of this little conspiracy that you
- 9 were mentioning; right?
- 10 A Excuse me?
- 11 Q You were in charge of this little group?
- 12 A Wasn't in charge of anything.
- 13 Q I thought that's what you testified on direct
- 14 examination, that you devised a scheme?
- 15 A I wasn't in charge of it. I didn't make anybody sign
- 16 that thing. I didn't make Ellis take the deal they offered.
- 17 I didn't make him do anything.
- 18 Q What deal did they offer Ellis Price?
- 19 A You haven't got it, yet. They dropped it. He was

- 20 already convicted of shooting the bartender outside of a bar
- 21 there with a .357 Magnum in the chest.
- 22 Q He was already convicted?
- 23 A He was already convicted.
- 24 Q So, what deal did he receive?
- 25 A They dropped his conviction. First time in Fayette

1 County history. Dropped his conviction. Let him go to the

- 2 State of Michigan where he only did six years on nine, then
- 3 didn't want to re-prosecute him.
- 4 Q Didn't you tell Agent Kerpchar that Ellis Price received
- 5 nothing in exchange for his testimony? Didn't you tell him
- 6 that?
- 7 A I don't think I said that to he received nothing. I
- 8 just didn't tell him what he got. I know what he got. You
- 9 know what he got because it's in the record.
- 10 Q You know Chris Owen Miller.
- 11 A Yes. I know Chris Miller.
- 12 Q How do you know him?
- 13 A He was in County, county jail; wasn't he?
- 14 Q Chris Miller said that you used to beat up Breakiron;
- 15 would that be true?
- 16 A I don't know. No. That wouldn't be true. I have no
- 17 idea what he may have told you.
- 18 Q You indicated in your testimony that you reached out and
- 19 spoke to Mark Breakiron's mother; is that correct?

- 20 A Yes, I did.
- 21 Q That was when you were in the Fayette County Jail?
- 22 A Yes, sir.
- 23 Q And so that would have been in 1987, 1988?
- 24 A No. No. No. No. This was in 2000 something.
- 25 Q Weren't you committed to the State Correctional

- Institution in 1988?
- 2 A I was paroled in 2000. First time on -- up on a twenty

- 3 years sentence.
- 4 Q Then you went back to jail for the murder of Lynn
- 5 Kovach?
- 6 A Went back to jail for having a cell phone. --
- 7 Q You were prosecuted for Lynn --
- 8 A You want to spent the rest of your life in jail? That
- 9 is not the highlight of my day. No.
- 10 Q So, you don't want to spend the rest of your life --
- 11 A Not if I can help it.
- 12 Q Okay. Are you trying to help yourself by testifying in
- 13 this case? You hoping --?
- 14 A How?
- 15 Q Are you hoping that that Defender's Associates might be
- 16 able to help you?
- 17 A How could that be? Just turned down one of my appeals.
- 18 I am on my way to the Third Circuit. They can't do nothing
- 19 for me. I am doing this all myself. Little typewriter in a

- 21 Q You were convicted of third degree murder; correct?
- 22 A In 1988 for the murder of Charles Wheeler.
- 23 Q Are you saying you didn't commit that crime?
- 24 A Oh, yes, sir. I beat him up; yes, sir.
- 25 Q You beat him?

- Yes. Absolutely.
- You killed --Q
- Led to his death.
- You said -- testified on direct examination that Ellis
- Price also asked to be let off on an aggravated assault. You
- described that as a disemboweling of a person. What were you
- referring to?
- These charges in Michigan that he had to face, he was
- convicted of this.
- Q Looking for some consideration of his charges in
- Michigan? 11
- To get out of that time; yes, sir. 12 A
- He was asking the District Attorney in Pennsylvania --13 Q
- To assist him. Yes, sir. 14 A
- 15 MR. CARUSONE: No further question.
- 16 MR. LEV: Briefly.
- THE COURT: All right. 17
- 18 REDIRECT EXAMINATION
- 19 BY MR. LEV:

- 20 Q Robert Price. Was Robert Price Ellis Price's brother?
- 21 A Yes, sir.
- 22 Q Were you in contact with him during the time that, that
- 23 this letter was being written to District Attorney Solomon?
- 24 A No. Bobby was never on the same range with Ellis and I.
- 25 Q In the Fayette County Jail, if you were on different

1 ranges, were you able to have contact with inmates on other

- 2 ranges?
- 3 A Only if you went to the yard.
- 4 Q In the letter, did Ellis Price ask for assistance for
- 5 Robert Price?
- 6 A No. The deal was, was carried over by Mark Morrison
- 7 himself. That's how, how Ellis got the deal. Nothing from
- 8 our letter.
- 9 Q But in the letter, did Ellis Price ask that something
- 10 happen for Robert Price?
- 11 A Yes. For him and his brother both.
- MR. LEV: Can I have this marked as Petitioner's
- 13 Exhibit 1, please? Would you like a copy?
- 14 THE COURT: What is it?
- MR. LEV: It's the affidavit that Mr. Sullivan
- 16 signed.
- 17 THE COURT: I got it.
- 18 BY MR. LEV:
- 19 Q Mr. Sullivan, I'm going to show you what's been marked

- 20 as Plaintiff's Exhibit 1 and ask if you can identify what
- 21 that is?
- 22 A Um-hum.
- 23 Q What is it?
- 24 A It's for -- a statement that I gave the first time I
- 25 talked to any of you people from the Defender's.

1 Q Did you -- are you the person who wrote that out?

- 2 A No. I'm not. I didn't have my glasses.
- 3 Q Who wrote that out?
- 4 A Either Pam or the gentleman that was with him. I am not
- 5 sure which.
- 6 Q There were two people who came to visit you?
- 7 A Yes, sir.
- 8 Q At that time, one of them wrote that out?
- 9 A Um-hum.
- 10 Q Did they give you a chance to read it after they wrote
- 11 it out?
- 12 A Yes, sir.
- 13 Q They wrote it right there in the jail when they talked
- 14 to you?
- 15 A Um-hum.
- 16 Q Now, I notice at several times, in the course of that
- 17 affidavit, there are things crossed out or added and
- 18 initialed? JFS?
- 19 A Um-hum.

- 20 Q There, who put those initials?
- 21 A I did. That's my writing.
- 22 Q Are those changes that you made after reading it?
- 23 A Yes. Um-hum.
- 24 Q And at the end, is that your signature? At the end of
- 25 the affidavit?

- 1 A Yes, sir.
- 2 MR. LEV: I would move for the admission of the
- 3 affidavit.
- 4 MR. CARUSONE: Your Honor, I would object to the --

- 5 a portion of that; specifically, paragraph 2 of that, of that
- 6 statement, which references a, a statement allegedly made by
- 7 the brother of the victim to Mr. Sullivan.
- 8 Your Honor, I can read it to you. But -- and this
- 9 is why I object to it. It says that, I knew Saundra Martin's
- 10 brother Jimmy through his girl. He's who I met in
- 11 Brownsville. Jimmy and I were friends. Jimmy got word to me
- 12 through the other inmates to try to get Mark.
- I object to that part. That's hearsay.
- MR. LEV: I have to object to his objection to
- 15 that.
- 16 THE COURT: All right. Then Exhibit 1 will be
- 17 admitted with that hearsay statement excised.
- MR. LEV: Thank you. I have no further question.
- 19 MR. CARUSONE: Your Honor, just follow-up.

- 20
- 21 BY MR. CARUSONE:
- 22 Q You actually signed this, this affidavit; correct?
- 23 A Yes, sir.
- 24 Q Adopted these words as your own?
- 25 A Yes.

- 1 Q Do you have a copy of it in front of you right now?
- 2 A No.
- 3 Q No?
- 4 A No.
- 5 Q I notice that where you saw the need, you made
- 6 corrections where you thought it was appropriate; correct?
- 7 A Let me see.
- 8 MR. LEV: Mr. Carusone, what are you referring him
- 9 to?
- MR. CARUSONE: He is reading the entire statement.
- 11 I've asked -- the question is, where you saw fit, you made
- 12 corrections to the language in that, in that statement;
- 13 correct?
- 14 MR. LEV: Okay.
- 15 A Yes. I don't understand, we were friends.
- 16 Q So, you struck that, the language in paragraph 2 where
- 17 you were talking about Jimmy? You said, we were friends.
- 18 You, you struck that out and put your initials there?
- 19 A No. I put, Jimmy and I were friends.

- 20 Q But this, those are your initials right here?
- 21 A Um-hum.
- 22 Q That is your signature at the bottom?
- 23 A Um-hum.
- 24 Q All right. On page 2, this is paragraph 3. I saw in
- 25 paragraph 3 where you said, I asked for the prosecutor to

reduce charges to third degree murder, and then you added on,

- my case. And then you indicated Ellis Price also asked for a
- deal. Do you see that, that correction there that you made?
- Um-hum. A
- Did you make a correction to this affidavit indicating 5
- that also other people, Clinton Conrad Blair, had also signed
- the letter? Did you indicate that in this affidavit.
- A I don't think so.
- Q No. And down here, down here in paragraph 4 of this
- affidavit, where you say, we got details about the crime from
- Mark's discovery materials which we took from his cell.
- Did you correct the we and to mean Clinton Conrad Blair? 12
- I don't know. There is no correction in there; is
- 14 there?
- No. Which means you said in the statement, that we took
- this from his cell, meaning, meaning more than one person
- took these materials from his cell?
- 18 That's what that was.
- That's not true. Only Clinton Conrad Blair? 19 Q

- 20 A I think it's splitting hairs. No.
- 21 Q No, you don't?
- 22 A No.
- 23 Q You don't; okay. The number of people involved in this
- 24 alleged theft is not splitting hairs.
- MR. CARUSONE: No further question.

- 1 MR. LEV: Nothing further.
- 2 THE COURT: All right. Mr. Sullivan, you are
- 3 excused. The officer will take you into custody.
- 4 (Whereupon, the witness was excused from the
- 5 witness stand.)
- 6 THE COURT: Who's the next witness, Mr. Lev?
- 7 MR. LEV: Chris Owen Miller, please.
- 8 THE COURT: All right.
- 9 MR. LEV: Mr. Miller had, while we are waiting,
- 10 when I saw him yesterday at SCI-Greene, asked if I would ask
- 11 the Court to do whatever it can to see that he got back out.
- 12 He's normally held in SCI-Greensburg. To get him back to
- 13 Greensburg as quickly as possible. He's held in
- 14 administrative segregation in Greene as a result of his being
- 15 a witness here.
- I assured him I would ask the Court and that I
- 17 would do so in his presence. So, I just wanted to alert you,
- 18 if it's all right with the Court, to make that request to you
- 19 before he testifies.

- Case 2:00-cv-00300-NBF Document 168 Filed 07/23/2007 Page 106 of 442 THE COURT: That's fine. I don't control. So,

21 I'll tell him that.

- MR. LEV: I understand that.
- 23 THE COURT: But I appreciate you explaining to me
- 24 why you are going to make the request.
- MR. LEV: Your Honor, may I take a brief break

- before we get going?
- THE COURT: Go ahead. 2
- 3 (Whereupon, a recess was had.)
- 4
- 5 (Whereupon, the following was had in open Court.)
- THE DEPUTY CLERK: State your name, please? 6
- THE WITNESS: Chris Owen Miller, Senior. 7
- CHRIS OWEN MILLER, SENIOR, A WITNESS, having been 8
- first duly sworn, was examined and testified as follows:
- 10 THE DEPUTY CLERK: Would you take the witness
- stand? 11
- 12 THE COURT: Mr. Miller, I am going to ask you to
- 13 get up on that microphone there? If you can pull it towards
- 14 you, if necessary? Thank you.
- 15 Mr. Lev.
- 16 MR. LEV: Thank you, Your Honor.
- 17 **DIRECT EXAMINATION**
- 18 BY MR. LEV:
- Mr. Miller, in the summer of 1987, were you incarcerated 19 Q

- 20 in the Fayette County Jail?
- 21 A Yes, sir.
- 22 Q And was Mark Breakiron incarcerated with you?
- 23 A Yes. I was on the same range with him.
- 24 Q On the same range?
- 25 A Yes, sir.

- 1 Q Was James Sullivan incarcerated with you?
- 2 A Yes. He was on the same range with all of us together.

- 3 Q And does Sullivan have a nickname?
- 4 A Silky.
- 5 Q Who is Ellis Price? Was he also on the range?
- 6 A Ellis was on the range, also.
- 7 Q Were there other Prices incarcerated at that time?
- 8 A Bobby was there, but they weren't on -- they split the
- 9 brothers up. They had them all on different ranges.
- 10 Q Okay. In the summer of 1987, while you were
- 11 incarcerated there, did you interact with, with Sullivan, and
- 12 Ellis Price, and Conrad Blair?
- 13 A Yes.
- 14 Q Did there come a time when they asked you about Mark
- 15 Breakiron's case?
- 16 A Yes, they did.
- 17 Q Could you tell Judge Hardiman, please, what happened?
- 18 A Well, we were playing cards and the guys -- I don't
- 19 remember which one had discovery papers, but they was --

- 20 wanted to write a letter to the DA's office to try to get
- 21 deals in their own cases. I declined to even want any part
- 22 of it.
- 23 Q Did they ask you to participate in that?
- 24 A Yes, they did. I declined.
- 25 Q Why did you decline?

- 1 A I am facing a homicide case myself. I have enough of my
- 2 own problems facing the death penalty.
- 3 Q Do you know if they took any steps towards trying to get
- 4 deals for themselves?
- 5 A I don't really know, because before Mark's trial
- 6 started, you know, I was transferred out after my trial was
- 7 completed. I observed them writing the letters and, you
- 8 know, the letter. But I -- for what kind of deals they got,
- 9 I don't know.
- 10 Q What did you observe them doing?
- 11 A Writing the letter to the DA's Office.
- 12 Q They did that right in front of you?
- 13 A Ranges were small. It ain't like you can't see what
- 14 everything goes on. There's only fourteen cells on a tier.
- 15 Q Do you remember who was writing the letter?
- 16 A To my recollection, I can't recall. But, you know, who
- 17 actually wrote the letter? No. But I know that they all
- 18 three were taking part in it.
- 19 Q Do you know what kind of assistance Ellis Price was

- 20 looking for from the DA's Office?
- 21 A Do I know what kind of assistance?
- 22 Q Yes; that he was hoping to get?
- 23 A See, I wouldn't know if he was trying to get any kind of
- 24 assistance or anything. All I know is that his, you know,
- 25 him and his brother was involved in a case and that's all I

- 1 know.
- 2 Q Did Ellis ever say to you what he was hoping to get?
- 3 A No. He never said anything.
- 4 Q How come you are willing to testify about this now?
- 5 A Because it's the right thing to do. You know, it's been
- 6 a routine practice in Fayette County that they been using
- 7 jailhouse informants possibly convicting people.
- 8 MR. CARUSONE: Objection. Lack of foundation, how
- 9 he could possibly know. There is no foundation laid for
- 10 that.
- 11 THE COURT: Sustained. Why don't you rephrase,
- 12 Mr. Lev?
- 13 BY MR. LEV:
- 14 Q Speaking just from your knowledge, why is it that you
- 15 are willing to come forward and testify?
- MR. CARUSONE: Same objection. Without laying a
- 17 foundation.
- 18 MR. LEV: I am sorry.
- 19 BY MR. LEV:

- Case 2:00-cv-00300-NBF Document 168 20 Q That your feelings, your beliefs about --
- 21 MR. CARUSONE: Same objection. There is no
- 22 foundation.
- MR. LEV: Not asking for the truth of the matter,
- 24 just what motivates him to come forward.
- MR. CARUSONE: This is not a hearsay objection. It

calls for speculation. He keeps talking about he's going to

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- testify about common practices in Fayette County. There is
- no foundation laid for how he could possibly know.
- 4 THE COURT: No. It just goes to his motivation;
- right? Isn't his motivation at issue or are you saying his
- motivation is not --
- 7 MR. CARUSONE: Witness's motivation? No. It is at
- issue.
- THE COURT: Then he needs to explain his 9
- motivation. So, I'll overrule it.
- 11 You may answer the question, Mr. Miller.
- THE WITNESS: Please, would you rephrase the 12
- 13 question?
- 14 BY MR. LEV:
- Q What is it that motivates you to come and tell your
- 16 story?
- What motivates me? 17 A
- Yes. Why? Why you doing this? 18
- Because it's the right thing to do. The man, you know, 19 A

- 20 those other guys didn't have to get involved in his case; you
- 21 understand? Man had enough of his own problems.
- And them guys, it's been -- that's been going on because
- 23 it also happens in my case, you know? But I just, I don't
- 24 want to mention no names because then he is going to start
- 25 objecting and all this. But it's, it's the proper thing to

- 1 do.
- 2 Q Are you getting any benefits --
- 3 A No. I'm not getting --
- 4 THE COURT: Hold on. Hold on. Please wait until
- 5 Mr. Lev completely finishes his question so the court
- 6 reporter can take everything down.
- 7 THE WITNESS: All right.
- 8 MR. LEV: Should I repeat?
- 9 THE COURT: Please.
- 10 BY MR. LEV:
- 11 Q Are you getting any benefits for your testimony today?
- 12 A No, sir.
- 13 Q Have I or my office promised you any assistance or
- 14 anything in exchange for your testimony?
- 15 A No.
- 16 Q Okay. What, Mr. Miller, what sentence are you currently
- 17 serving?
- 18 A Life sentence.
- 19 Q For what offenses?

- 20 A Criminal homicide.
- 21 Q And when were you convicted of that?
- 22 A I got a new trial in '90. I was convicted originally
- 23 back in, I think, '87 or something? I can't actually
- 24 remember because I have had a new trial. It's been so long,
- 25 I can't remember.

- 1 Q You were convicted, then had a new trial, then what
- 2 happened after that?
- 3 A I got re-convicted.
- 4 Q And, in 1980, if you remember, did you plead guilty to
- 5 burglary in another offense?
- 6 A Yes, sir. That was in Allegheny County.
- 7 MR. LEV: Can I just have a second, Your Honor?
- 8 (Whereupon, an off-the-record discussion was had.)
- 9 MR. LEV: I have no further questions.
- THE COURT: Thank you, Mr. Lev.
- 11 Mr. Carusone.
- 12 CROSS-EXAMINATION
- 13 BY MR. CARUSONE:
- 14 Q Mr. Miller, Mark Breakiron rarely left his jail cell; is
- 15 that right?
- 16 A Exactly.
- 17 Q So, usually, more time than not, he was in his jail
- 18 cell; correct?
- 19 A Yes.

- 20 Q That's where his discovery materials were located?
- 21 A Exactly.
- 22 Q In fact, there came a time when, according to your
- 23 statement, the guards moved him up front where they could
- 24 keep an eye on him; is that right?
- 25 A Exactly.

- 1 Q And so, did they move him and all his stuff up front --
- 2 A Yes, sir.
- 3 Q -- Where they could keep an eye on him?
- 4 So, that's where his discovery materials would have
- 5 been; right?
- 6 A Yes. It could have been when he was in the back of the
- 7 block, you know it? If he moved from cell to cell,
- 8 apparently, discovery papers would go with him.
- 9 Q That's my question. Thank you.
- When the inmates were in the yard in the Fayette County
- 11 Jail, were the jail cells closed or were they closed up? I
- 12 known it's been a while.
- 13 A Sometimes they would leave them opened. Sometimes they
- 14 would close them.
- 15 Q Was it up to the inmates to decide whether to leave it
- 16 open or close it?
- 17 A No. Actually, it was the guard -- when I first got
- 18 there, it was only single cell. Then they started double-
- 19 bunking guys.

- 20 Q Right.
- 21 A So, then it was on discretionary thing. Guards didn't
- 22 have to lock the cells and cells remained opened.
- 23 Q I thought when, when in the jail, there was times for
- 24 the inmates to go in the yard; is that correct?
- 25 A Stretch your legs. Yes.

- 1 Q I thought the practice in the Fayette County Jail was,
- 2 when that happened, when it was time to go in the yard, the
- 3 cell doors were closed; do you recall?
- 4 A Wasn't always a practice. I spent almost two years
- 5 there. I can tell you right now that wasn't always a
- 6 practice of them locking the doors.
- 7 Q More time than not, you think?
- 8 A More times than not.
- 9 Q Did you witness anyone taking the materials out of Mark
- 10 Breakiron's cell?
- 11 A I didn't actually see them guys take it, but --
- 12 Q That's my question.
- MR. LEV: Can the witness finish his answer?
- 14 THE COURT: You can deal with it on redirect.
- 15 BY MR. CARUSONE:
- 16 Q You didn't discuss your case with Mark Breakiron;
- 17 correct?
- 18 A No, sir.
- 19 Q And you didn't -- he didn't discuss his case with you;

- 20 correct?
- 21 A All I know of his is from what I read in the paper. We
- 22 never spoke about particulars of his case or mine.
- 23 Q And, I take it, when you were incarcerated in Fayette
- 24 County Jail at the time Mark Breakiron was there, your focus
- 25 was on your case; correct?

- 1 A Exactly.
- 2 Q In trying to solve your problems; right?
- 3 A Yes.
- 4 Q You weren't really paying particular attention to Mark

- 5 Breakiron and his problems; is that right?
- 6 A Well, it wasn't like I wasn't trying to pay attention,
- 7 but, when you live in a small area, you know your
- 8 surroundings.
- 9 Q But your focus was you were, let's say it this way, you
- 10 weren't particularly concerned with Mark Breakiron and his
- 11 problems; correct?
- 12 A No, because I had, I had enough of my own.
- 13 Q So the answer is, yes? Is that right?
- 14 A Yes to what?
- 15 Q That you weren't particularly concerned with Mark
- 16 Breakiron?
- 17 A You could say that I wasn't concerned. I was concerned
- 18 about my own being.
- 19 Q And you weren't present every time Mark Breakiron had a

- Case 2:00-cv-00300-NBF Document 168 conversation with Ellis Price; is that right?
- 21 A No.
- 22 Q I am sure they had plenty of conversations. You just
- 23 weren't around for them; is that right?
- 24 A Exactly. Could possibly be nine times out of ten if --
- 25 Q You answered my question.

- I am trying to answer your questions. 1
- 2 THE COURT: That's right. Let the witness finish.

- Go ahead.
- As small as the ranges are, it's not like that you can't
- see people talking. The range is, is only as wide from that
- wall to the end of that bench. So, you see everything, and
- the ranges are very short.
- But you can't hear every conversation going on?
- A It's cell doors are wide open. It's all bars.
- But you can't hear, even though I understand your 10
- description -- let me finish?
- Expect me to go right up to the cell --12
- 13 THE COURT: Whoa. Slow down. Please wait until
- the counselor finishes his question before you answer.
- BY MR. CARUSONE:
- I am not arguing with you. I am just trying to 16
- understand that the area -- I understand the range is small;
- okay? But there were conversations, I'm sure, that Ellis
- 19 Price had with Mark Breakiron that you were not able to

- 20 overhear?
- 21 A If he has, I am not aware of them.
- 22 Q You are not aware of all the conversations those two
- 23 had?
- 24 A Even if he did, did have any conversations, I don't
- 25 know.

- 1 Q Were you present at all when Ellis Price provided
- 2 information to the Pennsylvania State Police about what Mark
- 3 Breakiron told them?
- 4 A Was I present?
- 5 Q Yes.
- 6 A I stood right there and watched them. They asked me to
- 7 get involved.
- 8 Q Who asked you to get involved?
- 9 A Silky Sullivan, Clinton Blair, and Ellis Price.
- 10 Q My question is, were you present when Trooper Brownfield
- 11 from the Pennsylvania State Police interviewed Ellis Price
- 12 about what Mark Breakiron had told him?
- 13 A No. How could I have been there?
- 14 Q So, you weren't present for that conversation?
- 15 A No.
- 16 Q Were you aware that, during that conversation, Ellis
- 17 Price's conviction had already been overturned? Did you know
- 18 that?
- 19 A May I answer? The way that you are putting the question

- 20 is, to me, is the only way that I have known of that case,
- 21 was through his brother.
- 22 Q Robert?
- 23 A Yes. Now, --
- 24 Q My question to you is, did you know, and if you didn't
- 25 know, just say you didn't know.

- 2 with the Pennsylvania State Police about what Mark Breakiron

But did you know, at the time that Ellis Price talked

- 3 had told him, that Ellis Price's conviction had already been
- 4 overturned?
- 5 A I don't know that.
- 6 MR. CARUSONE: No further questions.
- 7 THE COURT: All right. Anything further, Mr. Lev?
- 8 MR. LEV: Nothing further, Your Honor.
- 9 THE COURT: All right.
- 10 MR. CARUSONE: Your Honor, I, I am sorry. Could I
- 11 ask a couple more questions?
- 12 THE COURT: Go ahead.
- 13 MR. CARUSONE: Thank you.
- 14 CROSS-EXAMINATION (CONTINUED)
- 15 BY MR. CARUSONE:
- 16 Q Mr. Miller, finally, I take it, you feel like you have
- 17 been treated unfairly by Fayette County; is that right?
- 18 A I have been; yes. You could say that, but that doesn't
- 19 have any motive on why I am standing here in this courtroom.

- 20 Q Are you sure?
- 21 A Fact is the reason I am in this courtroom today is to
- 22 stand up for the truth of what's right.
- 23 Q I thought you had testified under -- on direct
- 24 examination that part of your motivation was that the
- 25 practices in Fayette County --

- 1 A Exactly. But you objected to that. That's why --
- 2 Q No. No. Then you started to get into the details of
- 3 what he thought those practices were. That's what -- when I
- 4 objected.
- 5 But my question is, it is, I suppose, it's always on
- 6 your mind what the way that you feel you have been wronged by
- 7 Fayette County?
- 8 A Exactly, but that has not -- no motivation of why I am
- 9 here. I am here to testify to the truth of the facts of what
- 10 happened when those guys got involved in Breakiron's case,
- 11 when they had no business to get involved in it. It was the
- 12 Commonwealth's responsibility. They had enough evidence to
- 13 convict him without them.
- 14 Q My question was, is it still on your mind what they did
- 15 to you? You feel Fayette County --
- 16 A Why I got a life sentence out of the deal.
- 17 MR. CARUSONE: Thank you. Nothing further.
- 18 MR. LEV: I have nothing, Your Honor.
- 19 THE COURT: All right. Mr. Miller's excused.

- 20 (Whereupon, the witness was excused from the
- 21 witness stand.)
- MS. RUSSELL: We're just getting our next witness.
- THE COURT: That would be Mr. Price?
- MS. RUSSELL: Correct.
- MR. LEV: Thank you, Mr. Miller.

THE COURT: Mr. Price, would you step to the front

of the courtroom, please, here to be sworn?

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- 3 THE DEPUTY CLERK: Raise your right hand?
- ROBERT KEITH PRICE, A WITNESS, having been first 4
- duly sworn, was examined and testified as follows:
- 6 THE DEPUTY CLERK: State your name, for the record,
- and spell your last name?
- 8 THE WITNESS: Robert Keith Price, P-R-I-C-E.
- 9 THE DEPUTY CLERK: Thank you. Take the witness
- stand, please. 10
- 11 **DIRECT EXAMINATION**
- BY MS. RUSSELL:
- Good morning, Mr. Price. How are you? 13 Q
- 14 A Good.
- In 1968, you and your brother, Ellis Price, were tried 15
- 16 together for an attempted homicide; is that correct?
- Yes, it is. 17 Α
- And that was, basically, a shooting incident that took
- 19 place outside of a Fayette County bar?

- 20 A Yes.
- 21 Q Do you remember the victim's name in that case?
- 22 A I believe it was two of them, Richter and Fletcher.
- 23 Q And, subsequently, you and your brother were tried
- 24 together for that?
- 25 A Yes.

- 1 Q Attempted homicide?
- 2 A Yes.
- 3 Q And you were both found guilty?
- 4 A Yes. First.
- 5 Q Can you tell us what you were sentenced for that crime?

- 6 A I was given ten- to twenty-year sentence.
- 7 Q And how long of that sentence did you actually serve?
- 8 A Twelve years, three months, three days, and whatever.
- 9 Q And did your brother, Ellis Price, serve a sentence for
- 10 that crime?
- 11 A No. He did not.
- 12 Q And are you aware of why Ellis Price didn't serve any
- 13 time for that?
- 14 A Well, I believe he, he got his charges overturned. For
- 15 what reason, I have no idea.
- 16 Q Okay. Who was the real shooter in that case?
- 17 A Ellis was.
- 18 Q So, in essence, you did twelve years for a crime you
- 19 didn't commit and your brother's case was subsequently over-

- 20 turned?
- 21 A Yes. That's the truth.
- 22 Q Is there some point when you were incarcerated for the
- 23 attempted homicide of Mr. Richter that your brother Ellis
- 24 wrote --
- 25 MR. CARUSONE: Objection. Hearsay.

- 1 MS. RUSSELL: I'll -- all right.
- 2 BY MS. RUSSELL:
- 3 Q Did your brother Ellis write to you while you were
- 4 incarcerated?
- 5 A Yes, he did.
- 6 Q And what did that letter say?
- 7 MR. CARUSONE: Objection. Hearsay.
- 8 MS. RUSSELL: I'm asking the witness to testify to
- 9 a letter that he received.
- THE COURT: You are asking him to tell me what the
- 11 statement of an out-of-Court declarant was. It sounds like
- 12 you are asking for the substance of the letter. That's
- 13 classic hearsay; is it not?
- MR. LEV: May I, Your Honor? I would say it's
- 15 hearsay, but it's also an admission from Ellis Price whose
- 16 activities are at issue here, also.
- 17 THE COURT: He's not a party.
- MR. LEV: It's also a co-conspirator statement, to
- 19 the extent that we've put forth evidence of an conspiracy

- 20 between Sullivan, Sullivan, Blair, and Ellis Price, to, to
- 21 give false information in exchange for a deal. Statements
- 22 Mr. Price would have made.
- MR. CARUSONE: Your Honor, the co-conspirator
- 24 exception only applies where the conspiracy involves the
- 25 party, and Ellis Price is not a party.

- 1 THE COURT: And Ellis Price is available as a
- 2 witness.
- 3 MR. CARUSONE: Yes. He's here.
- 4 THE COURT: Why wasn't he subpoenaed to testify?
- 5 MR. CARUSONE: He is here. I subpoenaed him and he
- 6 is here.
- 7 THE COURT: Well, then you can ask him that
- 8 question, then. It's not hearsay. So, sustained.
- 9 MS. RUSSELL: Can I have one moment, Your Honor?
- 10 THE COURT: Yes.
- 11 (Whereupon, an off-the-record discussion was had.)
- 12 BY MS. RUSSELL:
- 13 Q Mr. Price, are you aware of that your brother Ellis was
- 14 trying to help you knock five years off your sentence?
- MR. CARUSONE: Objection. Same objection. That's
- 16 the product of the letter. They're trying to get around the
- 17 hearsay exception.
- THE COURT: This witness can testify as to his own
- 19 understanding. As long as you don't repeat what was in the

- 20 hearsay document, you can testify as to your understanding.
- MR. CARUSONE: Your Honor, his understanding then
- 22 would not be accepted by the Court for the truth of the
- 23 matter asserted, merely to explain his state of mind; is that
- 24 correct?
- THE COURT: Correct.

- 1 MR. CARUSONE: Okay.
- 2 MS. RUSSELL: You can go ahead and answer.

- THE WITNESS: Repeat the question, please.
- 4 BY MS. RUSSELL:
- 5 Q Are you aware that your brother, Ellis Price, was trying
- 6 to help you knock five years off your sentence?
- 7 A Yes. I received a letter from my brother, Ellis,
- 8 stating that.
- 9 MR. CARUSONE: Objection. It's hearsay.
- 10 BY MS. RUSSELL:
- 11 Q Mr. Price, without repeating the contents of the letter,
- 12 can you, in your own words, describe what your understanding
- 13 of what your brother was trying to do for you?
- 14 A He was trying to make a deal with the District
- 15 Attorney's office in Fayette County to knock five years off
- 16 my sentence. For what, I don't know.
- MR. CARUSONE: Your Honor, again, that's only being
- 18 admitted for his state of mind; is that correct? Not for the
- 19 truth of the matter asserted?

- 21 BY MS. RUSSELL:
- 22 Q Mr. Price, are you aware of how your brother, Ellis,
- 23 came to know Mark Breakiron?
- 24 A I believe he was on the same range in the county jail
- 25 with him.

- 1 Q Were you in the Fayette County Jail at the same time
- 2 that your brother, Ellis Price, was?
- 3 A Yes.
- 4 Q But you were housed on the same range?
- 5 A No. I was on different range. I was on D range. He
- 6 was on C range.
- 7 Q Did you ever have any conversations with your brother,
- 8 Ellis, about the fact that Ellis Price was going to testify
- 9 in the Mark Breakiron case?
- 10 MR. CARUSONE: Objection. Hearsay.
- 11 THE COURT: He can testify to whether he had
- 12 conversations, just not the substance of what someone else
- 13 said. Unless it's an exception to the rule.
- MS. RUSSELL: I understand.
- 15 THE COURT: Did I hear the question correctly? Did
- 16 you ask him if he had conversations?
- MS. RUSSELL: Yes.
- THE COURT: You can answer the question.
- 19 A No. I did not.

- 20 Q Did you ever get five years knocked off your sentence
- 21 for the attempted homicide?
- 22 A No. I did not.
- 23 Q Are you aware of why you didn't receive any five years
- 24 off your sentence?
- 25 A Why? I don't know.

- 1 Q Did you ever ask your brother, Ellis, why you didn't
- 2 receive five years off your sentence?
- 3 A No. I did not.
- 4 Q Did you ever write a letter to him or letters to him
- 5 asking him why you weren't receiving five years off your
- 6 sentence?
- 7 MR. CARUSONE: Objection. Leading.
- 8 THE COURT: Overruled.
- 9 A I, I got a letter stating for me to contact my attorney
- 10 on this attempted homicide case about that deal and --
- MR. CARUSONE: Objection to the contents of the
- 12 letter that he received as hearsay.
- 13 BY MS. RUSSELL:
- 14 Q Can you just answer that again? I am sorry. I didn't
- 15 hear your first part of your answer. You received letters?
- 16 A I received a letter stating -
- 17 MR. CARUSONE: Objection.
- 18 THE COURT: Well, don't tell us what was in the
- 19 letters. That's hearsay.

- 20 A I received a letter.
- 21 Q From your brother, Ellis?
- 22 A Yes. From my brother, Ellis.
- 23 Q Did you yourself write your brother, Ellis, asking him
- 24 why you weren't receiving any sort of deal or getting the
- 25 five years knocked off?

- 1 MR. CARUSONE: Objection. Asked and answered. He
- 2 already answered that he didn't write a letter.
- 3 MS. RUSSELL: I am sorry. I didn't hear the answer
- 4 to that.
- 5 THE COURT: Is that correct, Mr. Price?
- 6 THE WITNESS: Yes.
- 7 THE COURT: You did not write a letter?
- 8 THE WITNESS: That's correct.
- 9 MR. CARUSONE: You did not write a letter; okay.
- MS. RUSSELL: Moving on to a different area.
- 11 BY MS. RUSSELL:
- 12 Q In September of 1989, were you involved in an assault of
- 13 Vincent Steurbutzel?
- 14 A Yes, I was. Assault and robbery.
- 15 Q Vincent Steurbutzel.
- MS. RUSSELL: Your Honor, I need to make a
- 17 correction. I misspoke.
- 18 BY MS. RUSSELL:
- 19 Q The assault and robbery of Vincent Steurbutzel took

- 20 place in 1986?
- 21 A Yes.
- 22 Q Can you tell me who the other actors or the other
- 23 participants in this assault and robbery were?
- 24 A I believe it was my brother, Kevin, and my brother,
- 25 Ellis.

- And, in September of '89, did you plead nole contendere

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- of the assault and robbery of Mr. Heez (Spelled
- phonetically.)
- A I did.
- Q Do you remember what sentence you received for that
- crime?
- A I was given five- to ten-year concurrent sentence with
- the ten to twenty I was already serving.
- Q So, you didn't receive any additional time for that
- 10 crime?
- 11 A No.
- Who was your defense attorney that in case?
- Mark Morrison. 13 A
- 14 Q Are you aware of what sentence your brother, Kevin,
- 15 received?
- I think it was five to ten, something like that. 16 A
- And are you aware of what sentence your brother, Ellis, 17 O
- 18 received for that case?
- 19 A I don't recall him ever being charged.

- 20 Q I misspoke before. The crime occurred in 1986 and you
- 21 were charged and then sentenced in 1989?
- 22 A Yes, sir.
- 23 Q There was a three-year gap there. Are you personally
- 24 aware of why it took so long for anyone to be prosecuted in
- 25 this case or yourself to be prosecuted?

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- 1 A I was wondering.
- 2 Q But you are not aware?
- 3 A No.
- 4 Q And, lastly, Mr. Price, in May of 2005, were you
- 5 contacted by Special Agent Greg Kerpchar of the Attorney
- 6 General's Office at your house and interviewed?
- 7 A Yes, I was.
- 8 Q And do you recall if there was a tape recording of this
- 9 interview made?
- 10 A Yes, there was.
- 11 Q Did you ever happen to see a transcript of that
- 12 interview?
- 13 A No.
- 14 Q Did they later contact you on May 24 and had you write
- 15 up a statement and sign it?
- 16 A Yes.
- 17 Q And did you receive a copy of that statement?
- 18 A No. I didn't.
- 19 Q And last, did they contact you by phone sometime in June

- 20 of that same year, 2005, and ask you about whether or not you
- 21 had told anyone about the information you received from your
- 22 brother, Ellis?
- 23 A I can't recall.
- MS. RUSSELL: Your Honor, can I approach?
- 25 BY MS. RUSSELL:

- 1 Q Mr. Price, I just wanted to you look at that right here?
- 2 A I don't know, ma'am.
- 3 Q Does that refresh your memory that they contacted you by
- 4 phone?
- 5 A Right. Right.
- 6 Q Were the statements that you gave to the agents when
- 7 they spoke with you consistent with what you've told the
- 8 judge today?
- 9 A Yes.
- 10 MR. CARUSONE: Objection. I am sorry. I don't
- 11 understand your question. What is your question?
- MS. RUSSELL: I am just asking whether the
- 13 statements he gave today in Court were consistent with what
- 14 he told the special agent.
- MR. CARUSONE: I guess that is argumentative, Your
- 16 Honor, whether they're consistent or not.
- 17 THE COURT: I think I need to be the judge of that,
- 18 but that's all right.
- MS. RUSSELL: I have nothing further.

- MR. LEV: Your Honor, before Mr. Carusone begins,
- 22 can I, perhaps, just ask you this? I respect your rulings on
- 23 the hearsay nature of the letter. It would be my expectation
- 24 that Ellis Price will deny writing.
- 25 THE COURT: You may recall this witness if

- 1 necessary.
- 2 MR. LEV: I'm trying to save them having to stay
- around the courthouse all day. Since he is here, could we
- 4 take that testimony as a proffer to be used only if the
- 5 impeachment becomes otherwise? And since we have no jury
- 6 here and you're capable of striking it from the record and
- 7 from your mind and not consider it later, to just take that
- 8 testimony now as a proffer?
- 9 MR. CARUSONE: Your Honor, I would object. I
- 10 understand it might expedite things, but this is a critical
- 11 point here and I would object to proceeding in that manner.
- You know, we're going to call Ellis Price to
- 13 testify. If Ellis denies making those statements, then they
- 14 can call Robert Price again in an effort to impeach him. But
- 15 I would object to proceeding in that manner. I prefer that
- 16 we do it by the book.
- 17 THE COURT: All right. My preference would be to
- 18 do it out of order for efficiency sake, but I think the
- 19 objection's well-founded as a matter of procedure.

- Case 2:00-cv-00300-NBF Document 168 Filed 07/23/2007 Page 158 of 442 So, I do certainly recognize the Petitioner's
- 21 right, though, to call Robert Price on rebuttal, if
- 22 necessary. And, perhaps, what we can do to accommodate that
- 23 is, perhaps, the Commonwealth could call Ellis Price first.
- MR. CARUSONE: Okay, Your Honor.
- 25 THE COURT: All right? This is your last witness;

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- 2 MR. LEV: That's correct.
- THE COURT: All right.
- 4 MS. RUSSELL: Chris, I don't have anything further.
- 5 THE COURT: Cross-examination.
- 6 MR. CARUSONE: Thank you, Your Honor.
- 7 CROSS-EXAMINATION
- 8 BY MR. CARUSONE:
- 9 Q Mr. Price, good morning.
- 10 You never received any benefit whatsoever in exchange
- 11 for Ellis Price's testimony against Mark Breakiron; correct?
- 12 A No. I did not.
- 13 Q As far as you know, neither did your brother, Ellis
- 14 Price; right?
- 15 A I still don't know that.
- 16 Q You don't know whether he got any kind of a benefit or
- 17 not?
- 18 A No. I don't.
- 19 Q Did you offer to testify against Mark Breakiron?

- 20 A No, sir.
- 21 Q So, if James Silky Sullivan wrote a letter to the
- 22 District Attorney, saying that you were among the folks that
- 23 had offered to testify against Breakiron, would that be true?
- 24 A That would be false.
- 25 Q That would be false. In fact, you never had any

- 2 correct?
- 3 A No. I've never talked to him.
- 4 Q In fact, because you were on a different range than he
- 5 was?
- 6 A Right.
- 7 Q And you were never interviewed by the police in
- 8 connection with this homicide investigation?
- 9 A No. No, sir.
- 10 Q I take it that you, I believe, -- strike that.
- I take it, you are not too fond of your brother; is that
- 12 right?
- 13 A You know, I keep hearing that all the time. Let me just
- 14 say this. I did twelve years of my life for a crime I didn't
- 15 do. You know, at first, yes, I was very upset. But I got
- 16 over it, you know? He's my brother. He is going to be my
- 17 brother the rest of my life. I don't have no hard feelings
- 18 whatsoever for him. I forgave him for what happened, and
- 19 that was it.

- 20 Q But you thought Ellis should be the one doing the time;
- 21 don't you?
- 22 A Sure. Absolutely.
- 23 Q I see that you completed an affidavit back in 2002 that
- 24 you submitted to Mark Breakiron's attorney. Do you remember
- 25 that?

1 A There was a lady come see me at Huntingdon; yes.

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- 2 MR. CARUSONE: May I approach, Your Honor?
- 3 BY MR. CARUSONE:
- 4 Q Mr. Price, I'm going to show you a declaration/affidavit
- 5 that is dated April 30 of 2002. Just ask you to take a look
- 6 at that for a moment.
- 7 A Yes.
- 8 Q Is that your declaration?
- 9 A Yes, it is.
- 10 Q Is that the first declaration that you had given
- 11 concerning this Breakiron case?
- 12 A I believe so.
- 13 Q Had you revealed any of the information contained in
- 14 this affidavit prior to April 30 of 2002?
- 15 A To what?
- 16 Q To anybody?
- 17 A No.
- 18 Q If Mark Breakiron attorneys had come to you ten years
- 19 before or, you know, in the late eighties or the nineties and

- 20 asked you about this, would you have told them the same
- 21 thing?
- 22 A I can only testify to what my brother wrote me. Period.
- 23 Period.
- 24 Q If they had come to you ten years ago, you would, you
- 25 would have told Mark Breakiron's attorneys the same thing

that you told them in your statement?

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- Absolutely. 2 A
- 3 MR. CARUSONE: Nothing further.
- 4 THE COURT: All right. Any redirect, Miss Russell?
- 5 MS. RUSSELL: No, Your Honor.
- 6 THE COURT: All right. Thank you Mr. Price. I'm
- going to ask that you remain in the courthouse briefly until
- we ascertain whether there's need for further testimony from
- 9 you.
- 10 (Whereupon, the witness was excused from the
- witness stand.) 11
- 12 THE COURT: Is that your last witness?
- 13 MR. LEV: That is our last witness. That completes
- our presentation. 14
- (Whereupon, petitioner rests.) 15
- 16 THE COURT: All right. Thank you, Mr. Lev.
- 17 MR. CARUSONE: Your Honor, I wasn't intending to
- call Ellis Price first, but I will for the convenience of the
- 19 Court, if I can go out and get him.

- 21 courtroom, please?
- THE DEPUTY CLERK: Raise your right hand, sir?
- ELLIS PRICE, A WITNESS, having been first duly
- 24 sworn, was examined and testified as follows;
- THE DEPUTY CLERK: Would state your name and spell

- 1 it for the record, please?
- THE WITNESS: Ellis Price, E-L-L-I-S, P-R-I-C-E.
- THE DEPUTY CLERK: Thank you. Take the witness
- 4 stand, sir.
- 5 MR. LEV: Have a moment Your Honor?
- 6 (Whereupon, an off-the-record discussion was had.)
- 7 MR. LEV: Thank you.
- 8 DIRECT EXAMINATION
- 9 BY MR. CARUSONE:
- 10 Q Mr. Price, good morning.
- 11 A Good morning.
- 12 Q Did you testify against Mark Breakiron during his murder
- 13 trial?
- 14 A Yes, sir.
- 15 THE COURT: Sir, I am going to ask you to get up on
- 16 that microphone, please. You can turn it towards you, if
- 17 that helps. There you go.
- 18 BY MR. CARUSONE:
- 19 Q Your answer to that question was?

- 20 A Yes.
- 21 Q Did you receive any benefits whatsoever in exchange for
- 22 your testimony against Mark Breakiron?
- 23 A No.
- 24 Q Then why did you come forward and tell the police about
- 25 what Mark told you?

- 1 A That I thought it was the right thing to do.
- 2 Q Did you feel sorry for Saundra Martin?
- 3 A Yes, I did.
- 4 Q Have you ever been convicted of killing anybody?
- 5 A No.
- 6 Q Did the Commonwealth promise you anything in exchange
- 7 for your testimony against Mark?
- 8 MR. LEV: Object to the leading question.
- 9 MR. CARUSONE: Doesn't suggest the answer. Desires
- 10 it.
- 11 MR. LEV: Yes or no question certainly does.
- 12 THE COURT: Well, try to think of a way he could
- 13 ask. Why don't you try to rephrase that?
- 14 BY MR. CARUSONE:
- 15 Q Can you tell me whether or not the Commonwealth promised
- 16 you anything in exchange for your testimony?
- MR. LEV: He could ask what interactions he had
- 18 with the Commonwealth.
- 19 THE COURT: It doesn't suggest the answer in any

- 20 way. So, overruled.
- 21 A No.
- 22 Q Did you have any agreements, express or implied, with
- 23 the Commonwealth in exchange for your testimony?
- 24 A No.
- 25 Q Did any representative of the Commonwealth do anything

- to lead you to believe that you would get some benefit in the
- future for exchange for your testimony?
- A No. 3
- At the time that you provided your information to the
- police about what Mark told you, and at the time of Mark
- Breakiron's trial, did you think that the District Attorney
- here in Fayette County could do anything to help you?
- A No.
- Why not? 9 O
- Because of the flat sentence. 10 A
- Where was that flat sentence? 11 Q
- State of Michigan. 12 A
- Can you explain for the Court what you meant when you
- 14 said, flat sentence?
- It's just you do your eight years and you are out and
- 16 you get released on parole.
- Q So, because you had flat time, you didn't think the DA's 17
- Office could do anything for you?
- 19 A No.

- 20 Q How much time, total, did you spend in jail for the
- 21 charges in Michigan?
- 22 A Almost fourteen years.
- 23 Q How much of that, how was that sentence broken up?
- 24 A I did eight years in the prison system, then the rest in
- 25 a halfway house.

1 Q And do you recall, precisely, how many years you spent

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- 2 in a halfway house or not?
- 3 A About three and a half.
- 4 Q And about eight years incarcerated; correct?
- 5 A Yes.
- 6 Q After you testified in Mark Breakiron's trial, where did
- 7 you go?
- 8 A Went back to Michigan.
- 9 Q And when were you eventually released in Michigan?
- 10 A In 1994.
- 11 Q That would have been from the halfway house?
- 12 A Yes.
- 13 Q Mr. Price, were you aware that you were a suspect in the
- 14 assault of Vincent Steurbutzel?
- 15 A No.
- 16 Q You didn't even know?
- 17 A I didn't even know that.
- 18 Q At the time you did your statement to Sheriff Brownfield
- 19 -- let me ask it this way. Excuse me.

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- 20 Did you talk to anyone from the Pennsylvania State
- 21 Police about what Mark Breakiron had told you?
- 22 A Yes.
- 23 Q And you recall who you spoke to at the State Police?
- 24 A There is a few of them, but I talked to Brownfield.
- 25 Q Trooper Brownfield?

- 1 A Yes. Detective Brownfield.
- 2 Q At the time that you talked to Trooper Brownfield, do
- 3 you recall what the status of your, your case, your attempted
- 4 homicide case was?
- 5 A I was going to appeal.
- 6 Q Do you recall whether or not the Court had already ruled
- 7 on your, on your petition to vacate your conviction?
- 8 A No. The next day my attorney come and gave me the
- 9 overturn.
- 10 Q So, your attorney told you that, that your conviction
- 11 had been overturned; correct?
- 12 A Correct.
- 13 Q Do you recall precisely when that was?
- 14 A I believe it was two, three days after I talked to
- 15 Detective Brownfield.
- 16 Q Are you sure about that?
- 17 MR. LEV: Objection.
- 18 MR. CARUSONE: That's confusing.
- 19 THE COURT: Sustained. Don't lead the witness.

- Case 2:00-cv-00300-NBF Document 168 Filed 07/23/2007 20 MR. CARUSONE: Your Honor, may I have a moment?
- THE COURT: Yes.
- MR. CARUSONE: Sorry. I just need one moment,
- 23 Judge.
- 24 BY MR. CARUSONE:
- 25 Q Mr. Price, do you recall having your deposition taken in

- 2 A Yes.
- 3 Q And do you recall being asked the question about when
- 4 your attorney had come to inform you that your conviction had
- 5 been overturned?
- 6 A I'm not sure of the time.
- 7 Q Who was your lawyer for that case?
- 8 A Izzo.
- 9 Q I-S-S-O?
- 10 A I-Z-Z-I.
- 11 Q Is he still with us?
- 12 A No. He, he died.
- MR. CARUSONE: I am sorry, Your Honor. Just one
- 14 more moment.
- 15 I'll move on, Your Honor. I'll get back to that.
- 16 BY MR. CARUSONE:
- 17 Q Have you ever testified as a witness in a criminal case,
- 18 other than in the Breakiron case?
- 19 A No.

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- 20 Q Did you ever steal materials out of Mark Breakiron's
- 21 cell?
- 22 A No.
- MR. LEV: Objection to the leading questions.
- MR. CARUSONE: Wouldn't suggest the answer.
- 25 Desired.

- 1 THE COURT: It's overruled.
- 2 A No.
- Did you fabricate his confession?
- A No.
- Can you tell me whether or not Mark Breakiron actually 5
- confessed to you?
- Yes, he did. 7
- MR. CARUSONE: Nothing further, Your Honor. 8
- THE COURT: All right. Cross-examination, Mr. Lev. 9
- 10 MR. LEV: Thank you, Your Honor.
- 11 **CROSS-EXAMINATION**
- BY MR. LEV: 12
- Q Mr. Price, did you ever write a letter to your brother,
- 14 Robert, telling him that you were going to try to get his
- sentence reduced?
- 16 A No.
- Did you ever write a letter to your brother, Robert, 17
- telling him in that letter that you were going to testify
- 19 against Mark Breakiron to try to get five to ten years

- 20 knocked off your sentence?
- 21 A No.
- 22 Q Did you ever write a letter to your brother, Robert,
- 23 telling him that some of the things you were saying about
- 24 Mark Breakiron weren't true?
- 25 A No.

You've testified on direct exam that you talked to a few 1

- State Police officers?
- A I believe it was.
- Do you recall who else you might have talked to besides
- **Trooper Brownfield?**
- I'm not sure if it was Detective Roberts. I'm not sure
- there. It's been a long time. I can't remember.
- Do you know if you talked to anyone from the District
- Attorney's Office?
- 10 A Yes.
- And who was that? 11 Q
- 12 A Mark Morrison.
- Did you ever sign any statements for a state trooper? 13 Q
- 14 A Yes.
- And how did that come about? 15 Q
- After I told him what Mark Breakiron told me, and he 16 A
- wrote down, and came back, I believe he came back to type,
- and I signed it.
- Somebody came back with a typed statement sometime after 19 O

- 20 you told them -- was that Trooper Brownfield?
- 21 A I believe so.
- 22 Q But regardless of who it was, you're sure that you
- 23 signed a written statement?
- 24 A I believe so.
- 25 Q I see. So, -- strike that.

- 2 Attorney's Office telling them that you had information about
- 3 Mark Breakiron?
- 4 A Yes.
- 5 Q And, at the time you wrote that letter, you had already
- 6 been convicted of the aggravated assault and attempted
- 7 homicide charges at a jury trial; right?
- 8 A I believe so.
- 9 Q You hadn't been sentenced yet on that case, yet, at that
- 10 time; right?
- 11 A Correct.
- 12 Q And, at the time you wrote the letter, you didn't know
- 13 that, that an arrest of judgment had been granted on your
- 14 case; is that correct?
- 15 A That's correct.
- 16 Q In fact, do you remember if you wrote that letter before
- 17 or after the arrest of judgment was granted?
- 18 A I don't remember. I can't remember.
- 19 Q How long before Trooper Brownfield came and talked to

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- 20 did you write that letter?
- 21 A I would say, maybe four, five days, I believe.
- MR. CARUSONE: Can you clarify? Are you saying the
- 23 letter before Brownfield was seeing him?
- MR. LEV: Let me ask it.
- 25 BY MR. LEV:

- 1 Q Well, the letter that you wrote to the District
- 2 Attorney, did you write that before Brownfield came to see
- 3 you or after Brownfield came to see you?
- 4 A Before.
- 5 Q Do you recall how long before you had written that
- 6 letter?
- 7 A I would say, maybe three, four days. Maybe a week.
- 8 Q So, the time you wrote that letter, to your knowledge,
- 9 you were awaiting -- you knew that you had been convicted and
- 10 were awaiting sentencing on your case?
- 11 A Correct.
- 12 Q Okay. That was a serious case; true?
- 13 A True.
- 14 Q And you had a prior record that included a drug
- 15 possession conviction in Michigan?
- 16 A Yes.
- 17 Q And an assault with attempt to rob while armed
- 18 conviction in Michigan?
- 19 A Yes.

- 20 Q And that assault with attempt to rob while armed, that
- 21 was the prison sentence you were serving before you were
- 22 brought back to Fayette County?
- 23 A Correct.
- 24 Q And, in that crime, did somebody get injured during that
- 25 incident?

- 1 A Yes.
- 2 Q You stabbed somebody?
- 3 A No.
- 4 Q What did you to do to them?
- 5 MR. CARUSONE: Objection. I don't believe the
- 6 details here are relevant.
- 7 MR. LEV: I'll strike that.
- 8 BY MR. LEV:
- 9 Q In the assault case in Pennsylvania, the assault and
- 10 attempted homicide case, you were tried with your brother,
- 11 Robert; is that correct?
- 12 A Correct.
- 13 Q You knew that you were the actual shooter; is that
- 14 right?
- 15 A No.
- 16 Q No. Bobby was the shooter?
- 17 A I'm not sure.
- 18 Q You don't remember now who the shooter was?
- 19 A I was, more or less, but I was out of it.

- 20 Q So, you could be so out of it that you lose your memory
- 21 of what happens during the course of an event? You can
- 22 understand how that could happen?
- 23 A True.
- 24 Q Even if something bad happens during that time; right?
- 25 A True.

- 1 Q You were driving the car that the shots were fired from;
- 2 do you remember that?
- 3 A No.
- 4 Q Do you remember the argument in the bar?
- 5 MR. CARUSONE: Your Honor, I object to the
- 6 relevance of these questions about the specifics of what
- 7 happened in that incident.
- 8 THE COURT: Goes to credibility. Overruled.
- 9 BY MR. LEV:
- 10 Q Do you remember what happened in the bar, the events in
- 11 that incident?
- 12 A Somewhat. Little bit.
- 13 Q Do you remember any shooting at all?
- 14 A I remember shooting; yes.
- 15 Q Your brother, Bobby, has a deformed hand; doesn't he?
- 16 A Yes.
- 17 Q Has three fingers missing?
- 18 A Yes.
- 19 Q Couldn't shoot a gun if he wanted to; could he?

- 20 A I am sure he could.
- 21 Q Did you ever see him?
- 22 A Sure.
- 23 Q You have shot guns together?
- 24 A Sure.
- 25 Q Okay. Well, at the time, then, that you are in jail,

- 1 writing this letter, you know that you are facing a
- 2 substantial sentence?
- 3 A That is true.
- 4 Q You know that Bobby is facing a substantial sentence?
- 5 A Yes.
- 6 Q You know that Bobby is not as responsible for that crime
- 7 as you?
- 8 A True.
- 9 MR. CARUSONE: Objection. Argumentative.
- 10 THE COURT: Overruled. Overruled.
- MR. LEV: He answered the question.
- 12 BY MR. LEV:
- 13 Q You feel guilty about what happened to Bobby?
- 14 A Not really.
- 15 Q You want to help him out; if you could?
- 16 A If I could; sure.
- 17 Q Did you think it was fair that Bobby got ten to twenty?
- 18 A That's up to the judge.
- 19 Q So, it was fine?

- 20 A Yes.
- 21 Q Okay. When you were in the Fayette County Jail in 1987,
- 22 did you come to know James Sullivan, known as Silky Sullivan?
- 23 A Yes.
- 24 Q Were you on the same range as him?
- 25 A Yes.

- 1 Q Did you have conversations with him?
- 2 A Sure.
- 3 Q Did you talk about your case with him?
- 4 A Little bit.
- 5 Q Did you talk about his case?
- 6 A He really didn't want to talk about his case.
- 7 Q Did you talk about Mark Breakiron's case?
- 8 A Little bit; yes.
- 9 Q What were your discussions with Silky about Mark
- 10 Breakiron's case?
- 11 A It was just about what he was saying.
- 12 Q Did you tell Silky that you were going to go to the
- 13 District Attorney and tell him what Breakiron said to you?
- 14 A I don't believe so. I am not sure.
- 15 Q You're not sure you might have said that to him?
- 16 A I might have said that.
- 17 Q Did Silky did Mr. Sullivan tell you that he was going to
- 18 write a letter to the District Attorney?
- 19 A Yes.

- 20 Q What did Mr. Sullivan tell you that he was going to try
- 21 to get his case reduced to get some benefit for testifying
- 22 against Breakiron?
- 23 A Yes.
- 24 Q And did you agree with that?
- 25 A Sure. If he did.

- 1 Q And you went along with him in that; didn't you?
- 2 A No.
- 3 MR. CARUSONE: Objection to, went along with him.

- 4 Ask to clarify.
- 5 MR. LEV: Cross-examination.
- 6 THE COURT: It's -- rephrase it.
- 7 MR. LEV: Okay.
- 8 BY MR. LEV:
- 9 Q And you also thought that you could write to the
- 10 District Attorney and get some benefit; didn't you?
- 11 A No.
- 12 Q Did Mr. Sullivan talk to you about writing a letter
- 13 together?
- 14 A Yes.
- 15 Q Did he suggest you could both get benefits for
- 16 testifying against Breakiron?
- 17 A Yes.
- 18 Q Did he suggest that you could help out your brother,
- 19 Robert, too?

20 A No.

21 Q Did you suggest that maybe Robert could be helped out,

22 as well?

23 A I did; yes.

24 Q You did?

25 A Yes.

Was Clinton Conrad Blair involved in this, too?

Document 168

- I really don't know him.
- I am sorry? 3 Q
- I do not know him.
- Was he on the same range as you? 5 Q
- A I, I don't know.
- You didn't have any contact with him?
- I can't recall. Α
- Did you play cards with him?
- 10 A No.
- You remember Chris Owen Miller? 11
- Yes. I heard of him in the jail. 12 A
- He was on the same range as you? 13 Q
- 14 A No.
- Did you ever suggest that Chris Owen Miller, that he
- 16 should write a letter to the District Attorney, too?
- 17 A No.
- Did you and Mr. Sullivan write a letter together to the
- 19 District Attorney?

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- 20 A I remember one letter.
- 21 Q I am sorry?
- 22 A I remember one letter.
- 23 Q That you and he wrote together?
- 24 A Well, he wrote it out and then I think I did sign it.
- 25 Q And that you signed it?

- 1 A Yes. Then I wrote my own letter.
- 2 Q Then you wrote your own letter, a second one?
- 3 A Yes.
- 4 Q And, in the letter that Mr. Sullivan wrote, it talked
- 5 about getting some kind of benefit in exchange for testifying

- 6 against Breakiron; is that right?
- 7 A I believe so.
- 8 Q And it was after you wrote the letter -- how far apart
- 9 were the two letters that were sent, the one that you sent
- 10 with Sullivan and the one you sent by yourself?
- 11 A I'm not sure.
- 12 Q Which one was written first; do you remember?
- 13 A I believe his was.
- 14 Q And, so, would it have been a week, or two weeks, or
- 15 something afterwards that you wrote yours?
- 16 A It could have been.
- 17 Q Is it that you don't really remember?
- 18 A I don't. I don't remember.
- 19 Q That's fine. Did Brownfield come to see you after the

- 20 letter that you, you and Sullivan had written or after the
- 21 letter that you had written?
- 22 A The one that I wrote.
- 23 Q After you talked to Brownfield, Mr. Izzo came and told
- 24 you that your, your motion for arrest of judgment had been
- 25 granted and your case was being thrown out?

- 1 A Yes.
- 2 Q Did he tell you at that time that the Commonwealth of
- 3 Pennsylvania wasn't going to appeal the case?
- 4 A No.
- 5 Q Were you worried about the appeal?
- 6 A Sure.
- 7 Q Were you hoping by your cooperation against Breakiron
- 8 that that would help them decide not to appeal?
- 9 A No.
- 10 Q In the letter that you wrote with Silky, what kinds of
- 11 benefits -- did you ask, specifically, for benefits or did
- 12 you ask, generally, what you could do for him?
- 13 A Well, that's what I thought he had in the letter, is
- 14 what he can do for me.
- MR. CARUSONE: Your Honor, I ask the court reporter
- 16 to read that back. I didn't hear the whole question.
- 17 (Whereupon, the question was read back.)
- 18 BY MR. LEV:
- 19 Q The letter that you wrote to Solomon, did you put in the

- 20 details of what Breakiron had told you in the letter?
- 21 A No.
- 22 Q What did you say that in letter?
- 23 A Tell you the truth, I can't remember.
- 24 Q How did you send it?
- 25 A Sent it. There's a guy that goes back and forth to the

- 1 Courts and you send him -- I think it was a case worker or
- 2 something like that.
- 3 Q Somebody who worked for the jail?
- 4 A Yes.
- 5 Q And did you ask them to put it in the mail? Was it
- 6 stamped or did you ask them to just deliver to it the DA's
- 7 Office?
- 8 A I wrote on it, District Attorney.
- 9 Q Did you make copies of that letter?
- 10 A I did.
- 11 Q Do you have any of those copies today?
- 12 A No.
- 13 Q Do you know what happened to them?
- 14 A Probably got thrown out or something because I moved
- 15 about three, four times already.
- 16 Q Did you get a copy of the signed statement that you gave
- 17 to the police?
- 18 A No. I, I didn't.
- 19 Q Would you agree with me, Mr. Price, that the District

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- 20 Attorney's not appealing the motion for an arrest of judgment
- 21 was something very favorable for you?
- MR. CARUSONE: Objection. Argumentative.
- THE COURT: Overruled.
- 24 A No.
- 25 Q Why not?

- A Because he already done told me, you couldn't do
- 2 nothing. So, --.
- 3 Q I am sorry?
- 4 A That the District Attorney told me that he couldn't do
- 5 nothing. He couldn't make no deals, no nothing. So, --.
- 6 Q Morrison told you?
- 7 A Mark Morrison; yes.
- 8 Q Did you ever talk with District Attorney Solomon?
- 9 A I don't believe so.
- 10 Q District Attorney Warman?
- 11 A Assistant district. I can't remember.
- 12 Q Do you recall, back in the summer of 1987, talking to
- 13 any member of the District Attorney's Office?
- 14 A I talked to somebody. Yes. One of them.
- 15 Q And did they tell you that they weren't going to appeal
- 16 the motion for arrest of judgment?
- 17 A No.
- 18 Q How did you find out that no appeal was being filed?
- 19 A I didn't.

- 20 Q You didn't?
- 21 A No.
- 22 Q You just know that the case went away?
- 23 A I just know my lawyer came over there and told me that I
- 24 got an arrest of judgment.
- 25 Q But you told me earlier that you were worried about

- 1 whether or not they would appeal?
- 2 A Sure.
- 3 Q But you never found out if they did or not?
- 4 A No.
- 5 Q It was a good thing for you that they didn't appeal;
- 6 don't you think?
- 7 A Sure.
- 8 MR. LEV: Would you just indulge me for a second,
- 9 Your Honor, please?
- 10 BY MR. LEV:
- 11 Q Did you ever tell Bobby about writing to the District
- 12 Attorney?
- 13 A I don't believe so. I'm not sure. I can't remember if
- 14 I did or not.
- 15 Q If you had, did you have personal contact with Bobby in
- 16 1987 where you were able to talk with him?
- 17 A He was in the yard, or he come down, or I went up
- 18 sometimes.
- 19 Q But after you went back to Michigan, you weren't able to

- 20 talk to Bobby anymore; is that right?
- 21 A Correct.
- 22 Q Do you recall when you went back to Michigan?
- 23 A No. No, I can't. No. I can't remember.
- 24 Q You were, you were taken back to Michigan, am I right,
- 25 before Mr. Breakiron's trial? And then brought back and

- 1 forth when you testified; is that -- is that what happened?
- 2 A Yes. Yes.
- 3 Q How soon after you gave your statement to Brownfield
- 4 were you taken back to Michigan; if you remember?
- 5 A Could have been maybe a month or two.
- 6 Q Did you write letters to Bobby during that period?
- 7 A Couple.
- 8 Q But you never talked about Breakiron's case, or getting
- 9 him a deal, or anything like that?
- 10 A No.
- 11 Q From what you said on direct examination, that you
- 12 didn't know that you were under investigation for this
- 13 assault on Vincent Steurbutzel?
- 14 A No.
- 15 Q Do you remember the incident?
- 16 A No.
- 17 Q Do you remember a time with your brothers where you beat
- 18 somebody up and took his car?
- 19 A No.

- 20 Q Would it surprise you you were accused of doing that?
- 21 A Yes.
- 22 Q Did you know that, that your brother, Kevin, was later
- 23 tried on that case?
- 24 A Yes.
- 25 Q And did you ever talk with Kevin about your involvement

- in that case?
- 2 A No.
- Q Did you know that Bobby pled nolo contendere in that
- case?
- 5 A No.
- Did you ever talk to Bobby about that case?
- 7 A No.
- Do you remember a -- meeting a guy in a bar and agreeing
- that you and your brothers agreed to sell him a VCR?
- 10 A No.
- Q And driving out to get the VCR and getting in a traffic
- 12 accident?
- 13 A No.
- 14 Q Don't remember beating him up and taking things from
- 15 him?
- 16 A I never did that.
- Never, never tried to? 17 Q
- 18 A I never done it.
- MR. LEV: Your indulgence, please, Your Honor. 19

- 20 BY MR. LEV:
- 21 Q You testified today that you never wrote to Bobby and
- 22 told him about what you told the District Attorney; is that
- 23 right?
- 24 A What do you mean?
- 25 Q Did you ever, did you ever write to your brother, Bobby,

- and tell him that you wrote to the District Attorney to tell
- 2 him about what you learned from Mark Breakiron?
- 3 A No.
- 4 Q Do you recall testifying -- do you recall being at a
- 5 deposition on November 1, 2006?
- 6 A No -- yes.
- 7 Q In Uniontown?
- 8 A Yes.
- 9 MR. LEV: Chris, bottom of 29, top of 30.
- 10 BY MR. LEV:
- 11 Q I'm going to show you and ask you to read -- just down
- 12 here, the end of this, and on to the next line.
- 13 A From 20?
- 14 Q Yes. Just read it to yourself the last few lines there,
- 15 then on to the top of the next page, please?
- MR. CARUSONE: Which lines are you asking to read,
- 17 Stu?
- MR. LEV: I'm asking him to read --
- 19 MR. CARUSONE: From page 29?

- MR. LEV: Twenty-nine.
- MR. CARUSONE: Which lines?
- MR. LEV: I'm asking him to read lines 23 to 25,
- 23 and then 1 and 2 on the next page.
- MR. CARUSONE: Okay. Thank you.
- 25 BY MR. LEV:

- 1 Q Had a chance to read that?
- 2 A Yes.
- 3 MR. CARUSONE: Your Honor, I am sorry. I would

- 4 ask that from reading just those lines it's difficult to
- 5 understand what letter the testimony is referring to. So, I
- 6 would ask, in fairness to the witness, that he be able to
- 7 read the entire page 29, starting at the top, so he
- 8 understands what letter is being talked about.
- 9 MR. LEV: No problem.
- 10 MR. CARUSONE: Take your time, Ellis.
- 11 BY MR. LEV:
- 12 Q Okay. You had a chance to read all that?
- 13 A Yes.
- 14 Q You told me then that you did write to Bobby; didn't
- 15 you?
- 16 A Yes.
- 17 Q And you wrote to Bobby and told him you were trying to
- 18 cut a deal with the District Attorney; didn't you?
- 19 A Yes.

Case 2:00-cv-00300-NBF Document 168 Filed 07/23 20 Q You told him you were trying to help Bobby out, too; Filed 07/23/2007 Page 216 of 442

- 21 didn't you?
- 22 A Yes.
- 23 MR. LEV: I have nothing further.
- 24 THE COURT: All right. Thank you.
- 25 MR. LEV: Just a minute, Your Honor.

- 2 Q Did you tell Bobby in the letter that some of the things
- 3 you said about Mark Breakiron weren't true?

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- 4 A No.
- 5 MR. LEV: Have nothing further.
- 6 THE COURT: All right. Redirect.
- 7 MR. CARUSONE: Thank you, Your Honor.
- 8 REDIRECT EXAMINATION
- 9 BY MR. CARUSONE:
- 10 Q Mr. Price, do you have any difficulty reading?
- 11 A No.
- 12 Q I'm going to show you again pages 29 and 30 which you
- 13 were just showed by defense counsel. The letter that that
- 14 testimony is referring to at the deposition, what letter is
- 15 that? What letter is being talked about there?
- 16 A Well, that's probably Silky's letter.
- 17 Q Take a look at it closely. Read that again so you are
- 18 not confused.
- 19 A (Indicating.)

- 20 Q In that deposition, are you talking about your letter
- 21 that you wrote to District Attorney Solomon or some other
- 22 letter?
- 23 A My letter.
- 24 Q Your letter?
- 25 A Yes.

Q Okay. And when you testified in that deposition, did

- 2 you state, quote, that you told your brother, Bobby, about
- 3 the letter that you wrote to the District Attorney?
- 4 A No. I didn't tell him.
- 5 MR. LEV: I am sorry. I didn't hear that.
- 6 THE WITNESS: I did not tell him.
- 7 MR. LEV: About the letter you wrote to the
- 8 District Attorney?
- 9 THE WITNESS: Yes.
- 10 BY MR. CARUSONE:
- 11 Q Mr. Price, are you, are you certain about the order of
- 12 the events that you have testified to today?
- 13 A Might not be in right order, but it's --.
- 14 Q Okay. And why is it that these events might not be in
- 15 the right order?
- 16 A It's been a long time.
- 17 Q Okay. It's understandable. I want to show you another
- 18 page of your deposition.
- MR. CARUSONE: Stu, this is page 45 on Exhibit 16,

- 20 9 through 16.
- 21 BY MR. CARUSONE:
- 22 Q I'm going to ask you to read between the checkmarks
- 23 here, lines 9 through 14.
- 24 A (Indicating.)
- 25 Q The question I have for you, after reading that, does

- 1 that help refresh your recollection as to the order of when
- 2 you spoke to Trooper Brownfield?
- What I am trying to get at is --
- 4 MR. LEV: Has he answered your question?
- 5 BY MR. CARUSONE:
- 6 Q Has that helped refresh your recollection somewhat?
- 7 A Somewhat.
- 8 Q My question is, when was it that you learned from your
- 9 attorney that your motion for arrest of judgment had been
- 10 granted? Was it before you talked to Trooper Brownfield or
- 11 after you talked to Trooper Brownfield?
- 12 A I believe it was before.
- 13 Q Before. Okay?
- 14 A Yes.
- 15 Q When you testified in the trial of Mark Breakiron, did
- 16 you know at that time that your motion for arrest of judgment
- 17 had been granted?
- 18 A Yes.
- 19 Q Despite the letters that you have been testifying to

- 20 today, did you ever receive anything of benefit from the
- 21 Commonwealth?
- 22 A No.
- 23 Q Did they ever lead you to believe in any way that you
- 24 were going to get any benefit as a result?
- MR. LEV: Objection, Your Honor. That was covered

- 1 on direct examination.
- 2 THE COURT: Sustained. It's cumulative.
- 3 MR. CARUSONE: Nothing further.
- 4 THE COURT: Anything else, Mr. Lev?
- 5 MS. RUSSELL: I have nothing further, Your Honor.
- 6 THE COURT: All right. Thank you, Mr. Price. You
- 7 are excused.
- 8 (Whereupon, the witness was excused from the
- 9 witness stand.)
- MR. LEV: At this time, Your Honor, would you allow
- 11 us to go out of order and recall Bobby Price?
- 12 THE COURT: Yes.
- MR. LEV: Thank you. Appreciate that.
- 14 THE COURT: Mr. Price, would you please resume the
- 15 witness stand? You are still under oath, sir.
- 16 ROBERT PRICE, A WITNESS, having been first duly
- 17 sworn, was further examined and testified as follows:
- 18 THE COURT: Mr. Lev.
- MR. LEV: I am sorry. Miss Russell will do this.

- THE COURT: I am sorry.
- 21 REDIRECT EXAMINATION
- 22 BY MS. RUSSELL:
- 23 Q Mr. Price, when you were incarcerated for the attempted
- 24 homicide against Mr. Richter, did you receive any letters
- 25 from your brother, Ellis Price?

- 1 A I did.
- 2 Q About the Mark Breakiron case?
- 3 A I did.
- 4 Q And can you tell me, did he tell you in those letters
- 5 that he was trying to help you out with your sentence?
- 6 A Yes, he did.
- 7 Q Did he tell you in those letters that he had made up
- 8 facts about the Breakiron case?
- 9 MR. CARUSONE: Objection. Leading.
- THE COURT: Just briefly rephrase, please.
- 11 BY MS. RUSSELL:
- 12 Q What did your brother tell you about the Mark Breakiron
- 13 case in those letters?
- 14 A He said he was trying to cut a deal with the District
- 15 Attorney's Office to cut five years off my sentence.
- 16 Q Did he say anything in the letters about the facts of
- 17 the Breakiron case?
- 18 A Facts? No.
- 19 Q Did he tell you anything about what he was going to

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20 testify to?

- 21 A He just said that he was trying to cut a deal in return
- 22 for his testimony against Breakiron.
- MR. LEV: Nothing further.
- 24 THE COURT: All right. Thank you.
- 25 Cross.

1	
2	RECROSS EXAMINATION
3	BY MR. CARUSONE:
4	Q Mr. Price, I want to show you a copy of your
5	declaration/affidavit that you had signed back on April 30 of
6	2002. Take a look at that.
7	A Yes.
8	Q In that affidavit, do you say anywhere that Ellis Price
9	made up testimony?
10	A I can't see it.
11	Q Nowhere in there; is it?
12	A No.
13	Q Thank you. You describe in this affidavit let me
14	show it to you again. I am sorry.
15	You say you claim that Ellis said that he was making a
16	deal that would get five years off of your sentence; is that
17	what you are saying?

19 Q Not off of his sentence?

18 A Yes.

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- 20 A Off my sentence.
- 21 Q Off your sentence. Where is this letter?
- 22 A Destroyed.
- 23 Q How did you destroy it?
- 24 A I rip them up and I flush them down the toilet, all my
- 25 letters that I receive in prison. That's what I did after a

- 1 certain period of time, all letters.
- 2 Q You just -- your practice was you ripped up the letters
- 3 and you flushed them down the toilet?
- 4 A Yes.
- 5 Q That was -- and you're certain about that?
- 6 A Correct. I'm positive.
- 7 Q Positive. Do you recall an interview that you had with
- 8 Agent Kerpchar of the Office of Attorney General?
- 9 A I've had conversations, but, I don't know. Couple
- 10 times. I don't know if that was the gentleman or not. I
- 11 don't remember the names. Couple different.
- 12 Q Do you recall telling Agent Kerpchar that you burned the
- 13 letter?
- 14 A Burned? Yes. I probably tell him that; yes.
- 15 Q So, you think you told, you told Agent Kerpchar you
- 16 burned the letter, but you are positive today you ripped it
- 17 up and flushed it down the toilet?
- 18 A Well, that was my practice in my cell. After a period
- 19 of time, I ripped my letters up and I flushed them.

- 20 Q Your practice wasn't to burn them?
- 21 A No.
- 22 Q Even though you told Agent Kerpchar --
- 23 A I tell him that I destroyed it.
- 24 Q Regardless of that, you -- they're destroyed --
- 25 A They're destroyed.

- 1 Q It's an important letter and I am trying to --
- 2 A I said, it's --
- 3 Q It's important and I am not arguing you. I am trying to
- 4 figure out why it's not here.
- 5 MR. LEV: Objection, Your Honor.
- 6 THE COURT: It's totally inappropriate.
- 7 MR. LEV: He is arguing.
- 8 MR. CARUSONE: Sorry Your Honor.
- 9 No further questions, Your Honor.
- THE COURT: Anything further, Miss Russell?
- 11 MS. RUSSELL: May I approach, Your Honor?
- 12 THE COURT: Yes.
- 13 REDIRECT EXAMINATION
- 14 BY MS. RUSSELL:
- 15 Q Mr. Price, what I am going to show you is transcripts of
- 16 your interview with Special Agent Kerpchar that took place
- 17 May 6, 2005. And I think you testified earlier that you have
- 18 never seen a copy of this?
- 19 A True.

- 20 Q I'm just going to ask you to read. I'll go ahead, read
- 21 the question, let you go ahead, read the answer?
- It looks like it's Special Agent Nye, not Kerpchar.
- What did he mean, Ellis tell you he did in exchange to
- 24 try and get this five years knocked off your sentence. Then
- 25 your answer begins right there.

- 1 A Let me tell you this. I, I kept writing him and asking
- 2 him what, what is holding up. Why am I getting five years
- 3 cut off. And then once I, at once, like I told you, can't,
- 4 can't when the cat's out of the bag. He told me that he made
- 5 up a story to testify against Breakiron to cut five years off
- 6 my sentence, which never took place, and he's sorry,
- 7 whatever.
- 8 Q Does that help you refresh your recollection of what
- 9 Ellis Price told you in the letters that he wrote you?
- 10 A Yes, it is.
- 11 Q So, Mr. Price, Ellis Price did tell you that he made up
- 12 facts that he was testifying to?
- MR. CARUSONE: Objection to the leading nature of
- 14 the question. Asked and answered.
- 15 THE COURT: Sustained. You can rephrase it.
- 16 BY MS. RUSSELL:
- 17 Q Ellis Price, in the letter that he wrote you, talked to
- 18 you about his testimony in the Breakiron case?
- 19 A Yes.

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- Case 2:00-cv-00300-NBF 20 Q What did he tell you?
- 21 A He told me that he -- I don't know if he made up half of
- 22 it, some of it, but he did tell me that he made something up
- 23 and to make it good to cut five years off my sentence.
- 24 Maybe not exact words, but in that neighborhood.
- MS. RUSSELL: Nothing further, Your Honor.

- 1 THE COURT: Anything else, Mr. Carusone?
- 2 MR. CARUSONE: Just briefly, Your Honor.
- 3 RECROSS EXAMINATION
- 4 BY MR. CARUSONE:
- 5 Q Did Ellis Price ever say in the letter that he had
- 6 received some benefit for himself?
- 7 A No.
- 8 Q No. And you never received any benefit; correct?
- 9 A No, sir.
- 10 Q Did Ellis Price ever say in that letter that they got
- 11 discovery materials from Mark Breakiron's cell?
- 12 A No.
- 13 Q How long ago did you receive this letter?
- 14 A I can't tell you that. It's been a lot of years.
- 15 Q Lot of years. And can you recall the exact contents of
- 16 that letter?
- 17 A The exact content? No.
- 18 Q And that letter was either burned or ripped up and
- 19 flushed down the toilet?

25 BY MS. RUSSELL:

1	Q Mr. Price, did you have the ability to burn letters when
2	you were in prison?
3	A Ability to? Sure.
4	MS. RUSSELL: Nothing further.
5	THE COURT: All right. You may step down,
6	Mr. Price. Thank you.
7	(Whereupon, the witness was excused from the
8	witness stand.)
9	THE COURT: All right. We'll go off the record.
10	(Whereupon, an off-the-record discussion was had.)
11	THE COURT: We'll break for lunch, now. Let me
12	just see counsel at side-bar for a moment.
13	(Whereupon, an off-the-record discussion was had,
14	and the luncheon recess was had.)
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1	FRIDAY AFTERNOON SESSION, FEBRUARY 2, 2007, 1:30 P.M.				
2					
3	(Whereupon, the following was had in open Court.)				
4	MR. LEV: Good afternoon, Judge. Mr. Carusone went				
5	downstairs to the cafeteria to try to find his witness.				
6	THE COURT: Mr. Carusone.				
7	MR. CARUSONE: Your Honor, apologize for the delay.				
8	Commonwealth calls Sheriff Brownfield.				
9	THE COURT: Sheriff, would you step to the front to				
10	10 be sworn, please?				
11	THE DEPUTY CLERK: Raise your right hand?				
12	GARY D. BROWNFIELD, A WITNESS, having been first				
13	duly sworn, was examined and testified as follows?				
14	THE DEPUTY CLERK: Would you state and spell your				
15	name?				
16	THE WITNESS: Gary D. Brownfield.				
17	THE DEPUTY CLERK: Spell that name, sir?				
18	THE WITNESS: B-R-O-W-N-F-I-E-L-D.				
19	DIRECT EXAMINATION				

- 20 BY MR. CARUSONE:
- 21 Q How long have you been a county sheriff?
- 22 A I'm in my eighth year.
- 23 Q Prior to becoming sheriff, what was your employment?
- 24 A I was employed with the Pennsylvania State Police.
- 25 Q Your position there?

- 1 A Trooper.
- 2 Q How many years with the state police?
- 3 A Thirty.
- 4 Q Did you investigate the murder of Saundra Martin?
- 5 A Yes, sir; I did.
- 6 Q I want to draw your attention to August 4, 1987. Did
- 7 you have occasion to interview Ellis Price?
- 8 A Yes.
- 9 Q Where did that interview take place?
- 10 A Fayette County Prison.
- 11 Q Do you recall what the subject matter of that interview
- 12 was?
- 13 A Yes.
- 14 Q What was that?
- 15 A He was supposed to have evidence in the Saundra Martin
- 16 homicide case.
- 17 MR. CARUSONE: May I approach, Your Honor?
- THE COURT: Counsel doesn't need request to
- 19 approach; that's fine.

- 20 MR. CARUSONE: Sorry, Your Honor.
- 21 BY MR. CARUSONE:
- 22 Q I am going to show you what's been marked as for
- 23 identification Commonwealth Exhibit 1. Can you identify that
- 24 document?
- 25 A Yes, sir.

- 1 Q What is that?
- 2 A It's a supplemental report of my homicide report.
- 3 Q What is the subject of that report?
- 4 A The interview of Ellis Eugene Price.
- 5 Q That is a fair and accurate description of what Ellis
- 6 Price told you on August 4, 1987?
- 7 A Yes.
- 8 MR. CARUSONE: Move for the admission of
- 9 Commonwealth Exhibit No. 1.
- 10 MS. RUSSELL: There is no objection.
- 11 THE COURT: Can we mark the Commonwealth exhibits
- 12 with letters?
- 13 MR. CARUSONE: Sure. Sure.
- 14 THE COURT: Because we already marked plaintiff's
- 15 No. 1. So, we'll call that A.
- MR. CARUSONE: Very good, Your Honor. I'll make
- 17 the correction there.
- 18 THE COURT: A is admitted.
- 19 BY MR. CARUSONE:

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- 20 Q Sheriff Brownfield, what were the first words, according
- 21 to your report, what were the first words out of Ellis Price?
- 22 A I am aware, Fayette County, you can't do anything as far
- 23 as my sentence in the State of Michigan, but I think that I
- 24 know, what I know will be of help to you.
- 25 Q Sheriff, did Ellis Price ask you for anything during

- that interview you had with him?
- A No, sir.
- Did you have any express or implied agreements with

- Ellis Price in exchange for his testimony?
- A No, sir. 5
- Did you have any agreements of any kind with Ellis Price
- regarding his testimony?
- A No, sir.
- Did you make any promises to him whatsoever?
- 10 A No, sir.
- Did you do or say anything that would have led Ellis
- 12 Price to believe that he was going to get some sort of a
- benefit in exchange for his cooperation?
- 14 A No, sir.
- Did you give Ellis Price anything of benefit either 15
- before or during his testimony or after his testimony?
- 17 No, sir. A
- 18 MR. CARUSONE: Nothing further.
- THE COURT: All right. Cross-examination. 19

- 21 CROSS-EXAMINATION
- 22 BY MS. RUSSELL:
- 23 Q Sheriff, it's true that you don't know how you learned
- 24 that Ellis Price had information about the Breakiron case?
- 25 A Ma'am, I have difficulty hearing. If you could speak

- 1 up?
- 2 Q I am sorry. It's true that you don't know how you

- 3 learned that Ellis Price has information about Mark
- 4 Breakiron?
- 5 A That is true. I don't recall.
- 6 Q Just a moment ago, you read the first statement of your
- 7 report, supplemental report, in which Ellis Price says that
- 8 he knows you can't do anything about his sentence in
- 9 Michigan. How did he know that? Did you talk about that?
- 10 MR. CARUSONE: Objection. Calls for speculation.
- 11 MS. RUSSELL: Did you talk about.
- 12 THE COURT: The part about how did he know it is
- 13 sustained. The part about did you talk about it, he can
- 14 answer that.
- 15 A What part do you want?
- 16 Q Did you talk about -- did you talk with Ellis Price
- 17 about not being able to help him with his sentence in
- 18 Michigan?
- 19 A Evidently not or I would have put it in the report.

- 20 Q And it's true that you didn't -- there is nowhere in
- 21 your report here you didn't talk to him about helping him
- 22 with his charges in Pennsylvania? Or any charges he might
- 23 have in Pennsylvania?
- 24 A I was unaware of any charges he had in Pennsylvania.
- 25 Q Before you went to interview Ellis Price, did you look

- 2 A No, ma'am.
- 3 Q Did you look into his criminal record after you
- 4 interviewed him?
- 5 A No, ma'am.
- 6 MS. RUSSELL: Ask the Court's indulgence.
- 7 (Whereupon, an off-the-record discussion was had.)
- 8 BY MS. RUSSELL:
- 9 Q Did you talk to Ellis Price on any other occasion about
- 10 information that he had in the Breakiron case?
- 11 A If I had, it would be in a report. And I haven't had
- 12 the opportunity to review the entire report. So, I couldn't
- 13 say honestly if I did or not.
- 14 Q Did you have him sign anything regarding the information
- 15 he gave you?
- 16 A No.
- 17 Q Did you take any notes in that interview?
- 18 A I am sorry?
- 19 Q Did you take any notes from the interview?

- 20 A All my notes would have been taken at the time of
- 21 interview.
- 22 Q Would you have shown Mr. Price those notes?
- 23 A I am sorry. I have to ask you to repeat.
- 24 Q I am sorry. The question was, did you show Ellis Price
- 25 those notes that you took during the interview?

- 1 A No.
- 2 Q Are you aware of anyone else having any deals with
- 3 Mr. Price regarding the testimony he was going to give in the

- 4 Breakiron case?
- 5 A None to my knowledge.
- 6 Q So, you are not aware of whether or not he had a deal
- 7 with the District Attorney or the prosecutors in the case?
- 8 A There was no such deal that I was aware of.
- 9 Q That you weren't personally aware of?
- 10 A No.
- 11 Q Did you ever talk to James Sullivan, who's known by the
- 12 nickname of Silky, about any information he had in the
- 13 Breakiron case?
- 14 A Not on this case. No.
- 15 Q What about Chris Miller?
- 16 A I don't recall name.
- 17 Q Did you ever talk to Clinton Conrad Blair about
- 18 information he might have in the Breakiron case?
- 19 A Not on the Breakiron case. No, ma'am.

- MS. RUSSELL: I don't have anything further at this
- 21 time.
- THE COURT: Thank you.
- 23 MR. CARUSONE: No redirect.
- 24 THE COURT: Sheriff Brownfield, you are excused.
- THE WITNESS: Am I free to leave then?

- 1 THE COURT: Yes, sir.
- THE WITNESS: Thank you very much.
- 3 (Whereupon, the witness was excused from the
- 4 witness stand.)
- 5 THE COURT: That is fine there, Mr. Morrison.
- 6 THE DEPUTY CLERK: Raise your right hand.
- 7 MARK MORRISON, ESQUIRE, A WITNESS, having been
- 8 first duly sworn, was examined and testified as follows:
- 9 THE DEPUTY CLERK: Would state your name, please,
- 10 and spell your last name?
- 11 THE WITNESS: My name is Mark F., as in Frederick,
- 12 Morrison, M-O-R-R-I-S-O-N.
- 13 THE DEPUTY CLERK: Thank you. Take the witness
- 14 stand please.
- 15 DIRECT EXAMINATION
- 16 BY MR. CARUSONE:
- 17 Q Mr. Morrison, good afternoon.
- 18 A Good afternoon.
- 19 Q Did you serve as the Fayette County DA?

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- 20 A I did.
- 21 Q During what period; do you recall?
- 22 A Between 1987 and 1988.
- 23 Q Did you prosecute Mark Breakiron for the murder of
- 24 Saundra Martin?
- 25 A I did.

1 Q Was there any other prosecutors working with you on that

- 2 trial?
- 3 A There was.
- 4 Q Who was that?
- 5 A Attorney Cynthia Cline, C-L-I-N-E.
- 6 Q Is she deceased?
- 7 A She is.
- 8 Q Did you meet -- did you call Ellis Price as a witness
- 9 during the trial?
- 10 A Yes, I did.
- 11 Q Prior to calling him as a witness, did you meet with
- 12 him?
- 13 A Yes, I did.
- 14 Q Can you describe that meeting for the Court?
- 15 A Yes. The meeting took place in my office in the
- 16 Courthouse. That would be the District Attorney's Office.
- 17 I asked, I believe it was then, Trooper Brownfield, now
- 18 Sheriff Gary Brownfield, to bring Ellis to my office.
- 19 Purpose of the meeting was for me to tell Ellis that

- 20 there was nothing that I could do for him. He was, if I
- 21 remember correctly, serving a period of incarceration in
- 22 Michigan, I believe it was.
- And I believe that sentence had already been handed out.
- 24 I wanted him to know that there was nothing I could do for
- 25 him. I could make him no offer. Of course, I wanted to hear

- 1 what he had to say.
- 2 Q Did you, in fact, communicate what you intended to

- 3 communicate then?
- 4 A Yes, I did.
- 5 Q Did Mr. Price explain why he was cooperating in this
- 6 case?
- 7 A Yes, he did.
- 8 Q What did he say?
- 9 A He told me that he wanted to do it because he thought it
- 10 was a terrible thing is what Mr. Breakiron had done to the
- 11 girl. He thought it was the right thing to do. Or words to
- 12 that effect. I don't know if that's verbatim, but it was
- 13 words to that effect.
- 14 Q You did, in fact, call Ellis Price to testify during the
- 15 trial; is that correct?
- 16 A That is correct.
- MR. CARUSONE: Your Honor, I'm going to move for
- 18 the admission of Commonwealth Exhibit B, a stipulation. It
- 19 is a transcript of Ellis Price's testimony during the

- 20 Breakiron trial.
- THE COURT: All right. That's stipulated; is that
- 22 right?
- MS. RUSSELL: Yes.
- MR. LEV: Yes, sir.
- THE COURT: All right. B is admitted.

- 1 ---
- 2 BY MR. CARUSONE:
- 3 Q Mr. Morrison, did Ellis Price ask you for anything in
- 4 exchange for his testimony?
- 5 A He did not.
- 6 Q Did you enter into any express agreements with Ellis
- 7 Price for his testimony?
- 8 A I did not.
- 9 Q Did you enter into any tacit or implied agreements with
- 10 Ellis Price in exchange for his testimony?
- 11 A I did not.
- 12 Q Did you enter into any agreements of any kind with Ellis
- 13 Price in exchange for his testimony?
- 14 A I, at no time, ever made any agreement of any type
- 15 whatsoever with Ellis Price in return for his testimony in
- 16 the Breakiron case. Never.
- 17 Q Did you promise him anything?
- 18 A I never promised him anything, tacitly or specifically.
- 19 Nothing, ever.

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- 20 Q Finally, did you say or do anything that could have led
- 21 Ellis Price to believe that he was going to receive some sort
- 22 of a benefit in the future in exchange for his testimony?
- 23 A Absolutely not. Be impossible. As the first thing I
- 24 told him was, there was nothing, absolutely nothing, that I
- 25 could do for him.

- 1 Q If you, if you had entered into some, some sort of
- 2 agreement with Ellis Price in exchange for his testimony,

- 3 would you have recorded that?
- 4 A Yes, I would have.
- 5 Q Where would you have recorded that?
- 6 A I would have kept the written memorandum of agreement in
- 7 the file in the Breakiron homicide file.
- 8 MR. CARUSONE; Nothing further.
- 9 THE COURT: All right. Cross-examination.
- 10 CROSS-EXAMINATION
- 11 BY MR. LEV:
- 12 Q Mr. Morrison, I want to establish the time line of your
- 13 time as DA a little more specifically, please.
- 14 A Yes, sir.
- 15 Q When did you become the acting District Attorney?
- 16 A I believe it was in either December of 1987 or January
- 17 of 1988.
- 18 Q And it was in that role that you prosecuted Mark
- 19 Breakiron; right?

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- 20 A That's correct.
- 21 Q Prior to becoming acting District Attorney, what had you
- 22 been doing?
- 23 A I was in private practice as a, an attorney in Fayette
- 24 County, doing a general practice.
- 25 Q Now, is it correct that you don't know what, other than

- what was in the file that you had in the DA's Office, you
- 2 don't know what conversations were had between any members of
- 3 the District Attorney's Office or any members of the police
- 4 and Ellis Price prior to your becoming acting District
- 5 Attorney?
- 6 A No. I only know about what I did or did not say to him.
- 7 Q Is it correct that you never had any conversation with
- 8 your predecessor District Attorney about Mark Breakiron's
- 9 case prior to your trying the case?
- 10 A I am sorry. I can't remember if I -- when you say, any
- 11 conversation, if you could be a little more specific?
- 12 I never sat down and spoke with them at length about --
- 13 over the evidence, what we had, we didn't have, that I didn't
- 14 do. But, in passing, did I say, mention something about it?
- 15 I don't know. I can't say that for certain.
- 16 Q Maybe, perhaps, some casual conversations, but you never
- 17 had any briefing by the people who were in charge of the
- 18 investigation before?
- 19 A No, sir.

- 20 Q Okay. When was the first time you started to learn
- 21 about the details of Mark Breakiron's case?
- 22 A It would have been in February of 1988.
- 23 Q Okay. So that would have been long after Mr. Ellis
- 24 Price's motion for arrest of judgment had been granted and
- 25 that the decision not to appeal had been made?

- 1 A I honestly don't even recall that happening.
- 2 Q You didn't have any part in any of that?
- 3 A No, sir; I did not.
- 4 Q In your review of the file, did you ever see any letters
- 5 from Ellis Price written to District Attorney Solomon?
- 6 A No. I didn't.
- 7 Q Did you see any letters from James Sullivan written to
- 8 District Attorney Solomon in the file?
- 9 A No. I didn't.
- 10 Q If you had seen those letters, would you have made sure
- 11 they were turned over to the defense?
- 12 A Absolutely. Having been a defense attorney, I knew how
- 13 important discovery was. I made it a point during my
- 14 overseership of the office, if you will, that discovery was
- 15 complete and to the fullest extent.
- 16 Q Now, you did not do the initial discovery package in
- 17 this case; isn't that correct?
- 18 A The record will have to speak for itself. I don't
- 19 remember if I did the initial one or if there was a

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- 20 subsequent one. I don't remember.
- 21 Q In Fayette County, was the process of turning over
- 22 discovery usually the answer to the bill of particulars?
- 23 A Either answer to bill of particulars, answer to informal
- 24 request for discovery, depending on which rule they came
- 25 under.

- 1 Q So, if the docket in this case shows that an answer to
- 2 the bill of particulars was filed in July of 1987, that would
- 3 have been before you had any contact with this case as a
- 4 prosecutor; right?
- 5 A Yes, sir.
- 6 Q Did you ever see a signed statement from Ellis Price in
- 7 the file?
- 8 A I don't recall ever seeing a signed statement, signed by
- 9 Ellis Price. I don't recall.
- 10 Q Do you recall seeing any other statement from Ellis
- 11 Price, other than the police report that Sheriff Brownfield
- 12 had filed?
- 13 A No. I don't remember seeing anything other than that.
- 14 Q When you interviewed Ellis Price, did you memorialize
- 15 that into any kind of report?
- 16 A I didn't even sit down. It took place in a very brief
- 17 period of time and I didn't, I wasn't even at my desk.
- 18 Q So, the answer is, you didn't memorialize it?
- 19 A Yes. I am sorry. I did not memorialize it.

- 20 Q Okay. Do you recall, Mr. Morrison, that you were
- 21 representing Mr. Sullivan in the summer of 1987 on the
- 22 homicide conviction -- on the homicide charges that
- 23 Mr. Sullivan was facing at that time?
- 24 A That's possible. The record, again, would have to speak
- 25 for itself.

- 1 Q Mr. Morrison, I'm going to show you a copy of the
- 2 Fayette County Criminal Court docket of homicide charges
- 3 against James Francis Sullivan and refer you, particularly,
- 4 to, here, to the April 16 entry.
- 5 A Yes, sir.
- 6 Q Okay?
- 7 A Um-hum.
- 8 Q That shows appearance. Says, Morrison.
- 9 A Yes, it does.
- 10 Q Then, on the next page, I'm going to refer you to the
- 11 October 16 entry and ask you to look at that?
- 12 A I don't see an October 16. I see a 14 and 15.
- 13 Q I am sorry. I -- my vision. October 14 entry, then?
- 14 A Yes. I see it.
- 15 Q That shows you were permitted to withdraw as -- from
- 16 Sullivan's counsel?
- 17 A Yes, sir.
- 18 Q Does that refresh your recollection about whether you
- 19 represented Mr. Sullivan between April of 1987 and October of

- 20 1987?
- 21 A Yes. I have no reason to doubt those dates.
- 22 Q Were you ever made aware of Mr. Sullivan writing a
- 23 letter to the District Attorney offering to testify against
- 24 Mr. Breakiron in exchange for getting some benefits in this
- 25 case?

- 1 A If you say ever, certainly, since this appeal has come
- 2 up, I've been told.
- 3 Q At -- during 1987, during your time of representing
- 4 Mr. Sullivan --
- 5 A No, sir.
- 6 Q -- were you aware of any offer?
- 7 A No, sir.
- 8 Q Do you recall that in 1988, while you were prosecuting
- 9 Mr. Breakiron's case, there were allegations made by another
- 10 attorney in another case suggesting that you had a conflict
- 11 in the Breakiron case because you knew of -- that
- 12 Mr. Sullivan had offered to provide information?
- 13 A I honestly don't recall that. If you have a record,
- 14 maybe.
- 15 Q From an Attorney Nessar. Do you remember Attorney
- 16 Nessar had raised that issue in a brief?
- 17 A It occurs to me that there was something that Mr. Nessar
- 18 did, but I don't recall specifically what it was.
- 19 Q Do you recall then that Mr. Breakiron's defense counsel

- 20 filed a motion asking that you be disqualified from
- 21 prosecuting this case?
- 22 A I do remember that he did that. Yes. In fact, I
- 23 believe he even asked for Miss Cline to be disqualified.
- 24 Q Miss Cline was your law partner, as well as your
- 25 assistant, in this case?

- 1 A That's correct. Although, the reason I remember, if I
- 2 may, Your Honor? Was related to the fact that we had both
- 3 been law clerks for the Honorable Judge William J. Franks and
- 4 Mr. Nessar believed in some way we might receive favorable
- 5 treatment from him. I remember that issue, specifically.
- 6 MR. LEV: Could I have this -- Chris, this is the
- 7 transcript of the motion to recuse. Could I have this marked
- 8 as plaintiff's 2, please?
- 9 BY MR. LEV:
- 10 Q Mr. Morrison, I'm going to show you notes of testimony
- 11 from a motions Court proceeding in Fayette County Court of
- 12 Common Pleas from March 29, 1988 before the Honorable Conrad
- 13 B. Capuzzi. I'm going to ask you -- it's just a couple pages
- 14 long. I am going to ask you if you would to take a look at
- 15 that?
- 16 A Certainly. (Indicating.)
- 17 THE WITNESS: I am sorry, Your Honor. This
- 18 document is incomplete. I'm missing page 3.
- MR. LEV: Tricia, let's have that one, if that one

- 20 has page 3. Let's have this marked as maybe Exhibit 2-A.
- 21 MS. RUSSELL: Sorry.
- MR. LEV: I am sorry about that, Mr. Morrison.
- 23 It's my error. Let's mark it 3, so we don't mix up letters.
- 24 THE COURT: That would be better.
- 25 BY MR. LEV:

- 1 Q There?
- 2 A Thank you. I've completed it.
- 3 Q Does that refresh your recollection, Mr. Morrison, about

- 4 what the subject of that recusal motion was?
- 5 A Yes, it does.
- 6 Q And what was the subject of that recusal motion?
- 7 A There's a reference made in the motion to the fact that
- 8 I had previously represented Mr. Sullivan, I or a member of
- 9 my staff had previously represented Mr. Sullivan.
- 10 Q And there was a concern that Mr. Sullivan might be
- 11 called to testify against Mr. Breakiron; correct?
- 12 A Defense counsel had, it appears from the motion, defense
- 13 counsel had that concern; yes.
- 14 Q Let me refer you now to page 5 of that transcript there
- 15 that you have?
- 16 A Yes, sir.
- 17 Q Down in the middle. You tell the Court, all we would
- 18 offer is that we're not using that witness, Your Honor.
- 19 And the Court says, You are not.

- Then you say, we're not using Mr. Sullivan. No. We're
- 21 not calling him or using anything that he offered to us. And
- 22 in fact, I was not a party to the allegations made by
- 23 Mr. Nessar that there was a deal. Mr. Sullivan took that
- 24 upon himself to do. That's the only thing we would offer.
- 25 A I see that.

- 1 Q Do you remember saying that to the Judge?
- 2 A No. I remember from seeing it here in the record.
- 3 Q Okay. Well, then when you said, Mr. Sullivan took that

- 4 upon himself to do, do you know what it was that you were
- 5 talking about?
- 6 A Apparently, as I see this now, and I'm trying to recall
- 7 it, Mr. Sullivan attempted to make his own deal with the
- 8 District Attorney's Office with some information he believed
- 9 might have some merit in the case.
- 10 Q He did that during the time you were District Attorney
- 11 or at another time?
- 12 A I don't know.
- 13 Q Do you recall Mr. Sullivan ever approaching you and
- 14 telling you that he had information in the case?
- 15 A No. I don't recall that.
- 16 Q Do you recall during time you represented Mr. Sullivan,
- 17 in the summer of 1987, learning that Mr. Sullivan had written
- 18 a letter to the District Attorney offering information?
- 19 A I am sorry. Could you repeat the question?

- 20 Q During the summer of 1987, when you were representing
- 21 Mr. Sullivan, do you recall learning that Mr. Sullivan had
- 22 written a letter to the District Attorney offering
- 23 information in the Mark Breakiron case?
- 24 A I don't recall that, specifically. No. Again, if there
- 25 is a record, it would have to speak for itself.

Do you recall going to see Mr. Sullivan and telling him

- 2 that he shouldn't have done that? That he should have gone
- 3 through you?
- 4 A Sounds like something I would do. I can't say I
- 5 specifically recall it, but it -- that does sound like
- 6 something I would do.
- 7 Q I'll take that back from you.
- 8 MR. LEV: Your Honor, I would move to admit
- 9 Plaintiff's Exhibit 3. I'll withdraw Exhibit 2 and move to
- 10 admit Exhibit 3.
- 11 MR. CARUSONE: No objection.
- THE COURT: Three is admitted.
- 13 BY MR. LEV:
- 14 Q Let me change the area with you, now, Mr. Morrison; if I
- 15 may. As, as acting District Attorney, were you responsible
- 16 for open criminal investigations that were going on?
- 17 A No.
- 18 Q Who would be responsible for open criminal
- 19 investigations?

- 20 A The investigating law enforcement agency, whether it be
- 21 the police department, Pennsylvania State Police, whoever.
- 22 Q I mean, were you aware of any criminal activity
- 23 surrounding an assault and robbery against a Vincent
- 24 Steurbutzel?
- 25 A No. I don't remember that.

1 Q If Mr. Steurbutzel had been assaulted in 1986, and if

- 2 during that year reports had come from one of the alleged
- 3 participants admitting his participation, and naming the
- 4 other people who were involved, is that something that the
- 5 District Attorney should have been or would have been aware
- 6 of?
- 7 MR. CARUSONE: Objection. It calls -- it's
- 8 hypothetical. It calls for speculation on the part of this
- 9 witness. I think he can respond to things that he can do or
- 10 he would do. But the question was phrased, what the District
- 11 Attorney should do.
- MR. LEV: I will rephrase the question.
- 13 BY MR. LEV:
- 14 Q In terms of when you were acting District Attorney, is
- 15 that something that you would have been aware of?
- MR. CARUSONE: Objection. He's already asked and
- 17 answered. He has already testified he was unaware of this.
- MR. LEV: Or he doesn't recall.
- 19 THE COURT: Why don't you ask the question again?

- 20 BY MR. LEV:
- 21 Q If there were police reports indicating a robbery and
- 22 assault with injury, and naming the participants, and that
- 23 one participant had confessed and named the others, is that
- 24 something that you, when you were acting District Attorney,
- 25 would have known about?

- 1 MR. CARUSONE: Your Honor, I object. He's already
- 2 testified that he doesn't remember. All he can say is
- 3 whether he knew about it or he didn't know about it.
- 4 THE COURT: Well, he testified he didn't know about
- 5 Steurbutzel. But if you want to ask him, generically, is
- 6 that something he would have normally known?
- 7 MR. LEV: That's right.
- 8 THE COURT: Can you, can you answer that,
- 9 Mr. Morrison?
- 10 A The problem, counsel, that I am having with your
- 11 question is, are you asking me if a piece of mail came into
- 12 the office identifying perpetrators of an alleged crime,
- 13 would I have necessarily read that letter and been made aware
- 14 of that? Is that the nature of your question?
- 15 Q I am asking you, if there were police reports
- 16 identifying the perpetrators, the suspected perpetrators of
- 17 an alleged crime?
- 18 A That report would not have come into my office until
- 19 charges had already been filed, unless the prosecuting

- 20 officer had questions about the case before he made the
- 21 arrest.
- In that event, the officer would have spoken to whoever
- 23 was in the office whenever he or she came in to talk about it
- 24 or questioning. It may have been me. It may have been
- 25 another assistant. Otherwise, they would have come in in the

- 1 form of the answer to discovery after the charges had already
- 2 been filed.
- To say what -- would I have personally been made aware
- 4 of it? Maybe, maybe not. Would the office had, necessarily,
- 5 mandatory, I don't know what the word is I'm looking for. I
- 6 can only say, maybe. That's the fairest answer I can give
- 7 you to that question.
- 8 Q So, is it your testimony that the District Attorney's
- 9 Office in Fayette County at that time did not exercise any
- 10 supervision and control over the charging process of when
- 11 somebody was arrested and charged with a crime; is that what
- 12 you are telling me?
- MR. CARUSONE: Just ask for a clarification. What
- 14 time period are you referring to, the time period he was
- 15 District Attorney?
- MR. LEV: The time period he was District Attorney.
- 17 A Again, if they had a question about the case before they
- 18 filed, they might come in. We might, at that point, exercise
- 19 some discretion in saying, don't file this charge, file this

- 20 charge.
- Generally, what they would do is they would do their
- 22 investigation. They would file their charge with the issuing
- 23 authority or with the district justice.
- 24 Q Could you explain why?
- 25 MR. CARUSONE: Mark.

1 THE WITNESS: I'm not feeling very, very well.

- 2 Thank you.
- 3 MR. LEV: You want to break?
- 4 THE WITNESS: No. Go ahead.
- 5 MR. CARUSONE: Your Honor, Mr. Morrison has a
- 6 medical condition and it's fairly serious. I know that
- 7 occasionally he needs time to stretch his legs.
- 8 THE COURT: Mr. Morrison, whenever you need to get
- 9 up and move, feel free to do so. We'll take a break.
- THE WITNESS: Thank you, Your Honor. Thanks.
- 11 THE COURT: Are you sure.
- 12 THE WITNESS: Yes. Sorry.
- 13 THE COURT: No apology required.
- Why don't you repeat the last question?
- MR. LEV: Your Honor, Mr. Morrison, are you ready
- 16 to proceed?
- 17 THE WITNESS: Yes, sir.
- MR. LEV: Could the court reporter please read back
- 19 the last question?

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- 21 A And to complete that process, then it would come into
- 22 our office for us to prepare the informations and file them
- 23 of record.
- 24 Q Do you have any explanation as to why the Steurbutzel
- 25 assault and robbery that occurred in January of 1986 was not

- 1 prosecuted until some time in 1988 or '89?
- 2 A No, sir. I don't know anything about the Steurbutzel
- 3 case at all.
- 4 Q Well, do you remember that you represented Robert Price

- 5 after your time as District Attorney?
- 6 A Very possible.
- 7 Q In the charges, and that he was charged as a participant
- 8 in the Steurbutzel case?
- 9 A I don't recall that, specifically. But the record, I am
- 10 sure, would speak for itself.
- 11 Q Do you recall arranging a plea in which Mr. Price was
- 12 allowed to plead nolo contendere to the charges and that he
- 13 received a five- to ten-year sentence concurrent with the
- 14 time that he was already serving?
- 15 A Again, I don't have specific memory of that, now. The
- 16 record would have to speak for itself. I'm not trying to be
- 17 evasive under the circumstances, it's just been a very long
- 18 time and a lot of cases.
- 19 Q Were you aware -- although, you remember Ellis Price

- 20 pretty clearly; don't you?
- 21 A This case, the Breakiron case, has been with me every
- 22 day.
- 23 Q But you don't remember that Ellis Price was named as one
- 24 of the participants in the Steurbutzel case?
- 25 A I don't recall the Steurbutzel case. I mean, if you

- 1 could be a little more specific about the facts, maybe I
- 2 might be able to remember something about it.
- 3 Q If you will give me -- I'm going to ask you,
- 4 Mr. Morrison, if you would read this police report and see if
- 5 that refreshes your recollection at all?
- 6 A (Indicating.)
- 7 Q Does that refresh your recollection about the
- 8 Steurbutzel case at all?
- 9 A It does. It refreshes my recollection to the extent
- 10 that I recall now that Robert Price had been in an accident
- 11 involving a Jeep and he had been burned rather severely. And
- 12 he went AMA, which is an abbreviation for against medical
- 13 advice. Signed himself out of the hospital here in
- 14 Pittsburgh the day after, two days after the accident.
- 15 I believe that's the reference to the next paragraph
- 16 that's in that report. And now, after reading that, I have
- 17 some recollection that he got into some kind of problem
- 18 within a few short days after being in that accident which I
- 19 am going to have to conclude was the Steurbutzel matter.

- 20 Q Do you recall knowing of Ellis Price's involvement in
- 21 the Martin --
- 22 A Honestly, no. I don't.
- 23 Q Did you conduct, as before, in putting Ellis Price on
- 24 the witness stand at Mark Breakiron's trial, did you conduct
- 25 any inquiry to see whether Ellis Price was the subject of any

- open or pending investigations in Fayette County?
- 2 A No. I don't recall doing that, because I wasn't even

- 3 sure I was going to use him.
- 4 Q But you did?
- 5 A Yes.
- 6 Q And you didn't conduct any inquiry into --
- 7 A No. I don't believe I did. I don't recall doing that.
- 8 No.
- 9 Q If you had known that Ellis Price was the subject of an
- 10 investigation and was named as a participant in an assault
- and robbery, is that information that you would have provided
- 12 to the defense counsel?
- 13 A Yes. Once I decided to use Ellis Price as a witness, I
- 14 would have certainly provided it.
- 15 Q Because that open investigation would have been
- 16 important information for defense counsel?
- 17 A Absolutely. Absolutely.
- MR. LEV: I don't have any further questions of
- 19 Mr. Morrison. Thank you, sir.

- THE COURT: All right. Mr. Carusone, anything
- 21 further?
- MR. CARUSONE: Yes, Your Honor.
- 23 REDIRECT EXAMINATION
- 24 BY MR. CARUSONE:
- 25 Q Did you call Jim Sullivan to the -- as a witness during

- 1 the -- Breakiron trial?
- 2 MR. LEV: Stipulate that he didn't.
- 3 BY MR. CARUSONE:
- 4 Q Is there any, is there any mention in Exhibit P-3 -- you
- 5 still have that in front of you?
- 6 A No, sir. I don't.
- 7 MR. CARUSONE: Well, maybe counsel can stipulate
- 8 there is no mention in Exhibit P-3 of Ellis Price?
- 9 MR. LEV: Correct.
- 10 MR. CARUSONE: Okay.
- 11 BY MR. CARUSONE:
- 12 Q Mr. Morrison, when you were questioned about whether you
- 13 approached Mr. Sullivan in the jail to discuss with him some
- 14 letter that he wrote, you responded, it sounds like something
- 15 I would do, but I don't recall.
- 16 I would ask you to explain your answer. Did you do it?
- 17 Or didn't you? Or don't you know?
- 18 A I don't know.
- 19 Q You don't know?

- 20 A I don't know. I don't recall doing that, specifically,
- 21 to be very honest with you. I don't recall doing that.
- However, as a defense lawyer, I would also admonish my
- 23 client not to have direct conversations with the prosecution.
- 24 Q So, that was your habit?
- 25 A That was my habit.

- 1 Q But you have no specific recollection of doing that?
- 2 A No, sir. I don't.
- 3 Q And, Mr. Morrison, as District Attorney of Fayette
- 4 County, you do not review every police report that was
- 5 generated in Fayette County; is that correct?
- 6 A No, sir.
- 7 Q Mr. Lev discussed the timing of the filing of the
- 8 charges in the Steurbutzel case. Mr. Morrison, did you, did
- 9 you take any action to purposely delay the filing of charges
- 10 in the Steurbutzel case?
- 11 A Absolutely not.
- MR. CARUSONE: No further questions.
- 13 MR. LEV: I have nothing.
- 14 THE COURT: All right. Thank you, Mr. Morrison.
- 15 You are excused.
- 16 (Whereupon, the witness was excused from the
- 17 witness stand.)
- MR. CARUSONE: Your Honor, I just need a minute to
- 19 see if the judges are here.

Case 2:00-cv-00300-NBF Document 168 Filed 07/23/2007 Page 298 of 442 THE WITNESS: Your Honor, may I remain for a few

- 21 minutes, if you are not going to use me as rebuttal?
- MR. LEV: I have no objection to that.
- THE COURT: That's fine.
- THE WITNESS: Thank you.
- THE COURT: Mr. Carusone.

- 1 MR. CARUSONE: Judge Warman, Your Honor.
- 2 THE COURT: All right. Judge, would you stand
- 3 there to be sworn, please?
- 4 HONORABLE RALPH C. WARMAN, A WITNESS, having been
- 5 first duly sworn, was examined and testified as follows:
- 6 THE DEPUTY CLERK: State your name, for the record,
- 7 and spell your last name, please?
- 8 THE WITNESS: Ralph C. Warman, W-A-R-M-A-N.
- 9 THE DEPUTY CLERK: Thank you, sir. Please take the
- 10 witness stand.
- 11 DIRECT EXAMINATION
- 12 BY MR. CARUSONE:
- 13 Q Judge Warman, good afternoon.
- 14 A Good afternoon.
- 15 Q How are you currently employed, sir?
- 16 A I am a Common Pleas Court Judge in Fayette County.
- 17 Q And when did you become a judge?
- 18 A June 6, 1996.
- 19 Q And were you previously employed by the District

- 20 Attorney's Office?
- 21 A Yes. I was. I was the District Attorney from 1991 to
- 22 19 June 6/19/96.
- 23 Q Were you also employed as an assistant with the office?
- 24 A I was employed as an assistant from October, 1977 to
- 25 December 31, 1988.

1 Q And during the period of time that you were employed as

- 2 an assistant, do you recall who the District Attorney was?
- 3 A A judge. He is now Judge Gerald R. Solomon. He went in
- 4 in October, in the District Attorney's Office, as District
- 5 Attorney. He had been an assistant before, but he, he went
- 6 in as the District Attorney in October, '77 and I started at
- 7 that time with him.
- 8 Q Sir, did you prosecute Robert and Ellis Price for the
- 9 attempted homicide of Mark Richter?
- 10 A Yes, I did.
- 11 Q Can you just generally describe that incident to the
- 12 best you can recall of what the general plot --
- 13 A It was a shooting at a local nightclub Teego's Tavern.
- 14 (Spelled phonetically.) The place has since burnt down.
- 15 There's, I think, a gas station there, now.
- But it happened on New Year's, as I recall. And Ellis
- 17 Price and his brother, Robert Price, went in late, after
- 18 twelve o'clock, I think. After midnight. They were having a
- 19 New Year's, New Year's Eve party.

- 20 Q Do you recall the year?
- 21 A I think it's January 1, '86?
- 22 Q Okay.
- 23 A And they were in the bar for a while. They got,
- 24 subsequently got involved with an argument with the bartender
- 25 and the bouncer, whose nickname was Tiny. It was Richter.

- 1 Mr. Richter told them that they had to leave. He gave
- 2 them money that they wanted and he told them to leave. And
- 3 they went outside the bar. He went out to make sure that
- 4 they were not damaging cars or anything and one of them
- 5 pulled a gun and shot him.
- 6 Q Did that case to go trial?
- 7 A Yes, it did.
- 8 Q Do you recall what the jury's verdict was?
- 9 A Jury's verdict was guilty for Robert Price for criminal
- 10 attempt to commit criminal homicide for Raymond Richter,
- 11 aggravated assault on Raymond Richter. Recklessly
- 12 endangering for Raymond Richter. And also the same three
- 13 offenses for a Richard Fletcher who was a witness.
- 14 And Ellis Price was convicted of criminal attempt to
- 15 commit homicide with regard to Raymond -- Richard Fletcher.
- 16 And recklessly endangering and also danger -- assault with
- 17 regard to Richard Fletcher. There had been a demurer granted
- 18 by Judge Franks to the conspiracy charges and to the other
- 19 charges against -- that had been filed against Ellis Price.

- 20 Q Is that prior to trial or during the trial?
- 21 A During the trial.
- 22 Q But still there were certain charges that he was
- 23 convicted of?
- 24 A Yes.
- 25 Q When it went to the jury?

- 1 A Right.
- 2 Q Following the trial, did Ellis Price file a motion in

- 3 arrest of judgment?
- 4 A He filed more than, more than that. There were more
- 5 than that that were filed. I don't recall everything that
- 6 was filed, but one of the things was a motion of an arrest of
- 7 judgment.
- 8 Q Can you recall on what the Court's decision was on that
- 9 motion?
- 10 A Judge Franks granted his motion.
- 11 Q Sorry, Your Honor.
- 12 I'm going to show you what's been marked for
- 13 identification as Commonwealth Exhibit C. Just ask you to
- 14 take a moment to review that document. When you are ready.
- 15 Take your time. If you could identify that document for the
- 16 Court?
- 17 A Yes. I can identify this as being Judge Franks'
- 18 opinion. It's dated July 24, '87. And this is where he
- 19 granted the, the arrest of judgment with regard to Ellis

- 20 Price.
- MR. CARUSONE: Move for the admission of Exhibit C?
- MR. LEV: No objection.
- THE COURT: C is admitted.
- 24 BY MR. CARUSONE:
- 25 Q Did the Commonwealth oppose the motion in arrest of

- 1 judgment?
- 2 A My recollection is that we did. We filed -- our office
- 3 filed a brief with Judge Franks as to it.
- 4 Q Were you surprised about Judge Franks' decision?
- 5 A I was not surprised by Judge Franks' decision. No.
- 6 Q Can you explain why not?
- 7 A Well, I was involved in this case, initially, after the
- 8 police filed the charges. I believe it was Trooper Roberts
- 9 that filed the charges to begin with. And as I recall, I
- 10 went to the preliminary hearings, too.
- But they had charged both, both Robert and Ellis. And
- 12 when I looked over the information that they had, it was only
- 13 Robert that they appeared to have any information relative
- 14 to. Robert was the one that was, that the Commonwealth
- 15 witnesses indicated was involved in the shooting, but Ellis
- 16 was there. They were both together there.
- 17 They went to the bar together. And, when they left the
- 18 area, they left together. So, the police charged them both.
- What I believed is we could never convict Ellis by

- 20 himself, but he was, as I recall, he was in Michigan at the
- 21 time. At the time, we were trying to get him back. He had
- 22 been convicted of a robbery or something in Michigan and we
- 23 borrowed him from Michigan on the Interstate Detainers Act.
- 24 And we tried them together, which I believe was the only way
- 25 we could convict them and because his involvement, his

- 1 involvement was, basically, being there with his brother.
- 2 Q And arriving and leaving together?
- 3 A Yes. As I recall, he drove the car from the scene.
- 4 Q Okay. Did the District Attorney's Office appeal the
- 5 decision of Judge Franks?
- 6 A No.
- 7 Q Can you explain to the Court why not?
- 8 A We had, we had a small office to begin with. We -- I
- 9 think we had five assistants back then. Everybody was
- 10 part-time. The District Attorney was part-time. And we just
- 11 -- we didn't have the manpower. We didn't file any appeals.
- 12 There might have been one appeal we filed in the ten
- 13 years or so there. We were, generally, satisfied with what
- 14 our judges did. They always gave us a decent shake.
- And, in this case, the, the fact was that Francis was,
- 16 Franks was probably right. He probably should, should have
- 17 granted an arrest of judgment because he probably should have
- 18 granted the demurer in the first place. I mean, I argued
- 19 against it, but then I was on the opposite side.

- 20 Q Now, you said that your office did defend against
- 21 appeals that were filed; correct?
- 22 A Yes, we did.
- 23 Q You responded to appeals?
- 24 A We filed responsive briefs. We had, we had for a while,
- 25 I think most of the ten years, we had, we had an independent

- brief writer.
- Was that person employed by the DA's Office? 2
- Was employed by the DA's Office and paid for by the

- county. And it was, when I started, it was like thirty-five
- dollars a brief, no matter what level it was. And then it
- went up to like fifty dollars a brief, something like that.
- 7 And they would brief locally post-trial motions and then
- they would brief the -- at the Superior Court level and at
- the Supreme Court level, as necessary.
- 10 How would you describe the support staff in the office?
- Did you have a large support staff, small support staff?
- We had, like I said, I think we had five assistants. 12
- They were all part-time.
- Right. What about secretaries? 14
- We had probably four, four secretaries. Like an office 15
- manager and three. We had, we had one that typed up all the
- informations. They each had separate duties. One would send
- out all the subpoenas. I can't remember everything back
- 19 then.

- 20 Q Okay. That's fine, Judge. Was there any agreement not
- 21 to appeal Judge Franks' decision in exchange for Ellis
- 22 Price's testimony in the Breakiron case?
- 23 A No. Not to my knowledge.
- 24 Q Did Ellis Price ask you for anything in exchange for his
- 25 testimony in the Breakiron case?

- 1 A I never talked to him. I don't believe I've ever seen
- 2 Ellis Price, maybe except for preliminary hearing and trial.
- 3 Q So, I take it, then, that there were no express
- 4 agreements or implied agreements between you and Ellis Price
- 5 regarding his testimony in the Breakiron case?
- 6 A That is correct.
- 7 Q You recall making any promises to him whatsoever in
- 8 exchange for his testimony in the Breakiron case?
- 9 A Absolutely not.
- 10 Q Did you recall whether you -- strike that.
- Did you do or say anything?
- 12 A I would have thought they didn't even needed him, there
- 13 was so much evidence against Mark Breakiron, anyway.
- 14 Q Did you ever do or say anything that would have led
- 15 Ellis Price to believe that he was going to receive some sort
- 16 of a benefit in exchange for his testimony in the Breakiron
- 17 case?
- 18 A No.
- 19 Q Did you give Ellis Price any benefit whatsoever in

- 20 exchange for his testimony in the Breakiron case?
- 21 A I wasn't involved in the Breakiron case. I left the
- 22 office December 31, 1988. I think. And it was -- or '87.
- 23 He wasn't tried until the next year.
- 24 Q Right. Who was the District Attorney then?
- 25 A The District Attorney would have been, well, Alphonse

1 Lepore was appointed, and Mark Morrison, who was interim

- 2 District Attorney, because Al Lepore had been the Public
- 3 Defender on cases where there were conflicts.
- 4 Q Judge, I just want to -- did you give Ellis Price any
- 5 benefit whatsoever in his testimony in the Breakiron case?
- 6 A No.
- 7 MR. CARUSONE: Nothing further.
- 8 THE COURT: All right. Thank you.
- 9 Mr. Lev.
- 10 CROSS-EXAMINATION
- 11 BY MR. LEV:
- 12 Q Judge Warman, good afternoon.
- 13 A Good afternoon.
- 14 Q My name is Stuart Lev. I represent Mr. Breakiron.
- 15 A Nice to meet you, sir.
- 16 Q Judge Warman, were you aware at the time the motion --
- 17 around at the time the motion for arrest of judgment in Ellis
- 18 Price's case?
- 19 A Yes.

- 20 Q Do you know whether Ellis Price had written any letters
- 21 to District Attorney Solomon concerning the Mark Breakiron
- 22 case?
- 23 A No. Not to my knowledge. I don't recall anything in
- 24 that regard.
- 25 Q Were you aware that then Trooper Brownfield had, on

- 1 August 4, gone to speak to Ellis Price? And filed a police
- 2 report concerning the information Ellis Price was offering
- 3 against Mark Breakiron?
- 4 A No.
- 5 Q Sir, who made the decision not to appeal; was it you or
- 6 was it District Attorney Solomon?
- 7 A Well, I wasn't happy. I wasn't happen about this, this
- 8 decision of Judge Franks handed down, but I understand it.
- 9 He should have granted the demurer, probably, but my job was
- 10 to prosecute people.
- 11 I think it is likely that Ellis had -- I think Ellis and
- 12 his brother discussed this. Outside the bar, they went to
- 13 the same side of the vehicle. They didn't get in. They
- 14 didn't -- one of them got in the passenger side, one in the
- 15 driver side, initially. So, I think, you know, it was my
- 16 opinion that there was a conspiracy, but, but our evidence
- 17 was not, our evidence didn't really point to that. I mean,
- 18 that was our position.
- 19 Q I take it that at the time of the prosecution you had a

- 20 good faith belief that Ellis Price was guilty of these crimes
- 21 or you wouldn't have prosecuted him; is that fair?
- 22 A Well, he was charged by the police. My job as the
- 23 prosecutor was to try cases. And I wasn't going to second-
- 24 guess the police. But I knew that in order to convict him
- 25 we would have to try them together because if he was tried on

- 1 his own, he would have never been convicted.
- 2 Q Sir, are you telling me if the police charge someone who

- 3 they thought was innocent that you would, nevertheless,
- 4 prosecute the case?
- 5 A If they thought he was guilty, that would be why they
- 6 charged him. It's -- there may have been cases I tried where
- 7 I thought the case is stupid going to trial, because the
- 8 evidence is insufficient. But the man's charged. That's my
- 9 job, is to try case. That's what I did.
- 10 Q Now, as I understand the facts of the case, by the way,
- 11 did you refresh your recollection as to the facts of this
- 12 case before coming here to testify?
- 13 A Yes, I did.
- 14 Q As I understand it, the facts in the case, there is a
- 15 shooting. The first, first shots were fired outside of the
- 16 bar. And that's when Mr. Richter got hit; is that correct?
- 17 A Mr. Richter was a big -- he was a big man. He was -- he
- 18 had sort of -- he had a big belly on him. He was hefty.
- 19 Yes. They nicknamed him Tiny.

- He had told these people to leave and they did leave.
- 21 And, according to him, and I, I remember distinctly this case
- 22 and I remember talking to him. And he said that it just
- 23 happened. He just happened to think maybe while they were
- 24 out there, since they was disgruntled about getting thrown
- 25 out, maybe they would break into cars, maybe do some damage

outside. So, he just decided to go to the door and check on

- 2 them.
- 3 So, he went out. And there was, apparently, there were
- 4 words that were exchanged, and one of them pulled out a gun,
- 5 and shot him.
- 6 Q The evidence was, the testimony in that trial was, that
- 7 it was Robert Price who pulled out the gun and shot him?
- 8 A But Richter, he wasn't able to identify who shot him.
- 9 It was only the patron of the bar that came outside, too.
- 10 Q And after Mr. Richter was shot, as I understand it, then
- 11 the -- Ellis and Robert Price got into a car?
- 12 A Right.
- 13 Q And Ellis was the driver?
- 14 A It's my recollection.
- 15 Q And then another shot came from within the car towards
- 16 the witness?
- 17 A Blowing out the passenger window of the car.
- 18 Q Am I correct that that was a shotgun blast?
- 19 A No. It was a pistol.

- 20 Q It was a pistol. Second shot was a pistol?
- 21 A Handgun; yes.
- 22 Q And the car drove away?
- 23 A Car drove away.
- 24 Q So, at the time of the shooting, Ellis and Robert were
- 25 in the car?

- 1 A Right.
- 2 Q That second shot in particular --
- 3 A Right.
- 4 Q Ellis was the driver?
- 5 A Right.
- 6 Q And there is a shot fired and they drove away together?
- 7 A Right. The shot went through the passenger side window
- 8 of the vehicle.
- 9 Q Sounds to me like accomplice liability for the assault
- 10 on the second shot? Does it sound that way to you?
- 11 A That's why I tried.
- 12 Q And that would be a reasonable thing that a reasonable
- 13 judge might find; right?
- 14 A Right.
- 15 Q Or a reasonable jury might find?
- 16 A That's what I believed.
- 17 Q That's right. Now, the standard for a motion for arrest
- 18 of judgment in Pennsylvania is, is a very high standard for a
- 19 defendant to meet; right?

- 20 A Well, it's like a motion -- in arrest of, in arrest of
- 21 judgment is like a motion for judgment of acquittal is now.
- 22 So, they make the demurer and that's preserved. Then later
- 23 on -- and he made his motion following the trial. And, so,
- 24 the judge decided that he made a mistake in not granting the
- 25 demurer. So, that's --

- 1 Q And in making that decision, the Judge has to -- it's a
- 2 sufficiency of the evidence claim; right?
- 3 A That's it; right.
- 4 Q The Judge has to take all the evidence and all the
- 5 inferences in favor of the verdict winner, the Commonwealth?
- 6 A Right.
- 7 Q There has to be so little evidence that no reasonable
- 8 juror could possibly convict?
- 9 A That is true.
- 10 Q Don't you think you could have won that issue on appeal?
- 11 A I doubt it, because, because you have to understand that
- 12 the appellate judge, I know this from the ten years, eleven
- 13 years that I have been a judge. They have a tendency to
- 14 follow the local judge, if what was done was appears to be
- 15 reasonable and in accordance with the law.
- They won't, they won't make, they won't make factual
- 17 findings. They wouldn't make a finding probable that the
- 18 facts would justify the conviction once Judge Franks found
- 19 otherwise.

- And, in this case, very, very thin facts holding Ellis
- 21 to this case to begin with? He was there, basically. That's
- 22 all we could prove.
- 23 Q There in the car, driving the car, while the shots came
- 24 from the car?
- 25 A He was there in the bar. He left with his brother. He

- was outside, on the same side of the vehicle as his brother,
- 2 talking.
- When the bouncer went out, and he was there when the
- 4 shots were fired, and he drove the vehicle from the scene.
- 5 That's what we had to prove.
- 6 Q You wrote a brief, your office wrote a brief, in
- 7 opposition to a motion for arrest of judgment?
- 8 A That is my recollection.
- 9 Q So, turning that into a Superior Court brief would not
- 10 have been a very difficult proposition?
- 11 A You have to understand, we never filed appeals. My --
- 12 our office never filed appeals. I think we had one appeal we
- 13 went to the Supreme Court, the United States Supreme Court.
- 14 Only one case. And I think it was because the Superior Court
- 15 had overturned something. It was in a homicide case, but I
- 16 don't recall any time us ever filing an appeal. Initially,
- 17 starting a case, filing an appeal at the Clerk's Office.
- 18 Q Would you agree that the grant of an arrest of judgment
- 19 by a judge, following a jury verdict, after a trial in a

- 20 serious criminal case, is a pretty unusual circumstance?
- 21 A No. I wouldn't agree with that. It depends on the
- 22 facts.
- 23 Q It happens frequently in Fayette County?
- 24 A I wouldn't say it happens frequently, but I wouldn't say
- 25 it was unusual, either.

- 1 MR. LEV: I don't have anything further.
- THE COURT: All right. Mr. Carusone.
- 3 MR. CARUSONE: Just a few.
- 4 REDIRECT EXAMINATION
- 5 BY MR. CARUSONE:
- 6 Q Judge Warman, you tried this case as an assistant
- 7 District Attorney; is that correct?
- 8 A That's right. I probably tried two hundred fifty, three
- 9 hundred cases while I was an assistant.
- 10 Q Your job as an ADA was you file, you try the case?
- 11 Was your job, as an ADA, assistant district attorney,
- 12 you get the file and you try the case; correct?
- 13 A Basically. I was like -- I was what they call the first
- 14 administrative assistant district attorney. I was the person
- 15 who was the most full-time person. I was part-time, but I
- 16 was the most, I was there more than anybody else in the
- 17 office.
- The person that had the job before me didn't try cases.
- 19 He might try just a couple cases, but, but this was an

- 20 administrative job. I took care of approving all the
- 21 informations when they come in. I did a lot of other types
- 22 of work. But when a case -- well, I did a lot of work in the
- 23 office on a daily basis. Trying cases is just another thing
- 24 I did because I liked to do it.
- 25 Q You have the exact numbers with you today of the number

- 1 of appeals your office had filed or the District Attorney has
- 2 filed over the years?
- 3 A I don't have an exact figure, but I cannot remember one
- 4 that we filed that we initiated the appeal on, from filing an
- 5 appeal in the office of our Clerk of Courts of Fayette
- 6 County. We just didn't do it.
- 7 It was -- we had what we considered to be a decent bench
- 8 and very seldom did they do anything that we didn't really
- 9 agree with.
- 10 Q Did you enter into plea bargains with defendants and
- 11 their counsel?
- 12 A That's, unfortunately, that's something you have to do,
- 13 enter into plea bargains, because you can't try all your
- 14 cases.
- 15 Q Did you ever drop charges as part of plea agreements?
- 16 A Certainly.
- 17 Q Judge, you were asked about, in essence, a division of
- 18 authority, I guess, between the police and DA's Office. Did
- 19 the DA's Office file criminal charges when you were there?

- 20 A No.
- 21 Q Who did that?
- 22 A We might, we might have filed through our county
- 23 detective. We filed some. The county detective would file
- 24 charges, as I recall, when there may be contraband brought
- 25 into the jail or a prisoner would attack somebody else in our

- county jail. That's when he would file charges.
- 2 But, other than that, all the charges that were filed

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- would come from either the State Police or local police
- agencies in our county.
- You didn't review the filing of those charges; correct? 5
- I, I read every case that came into that office.
- That came to your office?
- Α Yes.
- Okay. When it came in; correct? O
- When it came in. 10 A
- After the charges were filed? 11 Q
- 12 A Yes. Not before.
- MR. CARUSONE: Nothing further. 13
- THE COURT: All right. 14
- MR. LEV: One more question. 15
- 16 **RECROSS EXAMINATION**
- BY MR. LEV: 17
- Judge Warman, you said you tried two hundred fifty to
- 19 three hundred cases. How many arrest of judgments were

- 20 granted in those cases?
- 21 A I am sure there were a few, but I can't remember how
- 22 many.
- 23 MR. LEV: I have nothing further.
- 24 THE COURT: All right. Thank you, Judge Warman.
- 25 You are excused.

- 1 THE WITNESS: Thank you.
- 2 (Whereupon, the witness was excused from the
- 3 witness stand.)
- 4 THE COURT: Mr. Carusone.
- 5 MR. CARUSONE: Your Honor, Commonwealth calls
- 6 Judge Solomon.
- 7 THE DEPUTY CLERK: Please stand there to be sworn,
- 8 Judge.
- 9 HONORABLE GERALD R. SOLOMON, A WITNESS, having been
- 10 first duly sworn, was examined and testified as follows:
- 11 THE DEPUTY CLERK: Would you please state your
- 12 name, for the record, and spell your last name, sir?
- 13 THE WITNESS: Gerald R. Solomon, S-O-L-O-M-O-N.
- 14 THE DEPUTY CLERK: Thank you, sir. Would you take
- 15 the witness stand?
- 16 DIRECT EXAMINATION
- 17 BY MR. CARUSONE:
- 18 Q Judge Solomon, good afternoon.
- 19 A Good afternoon.

- 20 Q Sir, what is your current employment?
- 21 A I am a Judge in the Court of Common Pleas of Fayette
- 22 County Pennsylvania.
- 23 Q And how long have you been so employed?
- 24 A This is my twentieth year.
- 25 Q Were you previously employed by the District Attorney's

- 1 Office in Fayette County?
- 2 A I was.
- 3 Q In what capacity, sir?
- 4 A Two years as an assistant, first assistant, and then
- 5 District Attorney of Fayette County.
- 6 Q And during what period of time were you the District
- 7 Attorney in Fayette County; do you recall?
- 8 A From October of 1977 until the last day of 1987. Did I
- 9 say '78?
- 10 Q You said '77.
- 11 A '77.
- 12 Q Were you the District Attorney in 1986 when then
- 13 Assistant District Attorney Ralph Warman prosecuted Robert
- 14 and Ellis Price for the attempted homicide of Raymond
- 15 Richter?
- 16 A I was.
- 17 Q Were you the District Attorney in 1978 when Judge Franks
- 18 granted Ellis Price's motion in arrest of judgment?
- 19 A I was.

- 20 Q Did your office appeal that ruling of Judge Franks?
- 21 A We did not.
- 22 Q Did that decision have anything to do with the fact that
- 23 Ellis Price was a witness in the Breakiron case?
- 24 A No.
- 25 Q Was there any agreement not to appeal Judge Franks'

- 1 decision in exchange for Ellis Price's testimony in the
- 2 Breakiron case?
- 3 A No.
- 4 Q Do you recall whether Ellis Price ever asked you
- 5 anything, for anything in exchange for his testimony?
- 6 A I don't recall ever meeting Ellis Price. But he,
- 7 certainly, never asked me for anything.
- 8 Q Do you ever recall receiving any correspondence from
- 9 Ellis Price asking you for anything?
- 10 A No.
- 11 Q Did you ever enter into any express or implied
- 12 agreements with Ellis Price in exchange for his testimony in
- 13 the Breakiron case?
- 14 A No.
- 15 Q In fact, were you District Attorney when the Breakiron
- 16 case was tried?
- 17 A I believe it was tried in my first year on the bench.
- 18 Q 1988?
- 19 A Yes.

- Case 2:00-cv-00300-NBF 20 Q Did you make any promises to Ellis Price whatsoever in
- 21 exchange for his testimony in the Breakiron case?
- I did not. 22 A
- 23 Judge, finally, did you do or say anything that could
- have led Ellis Price to believe that he was going to receive
- some sort of a benefit in the future in exchange for his

- 1 testimony?
- 2 A No.
- 3 MR. CARUSONE: Nothing further.
- 4 THE COURT: All right. Thank you.
- 5 Cross-examine.
- 6 CROSS-EXAMINATION
- 7 BY MR. LEV:
- 8 Q Judge Solomon, good afternoon.
- 9 A Good afternoon.
- 10 Q My name is Stuart Lev. I, with Miss Russell, represent
- 11 Mr. Breakiron in these proceedings.
- 12 Judge Solomon, did you supervise the investigation of
- 13 the Saundra Martin homicide?
- 14 A No.
- 15 Q Who was responsible for supervising and directing that
- 16 investigation?
- 17 A The prosecuting officer. Pennsylvania State Police.
- 18 Q By the prosecuting officer, do you mean the Pennsylvania
- 19 State Police officer? That's the terminology you use in your

- 20 county?
- 21 A Yes.
- 22 Q When -- how did you become aware that Ellis Price was,
- 23 was offering information about Mr. Breakiron?
- 24 A I think I heard about it sometime in the fall of 1987.
- 25 Q Did you ever see the police report that was prepared by

- 1 Trooper Brownfield?
- 2 A I don't recall. I don't recall if I did or did not.
- 3 Q Who would have provided discovery to the defense?
- 4 A Our office would have.
- 5 Q In Mr. Breakiron's case?
- 6 A Our office. District Attorney Office of Fayette County.
- 7 Q Would it have been you or someone else responsible for
- 8 that?
- 9 A Usually, it would have been Ralph Warman, who is now a
- 10 judge on the bench and has testified before me. He was my
- 11 first administrative assistant and he was primarily
- 12 responsible for discovery.
- 13 Q If a police report had come into your office from
- 14 Trooper Brownfield, reporting that, that Ellis Price was
- 15 offering information against Mr. Breakiron, would you have
- 16 been made aware of that in August of 1987?
- 17 A Only if I had been the person in my office who was going
- 18 to be trying the case. Since I was not, it probably -- if it
- 19 did come in, it was never made available to me. I didn't

- 20 review all the discovery that came in.
- 21 Q Who made the decision not to appeal the arrest of
- 22 judgment granted?
- 23 A Ultimate decision was mine.
- 24 Q And why did you make that decision?
- 25 A Based upon, based on Ralph Warman's recounting to me

- 1 what had taken place in the trial and since, since he had
- 2 tried the case, I relied upon, upon his judgment. And I read
- 3 the opinion of Judge Franks. And based upon what, at that
- 4 time, Mr. Warman, now Judge Warman, told me, and on the
- 5 opinion of Judge Franks, I decided not to prosecute the case
- 6 any further.
- 7 Q Would you agree with me that whereafter a shooting
- 8 occurs, and the shooter and another person get into a car,
- 9 and as they're driving away, shots are fired from that car,
- 10 that there is a reasonable argument, a reasonable inference,
- 11 to be drawn that the driver is an accomplice to the shooter?
- MR. CARUSONE: Your Honor, I object to that
- 13 question. First of all, the facts of the attempted homicide
- 14 case are of record and that record would --
- MR. LEV: Judge Warman just testified about the
- 16 facts.
- MR. CARUSONE: That is Judge Warman.
- THE COURT: He prosecuted the case. Those are
- 19 facts of evidence. So, overruled.

- You may answer the question.
- 21 THE WITNESS: I am sorry. Could you please repeat
- 22 the question?
- 23 BY MR. LEV:
- 24 Q If the evidence showed that there was a shooting outside
- 25 a bar, then the shooter and another person is his brother,

- 1 got into a car, the brother in the driver's seat, then
- 2 another shot rang out, came from the car, shattering the
- 3 passenger side window and putting a witness to the first
- 4 shooting in danger, would you agree that there is a
- 5 reasonable inference to be drawn that the driver of the car
- 6 is at least, if not the shooter, an aider, an abettor, an
- 7 accomplice of the shooter?
- 8 A I couldn't agree on that simple statement of the facts.
- 9 I could not agree that a reasonable inference would arise. I
- 10 would have to hear more.
- 11 Q So, if that was the evidence, would you prosecute such a
- 12 case?
- 13 A I don't recall the evidence in the Ellis Price case. If
- 14 what you are telling me is the evidence in his case, I have
- 15 no reason to argue with you. He was prosecuted. If that was
- 16 the evidence he was prosecuted on, I don't know the evidence.
- 17 I didn't try the case.
- 18 Q But let me ask you. On the basis of facts that I told
- 19 you, would you, as a prosecutor, as the chief prosecutor in

- 20 your office, authorize a prosecution on those facts?
- 21 A Probably.
- 22 Q Even though you don't think it's a reasonable inference
- 23 to be drawn that the driver is, is involved in the crime?
- 24 A Well, you said, initiate a prosecution. Did you mean
- 25 investigation?

- 1 Q No. I mean, I mean, go to trial?
- 2 A If the facts were such that it was undetermined which
- 3 one fired the shot, then I would, I would still go forward
- 4 with the prosecution at that point.
- 5 Q Because it's a reasonable inference that somebody's
- 6 either the shooter or an aider and abettor?
- 7 A At that stage of the prosecution, yes.
- 8 Q And having gone forward with the trial, you would defend
- 9 that, take it to the jury, argue vigorously for guilt, and
- 10 defend a verdict if you got it; wouldn't you?
- 11 A If I got a verdict that he was an accomplice, yes.
- 12 Q Well, in Pennsylvania, there are no specific verdicts
- 13 for accomplice liability?
- 14 A No. I'm assuming you are speaking of a responsible
- 15 conspiracy conviction.
- 16 Q I am speaking as liability as an accomplice?
- 17 A If he were a co-conspirator and convicted as such, then
- 18 I would defend that vigorously, if it were overturned; yes.
- 19 Q Now, in Pennsylvania, as I understand the law is, there

- 20 is no separate charge for being an accomplice to a crime;
- 21 correct?
- 22 A If you say so.
- MR. CARUSONE: I would stipulate to that.
- 24 BY MR. LEV:
- 25 Q Okay. And that an accomplice is charged as equally

- 2 crime?
- 3 A Yes.
- 4 Q Is that the law, as you understand it?
- 5 A Law as I understand it; yes.
- 6 Q And when a person is charged, there's no separate jury
- 7 verdict on accomplice liability? They're just charged with
- 8 the crime and can be convicted either as a principal or under
- 9 a theory of accomplice liability; correct?
- 10 A Correct.
- 11 Q You testified that you never received any letters from
- 12 Ellis Price. Is that right?
- 13 A To my knowledge, I never received a letter from Ellis
- 14 Price.
- 15 Q Did you ever receive a letter from James Sullivan?
- 16 A No. I did not.
- 17 Q Did Mr. -- do you know who I mean by James Sullivan?
- 18 A I represented Mr. Sullivan one time.
- 19 Q Did Mr. -- I think he kept a lot of Fayette County

- 20 lawyers in business.
- 21 Did Mr. Sullivan ever make an effort to contact you with
- 22 information about the Mark Breakiron case that you are aware
- 23 of?
- 24 A I'm aware of no attempt by him to contact me. He never
- 25 has contacted me. What he may have done, I have no way of

- 1 knowing.
- 2 Q Do you know if anyone in your office was -- had a letter
- 3 written to them by either Mr. Sullivan or Mr. Price?
- 4 A Not to my knowledge.
- 5 Q Do you know how Trooper -- how the State Police came to
- 6 talk to Mr. Price about information about Mr. Breakiron?
- 7 A I can only tell you what I heard. I don't know of my
- 8 own knowledge.
- 9 Q You had nothing to do with directing them to go speak to
- 10 Mr. Price?
- 11 A No.
- 12 Q And as far as you know, no one in your office did?
- 13 A As far as I know, no one did.
- 14 Q Were you aware in 1986 and 1987 of a criminal
- 15 investigation arising out of an assault and robbery committed
- 16 allegedly by the Price brothers against a man named Vincent
- 17 Steurbutzel?
- 18 A I have no recollection of any such investigation.
- 19 Q Okay. If -- if, if the State -- if the State Police

- 20 were investigating a robbery and an assault, and had
- 21 information as to who the participants were, at what stage
- 22 would -- while you were District Attorney -- would the
- 23 District Attorney's Office be involved?
- 24 A There is two possibilities. The first would be when
- 25 charges were filed.

- 1 The second would be if, for some reason, they wanted
- 2 someone to, to wear a wire or something, they would have to
- 3 get approval through the Courts. They would come to us in
- 4 that way.
- 5 Normally, after charges, they complete their
- 6 investigation, filed charges, then our office would become
- 7 involved.
- 8 Q Would it be unusual for there to be a two- to three-year
- 9 lapse of time before the State Police would institute charges
- 10 in an ongoing investigation?
- MR. CARUSONE: Objection. Calls for speculation on
- 12 the part of this witness who does not work for the police
- 13 department.
- MR. LEV: He was the District Attorney.
- MR. CARUSONE: We've already heard testimony
- 16 between the time police file the charges and the District
- 17 Attorney prosecuted them.
- MR. LEV: I am asking, from his knowledge, would it
- 19 be unusual for there to be this long period of time between.

- 20 MR. CARUSONE: This --
- THE COURT: This witness can testify regarding his
- 22 experience as District Attorney regarding the lapse between
- 23 the date of crime and date of charges filed, but he's not
- 24 going to testify as an expert regarding that issue. But he
- 25 can testify regarding his experience.

- 1 MR. LEV: That's all I'm asking.
- THE COURT: Go ahead, judge.
- 3 A My experience as the District Attorney and also as a
- 4 judge, a number of times crimes are committed but it takes a
- 5 year sometimes to make an arrest because the information is
- 6 not sufficient to make an arrest.
- 7 You mentioned Mr. Sullivan. He's now serving a life
- 8 sentence because of a crime that was committed how many years
- 9 before he was actually arrested. So, it's not unusual. It
- 10 does happen.
- 11 Q Would it be unusual for that to happen if there was no
- 12 new evidence developed during that period of time?
- 13 A Between what period of time?
- 14 Q Between the time of the crime and the time between, the
- 15 time of the crime and the time of the arrest.
- 16 Would it be, would it be unusual if, from the last piece
- 17 of evidence developed by the police, there was more than a
- 18 two-year gap between the investigation and the charge?
- 19 A I don't know that that ever occurred. It may have

- 20 occurred. I have no recollection of that ever occurring.
- 21 Q Do you have any recollection of Ellis Price being a
- 22 suspect or named as a suspect in an assault and robbery while
- 23 during the time that he was incarcerated in 1986 and 1987?
- 24 A No.
- MR. LEV: Thank you, Judge Solomon. I don't have

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- 1 anything further.
- THE WITNESS: You're welcome.
- THE COURT: All right. Thank you.
- 4 Redirect?
- 5 MR. CARUSONE: Yes, Your Honor.
- 6 REDIRECT EXAMINATION
- 7 BY MR. CARUSONE:
- 8 Q Judge Solomon, referring to the Steurbutzel matter that
- 9 you were just questioned about. Did you order the delay in
- 10 the filing of those charges because of Ellis Price's
- 11 cooperation in the Breakiron case?
- 12 A No. I didn't even know about that case.
- 13 Q You were presented with a series of hypothetical facts
- 14 and you were asked to render judgments just based on those
- 15 facts.
- Sir, is it fair to say that you do not have a complete
- 17 command on all of the facts in the prosecution of Ellis Price
- 18 and Robert Price for the attempted homicide case?
- 19 A That would be very fair to say.

- MR. CARUSONE: Nothing further.
- 21 THE COURT: Thank you, Judge Solomon. You are
- 22 excused.
- THE WITNESS: You're welcome. Thank you, Your
- 24 Honor.
- 25 (Whereupon, witness was excused from the witness

1	stand.)
2	MR. LEV: Your Honor, can we take a brief recess?
3	THE COURT: Now is a good time for that. We'll
4	take a fifteen-minute recess, reconvene at 2:55. Let me just
5	see counsel briefly at side-bar.
6	(Whereupon, an off-the-record discussion was had,
7	and the afternoon recess was had.)
8	
9	(Whereupon, the following was had in open Court.)
10	MR. CARUSONE: Commonwealth calls Earl Roberts.
11	THE COURT: Mr. Roberts, will you step to the front
12	to be sworn?
13	THE DEPUTY CLERK: Please raise your right hand?
14	EARL F. ROBERTS, A WITNESS, having been first duly
15	sworn, was examined and testified as follows:
16	THE DEPUTY CLERK: State your name, please?
17	THE WITNESS: Earl F. Roberts.
18	THE DEPUTY CLERK: Take the witness stand, please?
19	DIRECT EXAMINATION

- 20 BY MR. CARUSONE:
- 21 Q Mr. Roberts, good afternoon?
- 22 A Good afternoon.
- 23 Q How are you currently employed, sir?
- 24 A I'm a tipstaff for Judge Liskenin.
- 25 Q And prior to that, how are you employed?

- 1 A Pennsylvania State Police.
- 2 Q How many years did you have with the state police?

- 3 A Twenty-five and a half.
- 4 Q Did you investigate the attempted homicide of Raymond
- 5 Richter?
- 6 A Yes.
- 7 Q Did you have any role in the District Attorney's
- 8 decision not to appeal Judge Franks' decision granting Ellis
- 9 Price's motion in arrest of judgment?
- 10 A No.
- 11 Q Did Ellis Price ever approach you and ask you for
- 12 anything in exchange for his testimony in the Breakiron case?
- 13 A No.
- 14 Q Did you ever enter into any agreements of any kind,
- 15 both express or implied, with Ellis Price that you would give
- 16 him some benefit in exchange for his testimony?
- 17 A No.
- 18 Q Did you ever do or say anything that would have led
- 19 Ellis Price to believe that he was going to receive some sort

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- Case 2:00-cv-00300-NBF Document 168 Filed 07/20 of a benefit in exchange for his testimony against Mark
- 21 Breakiron?
- 22 A No.
- 23 Q Did you ever give him anything of value in exchange for
- 24 his testimony in the Breakiron case?
- 25 A No.

1 Q Ever make any promises to him of anything, any benefit

- 2 whatsoever, in exchange for his testimony?
- 3 A No.
- 4 MR. CARUSONE: Nothing further.
- 5 THE COURT: Thank you, Mr. Carusone.
- 6 Cross-examine.
- 7 MS. RUSSELL: Just one question.
- 8 CROSS-EXAMINATION
- 9 BY MS. RUSSELL:
- 10 Q Did you have any involvement in the investigation of the
- 11 Breakiron case?
- 12 A Yes.
- MS. RUSSELL: Nothing further.
- 14 MR. CARUSONE: Thank you, Your Honor.
- MR. LEV: Excuse me.
- 16 BY MR. LEV:
- 17 Q Mr. Roberts, did you have any involvement in talking
- 18 with Ellis Price regarding his knowledge of the Breakiron
- 19 case?

- 20 A No.
- 21 Q You never talked with Ellis Price at the Fayette County
- 22 Jail?
- 23 A I believe I didn't; no.
- MR. LEV: Nothing further.
- MR. CARUSONE: Thank you.

- 1 THE COURT: All right. You are excused. Thank
- 2 you, Mr. Roberts.
- 3 (Whereupon, the witness was excused from the
- 4 witness stand.)
- 5 MR. CARUSONE: Mr. Lepore.
- 6 THE COURT: Mr. Lepore, will you step to the front,
- 7 please, to be sworn?
- 8 ALPHONSE P. LEPORE, ESQUIRE, A WITNESS, having
- 9 been first duly sworn, was examined and testified as follows:
- THE DEPUTY CLERK: Would state your name and spell
- 11 your name, please?
- 12 THE WITNESS: Alphonse P. Lepore. Alphonse,
- 13 A-L-P-H-O-N-S-E, middle initial P., last name L-E-P-O-R-E.
- 14 THE DEPUTY CLERK: Thank you.
- MR. CARUSONE: Your Honor, by agreement, I am going
- 16 to move for admission of Commonwealth Exhibit D, which is the
- 17 Pennsylvania State Police report into the Vincent Steurbutzel
- 18 case.
- MR. LEV: We agree, Judge.

- THE COURT: D is admitted.
- 21 DIRECT EXAMINATION
- 22 BY MR. CARUSONE:
- 23 Q Good afternoon, Mr. Lepore. How are you currently
- 24 employed?
- 25 A I am a employed, currently, as the deputy chief counsel

- 1 for the Pennsylvania Turnpike Commission.
- 2 Q Are you the former District Attorney of Fayette County?
- 3 A I am.
- 4 Q And what were your years as District Attorney of Fayette
- 5 County?
- 6 A I started about May of '88 and left there, I believe, in
- 7 '90 or late '90, early '91.
- 8 Q Prior to becoming District Attorney, how were you
- 9 employed?
- 10 A I was employed in private practice in the Fayette
- 11 County. For the twelve years prior to becoming the District
- 12 Attorney, I was the Fayette County Defender in various
- 13 positions there.
- 14 Q Mr. Lepore, I want to draw your attention to March 10 of
- 15 1998. Were you contacted by the Pennsylvania State Police
- 16 about extraditing Ellis Price for the aggravated assault for
- 17 the Vince Steurbutzel?
- 18 A Yes.
- 19 Q What was your decision; do you recall?

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- 20 A I do. I recall discussing that with Trooper Nickel. My
- 21 decision at that time that was Ellis Price was in Michigan
- 22 serving a long sentence for another crime. We chose not to
- 23 extradite him.
- 24 Q Who made that decision?
- 25 A I made that decision.

- 1 Q Did that decision have anything to do whatsoever with
- 2 the fact that Ellis Price had previously testified against
- 3 Mark Breakiron?
- 4 A It was in no way related to that fact.
- 5 Q Did you have any connection with the Mark Breakiron
- 6 case?
- 7 A When I was the Public Defender, that case came into our
- 8 office when it happened and it was assigned to another
- 9 attorney to try. Whenever I left the Public Defender's
- 10 Office, I had a brief hiatus before I went into the District
- 11 Attorney's Office and Mark Morrison was the prosecutor on
- 12 that case.
- 13 Q While -- when you became District Attorney, did you take
- 14 any steps to separate yourself from the Breakiron case?
- 15 A During that period when I was out of the Public
- 16 Defender's Office and before I had taken the oath as the
- 17 District Attorney, that case was tried and I made it a point
- 18 not to read anything, not to pay any attention to it, not to
- 19 discuss it with anyone. So, when I began my position as

- 20 District Attorney, I had no knowledge of the trial
- 21 whatsoever.
- 22 Q Did Ellis Price ask you not to extradite him from
- 23 Michigan?
- 24 A No. I never had any discussion with Ellis Price
- 25 whatsoever.

So, you never had any express or implied agreements with

- Ellis Price whatsoever?
- That's correct. 3
- Did you promise anything to Ellis Price?
- No promises were made to anyone. 5
- Did you give him -- did you say or do anything that
- would have led Ellis Price to believe that he was receiving
- some sort of a benefit in exchange for his testimony in the
- Breakiron case?
- No. There was no way he could have construed anything I
- would have said. 11
- MR. CARUSONE: Thank you. Nothing further. 12
- THE COURT: All right. Cross-examine. 13
- 14 **CROSS-EXAMINATION**
- BY MS RUSSELL:
- Good afternoon, Mr. Lepore. How are you? 16 Q
- Good afternoon, Miss Russell. I am well. Thank you. 17
- You mentioned that you were District Attorney from May 18
- 19 of 1988 until May of 1990. Did I get that?

- 20 A I don't recollect the time I left the office. It was
- 21 either 1990 or 1991.
- 22 Q Okay. And before that, did you practice? Were you a
- 23 defense attorney, prosecutor?
- 24 A Before I was?
- 25 Q District attorney?

- 1 A I was in the Public Defender's Office for almost twelve
- 2 years.
- 3 Q So, on a total, how long did you practice criminal law
- 4 in Fayette County?
- 5 A Almost sixteen -- almost sixteen years.
- 6 Q During that time you were practicing law in Fayette
- 7 County, did you ever have a judge grant you an arrest of
- 8 judgment in any of your cases?
- 9 A An arrest of judgment? No.
- 10 Q Did you ever hear about an arrest of judgment being
- 11 granted in a case by a judge in Fayette County?
- 12 A Only from you. I guess the answer to the question you
- 13 want is I don't have any recollection while I was there of
- 14 any judge granting such a motion.
- 15 Q When you made the decision to not extradite Ellis Price
- 16 for the assault and robbery in the Vincent Steurbutzel case,
- 17 did you review the case history or the, the investigative
- 18 report, supplemental report, the crime reports?
- 19 A I would have looked at those before I made that

- 20 decision.
- 21 Q Would you agree that it was a fairly brutal assault and
- 22 robbery by three or four guys? They stole this guy's car,
- 23 they burned it, they beat him up pretty, pretty bad?
- 24 A That's a fair statement of it.
- 25 Q Did you also learn by reviewing this case or would you

1 agree that in 1986, of course, this crime occurred in January

- 2 of 1986, in July of 1986, that one of the defendants Mark
- 3 DeMadio (Spelled phonetically.) identified the other three
- 4 participants, that being Ellis, Kevin, and Robert Price?
- 5 A DeMadio made such a statement; that's correct.
- 6 Q I understand that you weren't involved in the
- 7 prosecution as District Attorney until you came into office
- 8 of 1988. Did you ever make any inquiries to the delay of why
- 9 these three gentlemen weren't prosecuted?
- 10 A I was only concerned with Ellis Price at that point and
- 11 I didn't -- I knew he was in prison in Michigan.
- 12 Q How did you learn that Mr. Price was in prison in
- 13 Michigan?
- 14 A We would have either run an NCIC on him or the officer
- 15 would have brought that with him.
- 16 Q So, you never made any inquiries? You never asked the
- 17 police officer? You never even noted it that there was some
- 18 three-year delay from the time this crime was committed to
- 19 the time that Kevin was brought to trial?

- 20 A Kevin Price was brought to trial and Robert Price pled
- 21 nolo contendere.
- 22 Q And your decision not to prosecute Ellis?
- 23 A Your question is?
- 24 Q Is that, did you ever make any inquiries or did you ever
- 25 note it, talk to the officers about it, or the prosecutors in

1 this case, about why there was no activity on this case from

- 2 the date the crime occurred in 1986 to the date, that of the
- 3 final disposition of the three gentlemen involved?
- 4 MR. CARUSONE: I object. That misrepresents the
- 5 content of the Commonwealth Exhibit D. So, it's
- 6 misrepresenting facts that are in evidence, that there was
- 7 nothing going on between the time of the assault and the time
- 8 of the decision.
- 9 THE COURT: All right. Why don't you rephrase,
- 10 Miss Russell.
- MS. RUSSELL: Okay. Let me rephrase that.
- 12 BY MS. RUSSELL:
- 13 Q There was no activity -- am I mistaken, in fact, that
- 14 there was no activity from the time that Mark DeMadio gave
- 15 his statement identifying Kevin Price, Ellis Price, and
- 16 Robert Price, in July of 1986, until those three were either
- 17 disposed -- their cases were disposed of in 1989?
- MR. CARUSONE: Your Honor, I object. The exhibit
- 19 speaks for itself. And if we want Mr. Lepore to tell us

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- 20 what's in the police report, then he'll have to be presented
- 21 with it, review it. The exhibit actually speaks for itself
- 22 as to what the investigative steps were in the case.
- 23 Cumulative.
- MS. RUSSELL: I'll move on.
- 25 BY MS. RUSSELL:

1 Q Were you aware of, at the time you made your decision

- 2 not to extradite Ellis Price from Michigan for the
- 3 Steurbutzel assault, were you aware that Ellis Price been
- 4 extradited in 1986 for an attempted murder charge?
- 5 A I knew he had been extradited once before.
- 6 Q Would you admit that the charges or do you know the
- 7 charges he was extradited on in 1986?
- 8 A I don't recall what they were.
- 9 MS. RUSSELL: I have nothing further, Your Honor.
- THE COURT: All right. Thank you, Miss Russell.
- MR. CARUSONE: One question, Your Honor.
- 12 REDIRECT EXAMINATION
- 13 BY MR. CARUSONE:
- 14 Q Sir, did you purposely delay final disposition of the
- 15 Steurbutzel matter in any way?
- 16 A No.
- 17 Q Did you have any role or input in the timing of the
- 18 events as it occurred in the Steurbutzel investigation?
- 19 A None whatsoever.

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20	Case 2:00-cv-00300-NBF Document 168 Filed 07/23/2007 MR. CARUSONE: Nothing further.	Page 382 of 442	
21	THE COURT: All right. Thank you, Mr. Lepore.		
22	THE WITNESS: Thank you, Your Honor.		
23	THE COURT: You are excused.		
24	(Whereupon, the witness was excused from the		
25	witness stand.)		

- 6 GREGORY KERPCHAR, A WITNESS, having been first duly
- 7 sworn, was examined and testified as follows:
- 8 THE DEPUTY CLERK: State your name, for the record,
- 9 and spell your last name?
- THE WITNESS: Gregory Kerpchar, K-E-R-P-C-H-A-R.
- MR. CARUSONE: One moment, Your Honor.
- 12 DIRECT EXAMINATION
- 13 BY MR. CARUSONE:
- 14 Q Good afternoon.
- 15 A Good afternoon.
- 16 Q Sir, are you the -- currently retired from the Office of
- 17 Attorney General; correct?
- 18 A That's correct.
- 19 Q How were you employed with the office?

- 20 A As a special agent with the Bureau of Criminal
- 21 Investigation.
- 22 Q I want to draw your attention to March 7, 2005. At that
- 23 time, did you have occasion to interview James Francis
- 24 Sullivan?
- 25 A Yes.

1 Q Do you recall where that interview took place?

- 2 A Took place at the State Correctional Institution at
- 3 Dallas, Pennsylvania.
- 4 Q Did you interview him concerning his affidavit that he
- 5 had filed in support of Mark Breakiron?
- 6 A Yes, I did.
- 7 Q Did you prepare a report of your interview with
- 8 Mr. Sullivan?
- 9 A Yes, I did.
- 10 Q I want to turn to the second page of your report. I
- 11 believe it's page 9 overall of your investigative report. Do
- 12 you have that in front of you?
- 13 A Yes, I do.
- 14 Q Do you recall asking Mr. Sullivan about whether he, or
- 15 Ellis Price, or Clinton Conrad Blair, received any benefit at
- 16 all from the DA's Office?
- 17 A Yes, I did.
- 18 Q Do you recall what he said, if you would look at your
- 19 report?

- 20 A Yes, I do. He said that neither -- excuse me. That
- 21 none of them received any type of consideration in return for
- 22 that.
- 23 Q That would include which folks?
- 24 A That would include the author of the letter, which would
- 25 be Mr. Sullivan, Mr. Ellis Price, Bob Price, and Clinton

- Blair. 1
- Did you also speak with Mr. Sullivan about whether Mark

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- Breakiron ever confessed to him that he had killed Saundra
- Martin?
- Yes, I did. 5 A
- Q Can you tell me what, what he told you?
- Yes, he did. Mr. Sullivan advised me that Mr. Breakiron
- did confess to the killing of Miss Martin.
- And did he add anything else as to why? 9 O
- Yes, he did. Do you want me to read verbatim, quote? 10 A
- 11 Yes. Q
- This is the quote, Mark killed Saundra because she was a 12 A
- common whore and Mark wanted to fuck her and Saundra didn't
- want anything to do with mark, other than being friends.
- Close quote. 15
- 16 MR. CARUSONE: Nothing further.
- 17 **CROSS-EXAMINATION**
- BY MR. LEV:
- Agent Kerpchar, you wrote your report the day after you 19

- 20 spoke with Mr. Sullivan?
- 21 A That's correct.
- 22 Q Did you write your report from notes?
- 23 A That's correct.
- 24 Q Where are your notes?
- 25 A I would have -- the Office of the Attorney General

1 policy at the time would have been that the notes would have

- 2 been destroyed upon the completion of report, as long as the
- 3 report comported to the notes. They would be purged.
- 4 Q So, you're telling me those notes are destroyed?
- 5 A That's correct.
- 6 Q Okay. I take it that Mr. Sullivan never had a chance to
- 7 read your report?
- 8 A No. He did not.
- 9 Q You didn't go back and check the accuracy to see whether
- 10 he would adopt the accuracy of your report; did you?
- 11 A No. I did not.
- 12 Q You didn't take a verbatim interview with him?
- 13 A I attempted to, but the tape recorder malfunctioned.
- 14 Q So, so, your report reflects a summary of what you
- 15 talked about, based on your notes?
- 16 A That's correct, sir.
- 17 Q How long did you talk to Mr. Sullivan for?
- 18 A Approximately, thirty minutes.
- 19 Q Were you involved in taking a statement from Robert

- 20 Price relating to this case?
- 21 A Yes, I was.
- 22 Q And then you went back a couple weeks later, had
- 23 Mr. Price write out a statement?
- 24 A That's correct.
- 25 Q Why did you do that?

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- 1 Well, strike that. You didn't do that with
- Mr. Sullivan?
- No. I did not.
- MR. LEV: I have nothing further. 4
- MR. CARUSONE: Your Honor, just briefly. 5
- 6 REDIRECT EXAMINATION
- BY MR. CARUSONE:
- Is your report accurate?
- Yes, it is. 9 A
- And I notice that language -- that you have certain 10 Q
- language in quotes, Mark killed Saundra because she was --
- 12 that that language is actually in quotes?
- That's correct. 13 A
- What is the significance of the quote? 14 Q
- Quote would be a verbatim statement attributed to 15 A
- 16 Mr. Sullivan.
- 17 MR. CARUSONE: Nothing further.
- MR. LEV: Nothing. 18
- THE COURT: All right. Thank you, Special Agent 19

- 20 Kerpchar. You may step down.
- 21 THE WITNESS: Thank you, Your Honor.
- (Whereupon, the witness was excused from the
- 23 witness stand.)
- MR. CARUSONE: No further evidence, Your Honor.
- 25 (Whereupon, Defendants rest.)

- 1 MR. LEV: Nor from us.
- 2 THE COURT: All right. First, let me commend
- 3 counsel for the efficient presentation of testimony today. I

- 4 appreciate the preparation that you put into this because we
- 5 covered a tremendous amount of ground.
- 6 I think I would benefit from hearing some argument
- 7 from you to synthesize the evidence that we heard today. I'm
- 8 not going to make any rulings today, but I would appreciate a
- 9 synthesis, then I think what we ought to do is get detailed
- 10 proposed findings of fact and conclusions of law within
- 11 thirty days of the --
- MR. LEV: Of the transcript?
- 13 THE COURT: Of the transcript.
- My secretary advises me by e-mail that I just
- 15 received motion for temporary restraining order. So, I think
- 16 what we ought to do is let me give you a chance to take a
- 17 break. It's been a grueling day for you. Why don't you take
- 18 a break, gather your thoughts, let me deal with this other
- 19 matter, and then have you come back in about fifteen minutes

THE COURT: Okay. Let's go back on the record.

2 If counsel could just give me ten minutes each

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- 3 side, a synthesis, to help me continue to think about this
- 4 while we're awaiting preparation of the transcript and then
- 5 your written proposed findings. That would be helpful.
- 6 Mr. Lev.
- 7 MR. LEV: Okay. Thank you, Your Honor.
- 8 I think underlying the claims here are a number of
- 9 factual questions that, that we addressed during the hearing
- 10 today. And let me address some of those in my view of those.
- 11 And I think that these are some of the important questions
- 12 that you are going to need to think about and answer in
- 13 resolving these issues.
- 14 The first question is were letters written? Did
- 15 Ellis Price write a letter or letters to the District
- 16 Attorney's Office? And I think we showed today the answer to
- 17 that question is yes, that Mr. Sullivan testified to that.
- 18 And I understand that, that one looking at Mr. Sullivan, on
- 19 his face, would have questions about his credibility. But I

- 20 think it was supported by other evidence.
- THE COURT: It seems to me there were two letters
- 22 written. Ellis Price himself testified that he signed a
- 23 letter prepared by Jim Sullivan and then Ellis Price
- 24 testified that he himself, apparently, on his own initiative,
- 25 wrote a later letter.

MR. LEV: We know at least the letter that was

- 2 signed by, signed with Sullivan was asking for some kinds of
- 3 benefit in exchange for their testimony. Sullivan testified
- 4 to that.
- 5 Miller, who wasn't a part of it, who was, I think
- 6 Miller is an important witness here, because Miller stayed
- 7 away from them. He said, I don't want to be involved in
- 8 this. I don't want any part of this business. But he saw
- 9 what they were doing.
- And I also think that it makes, and that it makes
- 11 common sense. Sullivan's not the kind of guy, I think, that
- 12 is going to be writing letters to the District Attorney
- 13 offering, out of the goodness of his heart, to give
- 14 information. If there is a letter from Sullivan and Price,
- 15 it's a letter looking for benefits. And I think that makes
- 16 sense.
- 17 I think that's, that's common sense, is that
- 18 criminals, people in jail, and these are people all of whom
- 19 are people with serious and long criminal histories who have

Case 2:00-cv-00300-NBF Document 168 Filed 07/23/2007 Page 398 of 442 20 been through the system, who know how it works, who have

- 21 serious charges pending, and have been in serious convictions
- 22 before, and aren't volunteering out of the goodness of their
- 23 heart to give information that Ellis Price, who, who stabbed
- 24 somebody in a robbery in Michigan, isn't likely to be giving
- 25 information just because he was offended by the homicide.

- 1 What's likely, what makes more sense, is he is
- 2 looking at least at first to give information. He gets into
- 3 this because he's hoping for some kind of benefit for himself
- 4 or for his brother.
- 5 So, were the, were the letters written? I think
- 6 the answer is yes. Was Ellis looking for help? I think the
- 7 answer is yes. I think another way we know that somehow, and
- 8 I mentioned this at side-bar before, the question that's
- 9 unanswered on this record is how did Trooper Brownfield come
- 10 to Ellis Price? Where did that information come from? The
- 11 DAs say they never got it. Brownfield says, I don't know.
- 12 I was just told to go there.
- You know, but somehow we know these letters were
- 14 written. We know somehow Brownfield was told to go and talk
- 15 to Price. So, there's something there. There's something
- 16 there that, that's being -- that's not been disclosed.
- 17 Ellis Price also told us that he talked to several
- 18 officers and that he signed a second statement, a signed
- 19 statement that was type-written and brought back to him, that

- 20 he signed. That's another statement that's never been
- 21 disclosed. That no one has ever taken responsibility for.
- The prosecutors have never admitted having that
- 23 there is no State Police trooper who ever said he took such a
- 24 letter and no, no such statement from Ellis Price that was
- 25 ever turned over to the defense. So, so there's another open

- question about what's going on here, you know.
- 2 Where's -- what's the deal with the sentence. We

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- talked about this a little bit and, clearly, this is going to
- have to be something I need to research more and brief for
- you. Are the letters themselves Brady material. I think
- that they are because I think that letters show that a
- motivation for a witness to, to come forward with purported
- evidence, that they're looking for a benefit, that that
- motivation goes, can be used to impeach the credibility of
- their testimony, particularly, when they testify at trial
- that their only motivation was because they were offended by 11
- the crime and that they had no other motivation in coming
- forward to the police or provide -- for providing that
- testimony. 14
- And, so, I think those letters are legitimate 15
- impeachment material. I think that if a defense lawyer had
- them and used them, that, Your Honor, if you were hearing 17
- that trial, would allow that questioning of the witness about
- their motivation for coming forward. And I think that makes

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- 20 it exculpatory evidence that should have been disclosed under
- 21 Brady.
- THE COURT: Let me just cut you off there for a
- 23 minute. I know what I am about to ask you to assume is
- 24 anthema to you. Suspend your disbelief.
- MR. LEV: I have had judges do that to me before.

- 1 THE COURT: Assume for the minute that anthema.
- 2 That there was ample evidence of Mr. Breakiron's intent and
- 3 no real evidence of diminished capacity, separate and apart
- 4 from whatever Ellis Price testified to. In other words,
- 5 Ellis Price wasn't, quote, icing on the cake. Ellis Price
- 6 was a side show that wasn't material to the jury's decision.
- 7 But then what --
- 8 MR. LEV: Well, let me, let me answer that by
- 9 disagreeing with you, in part. I would say -- and I was
- 10 going to say that Brady, of course, has two components.
- 11 There is, there's the failure to disclose exculpatory
- 12 material and then there is the materiality standard. And in
- 13 order for there to be a constitutional violation, not only
- 14 does there have to be a failure to disclose, the evidence
- 15 that's not disclosed has to be material.
- 16 Certainly, the question you are asking me goes to
- 17 the materiality. And if, if you were to review this record
- 18 and find that there was overwhelming evidence of Breakiron's
- 19 intent and little evidence of his intoxication, and as an

- 20 aside, I would say to you, I would remind you, Judge
- 21 Hardiman, that our first claim in the habeas petition is that
- 22 defense counsel was ineffective because they botched the
- 23 investigation into the intoxication, diminished capacity
- 24 defense by failing to do an adequate investigation by failing
- 25 to use expert testimony that would have bolstered Breakiron's

- 1 claim. The intoxication defense was presented solely with
- 2 himself, with no corroborating supporting expert testimony.
- 3 But that's an aside. We'll put that aside.
- 4 THE COURT: Let me just ask you one other question.
- 5 You've said or posed the question, were letters
- 6 written. It seems to me the answer to that is, yes. It
- 7 seems to me two letters were written. Was Ellis Price
- 8 looking for help? Not so sure. Maybe yes. Maybe no.
- 9 The next question seems to me is, did Ellis Price
- 10 actually receive consideration. And the answer seems to me
- 11 to be, no. Fairly overwhelmingly, from what we heard today.
- 12 You take issue with that.
- MR. LEV: If you, if you accept that testimony at
- 14 face value. My, our position on there, and I understand what
- 15 the evidence is, there is a series of circumstantial
- 16 evidence. Does that create an inference? We know good
- 17 things happened to Ellis Price. Now, whether they would have
- 18 happened without his cooperation, who knows.
- But having that arrest of judgment granted, and although

- 20 Judge Warman said it's done fairly often, that is not my
- 21 experience. And I don't know if that's true, but Mr. Lepore
- 22 said, in all his years of practicing law and being the Public
- 23 Defender for twelve years, he's never seen an arrest of
- 24 judgment granted in Fayette County before. Strikes me as the
- 25 more likely scenario of how unusual that is.

1 And then, for the District Attorney's Office not to

- 2 appeal it. The one thing I thought to me was fairly clear,
- 3 is, this was a very appealable issue.
- 4 THE COURT: But if they had a habit of not
- 5 appealing these things, they're busy, defending appeals
- 6 raised by disappointed defendants. So, that seemed to me to
- 7 be credible. I agree with you to the extent that there was
- 8 --
- 9 MR. LEV: I don't want --
- THE COURT: To the extent there was a suggestion
- 11 that arrest of judgment is a common occurrence, no. I think
- 12 they may not be exceedingly rare. But, but that seems to me
- 13 to beg the question, because the Judge that issued the arrest
- 14 of judgment can't speak. He's decreased; correct?
- 15 MR. LEV: Correct.
- 16 THE COURT: So, the reason I said earlier that it
- 17 seems to me clear that Ellis Price did not, in fact, receive
- 18 consideration in exchange for his testimony in the Breakiron
- 19 case is that I would have to find that a whole series of

- 20 witnesses I heard today, including two sitting judges, former
- 21 District Attorneys, are all in some grand conspiracy in lying
- 22 on that issue.
- So, I don't deny the fact that you have some
- 24 temporal circumstantial evidence that raises a question mark
- 25 regarding the issue, but in weighing the scales of that

- 1 circumstantial evidence I have a mountain of direct
- 2 testimonial evidence to the contrary.
- 3 MR. LEV: And I understand that. And that is
- 4 something you are going to decide for yourself. And I could
- 5 talk from here to eternity, but you are still going to make
- 6 the decision of what you believe and what you found credible.
- And, so, we can continue this discussion with the
- 8 assumption that, that your likely finding is that there was
- 9 no actual deal and, no, no quid pro quo benefits given. And
- 10 I think that even with that we still have viable claims.
- And let me go back to Ellis Price. Ellis Price
- 12 testified that Breakiron told him he was sitting, that he was
- 13 at the bar that night, drinking. And that as, as most people
- 14 had left, there were just a few people left. Breakiron told
- 15 Price, according to Price, that he went into the bathroom and
- 16 hid and waited until everybody else left and then came out.
- 17 That is evidence of planning and premeditation and
- 18 intent that doesn't come from anything else. There is
- 19 nothing else that even supports it. Everything else is much

- 20 more consistent with all these events leading up to the
- 21 killing with a kind of unplanned thing.
- Breakiron is in the bar for hours, drinking. He's
- 23 talking to people in the bar who he knows not making any
- 24 attempt to hide himself, hide his identity, hide his presence
- 25 there. His truck, which is a beat-up truck, very

1 recognizable with out of state plates, is in the parking lot.

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- 2 And people know that it was the last truck in the parking
- 3 lot.
- 4 So, this is not the kind of thing where you could
- 5 look at what happened and say, this was a planned robbery.
- 6 This was something that happened. Something horrible that
- 7 happened, but something that happened in the bar that night
- 8 while he was drinking.
- 9 Ellis Price's testimony was really, was very
- 10 important because of that element of premeditation and
- 11 planning of going into the bathroom and hiding. That comes
- 12 from nothing else.
- All the other elements of intent and of intent to
- 14 kill come from the nature of the crime itself. The brutality
- 15 of the beating and the establishing. And not from and from
- 16 marks actions afterwards of trying to cover up and hide it.
- 17 And that's very different evidence than the kind of evidence
- 18 that Ellis Price gave that's why I think Ellis Price and his
- 19 motivations and the truthfulness offer his testimony was

- 20 very, very material to this case.
- There's one other element I think that I want, that
- 22 I want to discuss with you a little bit and that that's the
- 23 Steurbutzel information, which, which the Steurbutzel police
- 24 reports, and I think you got the gist of this. The
- 25 Steurbutzel incident was a crime that occurred in January of

- 1 '86. And a few months later, I don't remember if it was June
- 2 or July, a guy named Mark DeMadio admitted his participation.
- 3 It was a brutal crime. Guy who was beat up twice, actually.
- 4 Beat very badly. Seriously injured. Car stolen, later
- 5 found, burned. Money stolen. Clothes stolen. Mark DeMadio,
- 6 a few months later, admits his participation in the crime.
- 7 Names three of the Price brothers, Ellis, Robert, and I
- 8 forget who the third one --
- 9 THE COURT: Kevin.
- MR. LEV: That's right. Ellis, Robert, and Kevin.
- 11 Then, no charges are brought at that time. Nothing is done
- 12 with that case. And, at the time of this trial, at the time
- 13 Ellis Price testified against Breakiron, at the time of
- 14 Breakiron's trial, there is an open criminal investigation
- 15 with Ellis Price named as a suspect in a serious robbery and
- 16 assault that could cost Ellis Price a lot of time if they
- 17 were prosecuted and convicted of that case.
- Now, the District Attorneys, the prosecutors who
- 19 testified before us today, said they didn't know anything

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- 20 about it. And let's take that at face value, for now. The
- 21 police did. And under Brady, under Kyles v. Whitley, the
- 22 prosecutors are charged with the knowledge that law
- 23 enforcement has. And so, the fact that law enforcement has
- 24 an open investigation against Ellis Price, on serious
- 25 charges, is information that could be used to question Price

- 1 to impeach his bias, his motivation to testify favorably to
- 2 the Commonwealth, to impeach his testimony, that he is only
- 3 there out of his own good will, that, to me, even now,
- 4 putting aside the question of whether there is a deal or not,
- 5 that to me is clearly Brady material that there is an
- 6 obligation to disclose, whether the individual District
- 7 Attorney trying the case knew of it or not, because the
- 8 police had knowledge of it and the same police, State Police,
- 9 same police are prosecuting, the prosecuting authority,
- 10 started off the Breakiron thing.
- And, so, now, when we're talking about materiality,
- 12 we're looking at information that could have been presented
- 13 to the jury with Ellis Price. Now, we're looking at, at his
- 14 motivation, looking for some kind of benefit for himself and
- 15 for his brother. We're looking at these outstanding
- 16 investigations that have not been charged, even though the
- 17 evidence is there, the investigation is there. Charges have
- 18 never been brought against them.
- We're looking at this decision not to appeal. For

- 20 whatever that's worth, there's evidence, impeaching evidence
- 21 that could go to the jury that could shed doubt on Ellis
- 22 Price's credibility. And if you take Ellis Price out of the
- 23 picture at trial, then the question of intent to kill here
- 24 and whether it's a first, second, or third degree murder,
- 25 that's all we're talking about, the question of intent

1 becomes a much different question. It's a question that

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- 2 reasonable jurors could have a doubt about. And that's all
- 3 Breakiron iron has to show; right?
- 4 It's the Commonwealth's burden to prove intent to
- 5 kill, the premeditated, deliberate, and specific intent to
- 6 kill beyond a reasonable doubt. There is, there is
- 7 sufficient evidence, there's evidence from which a jury could
- 8 have a reasonable doubt as to whether Breakiron harbored that
- 9 intent. It could still be second degree murder. It could
- 10 still be a commission in the commission of a felony for the
- 11 robbery. If they disbelieve Breakiron's testimony about the
- 12 robbery, it could be a third degree murder. And it would
- 13 still be a homicide, but a marked difference. That's what we
- 14 are talking about here, Judge. We are talking about the
- 15 death penalty in this case. We're talking the possibility of
- 16 death versus life imprisonment. That's where Ellis Price's
- 17 testimony goes to. That's why, in our view, it's so
- 18 important to it.
- Now, I know I am running on fast on the Steurbutzel

- Case 2:00-cv-00300-NBF Document 168 Filed 07/23/2007 Page 418 of 442 20 stuff that has not been formally pled, even though it was the
- 21 subject of this hearing, because that information was brought
- 21 subject of this hearing, because that information was brought
- 22 out during the discovery process that Judge Standish ordered,
- 23 and then you took over and supervised, and then was the
- 24 subject of the hearing.
- So, for your benefit, it is our intention, probably

- 1 at the same time we do findings of fact and conclusions of
- 2 law, we'll likely file a motion to amend our claim to include
- 3 that information and that allegation as part of our Brady
- 4 claim.
- 5 THE COURT: All right. Thank you very much,
- 6 Mr. Lev.
- 7 MR. LEV: Thank you, Your Honor.
- 8 THE COURT: Mr. Carusone.
- 9 MR. CARUSONE: Your Honor, thank you.
- First of all, intent to kill can be formed in an
- 11 instant. That is the law in Pennsylvania. I think that's
- 12 consistent with federal law. Can be formed in an instant.
- Does it require extensive planning, premeditation?
- 14 When you look at the facts in this case, as evidenced by the
- 15 trial record, you'll see that Mark Breakiron -- Saundra
- 16 Martin -- stabbed Saundra Martin twenty times. Slashed her
- 17 in the neck, bashed her in the head, stripped her down naked,
- 18 hid her in the woods. These are all facts which show a
- 19 person who is deliberate in their actions and who can form

- 20 and as a capacity to form specific intent to kill. Not a
- 21 person who's in some drunken, mental rage. Doesn't know
- 22 what he is doing. He knew exactly what he was doing. He
- 23 knew it because he tried to cover it up.
- So, this idea that Ellis Price's testimony was the
- 25 lynch pin to the jury's finding of specific intent to kill

- 1 doesn't make sense when you look at the overwhelming evidence
- 2 of specific intent to kill that existed in this case.
- 3 Your Honor, there was some discussion by counsel of
- 4 the Steurbutzel case and, still, some argument before the
- 5 Court that somehow there was some kind of a deal in that
- 6 case. And that the police and that the prosecutors, that,
- 7 really, it wasn't, it wasn't because of what Alphonse Lepore
- 8 said, that there had to be some other evil motive behind the
- 9 decision not to extradite Ellis Price. And just from
- 10 experience in a county DA's office, especially one that's as
- 11 small as Fayette County, it's an expensive proposition. You
- 12 don't extradite everybody.
- You had the decision-makers. One of the keys that
- 14 I wanted to present to the Court today, which is why I called
- 15 so many people, is I wanted to bring the decision-makers to
- 16 you so that when you are presented with theories and
- 17 suspicious, timing, you hear from the decision-makers own
- 18 mouth why they did what they did, why didn't they file an
- 19 attempt to homicide case, why didn't they extradite Ellis

- 20 Price.
- You heard from the decision-makers. I gave you
- 22 direct testimony. They've given you theories about why
- 23 things were the way they were.
- There was mention of Kyles v. Whitley and I believe
- 25 that that case, I am pretty sure that case was decided after

- the trial in this case. And, in fact, there was a series of
- Pennsylvania decisions following Kyles versus Whitley, which

- in Pennsylvania which still were going under the old rule.
- Old rule, of course, that the DA's Office was only
- responsible for disclosing discovery, that which was in its
- possession and control.
- 7 And, when I was in the DA's office, that was the
- rule. Then the law changed somewhat after Kyles, but that
- change came very slow in Pennsylvania.
- 10 So, to say now, in 2007, that we're going to apply
- the law of 2007 as it exists, when it comes to obligations
- for discovery extending to police agencies, and then turn
- back and say, okay, that applies to a trial which occurred in
- 1988, that's not consistent with federal law. And I would
- ask you to consider that argument.
- 16 The Court went through a series of factual
- questions and gave its opinion on how you think you come out
- on it. I don't know that I'll revisit that. The legal
- 19 question is, is, are letters -- let's assume for argument

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- 20 that letters were written and that Ellis Price signed the
- 21 letter which -- in which he requested some benefit; okay?
- 22 And I dispute that, but let's say for the moment that's true.
- THE COURT: Let me cut you off for a moment. Do
- 24 you dispute the content of the letters or the fact that the
- 25 letters were written, because Ellis Price was your witness

and seemed to me he himself testified that he signed a letter

- that was presented to him by James Sullivan and he himself
- authored a second letter regarding his desire to, quote, do
- the right thing and provide helpful information in the
- Breakiron trial. 5
- 6 MR. CARUSONE: I am sticking with Ellis Price's
- testimony. He gave you his testimony. I think the question
- is, assume for the moment that, that Ellis Price's letter,
- letter or letters, the one he signed off on and the one he
- wrote, let's assume for the second that he requested some
- benefit in exchange for his testimony. Is that, in and of
- itself, favorable to the defense? Is that, in and of itself,
- 13 Brady material?
- THE COURT: You are going to argue that it's not 14
- Brady. 15
- 16 MR. CARUSONE: It's absolutely not Brady material,
- especially in the absence of any reciprocation on behalf of
- the Commonwealth. Any hint, forget --
- 19 THE COURT: Cuts both ways. If I ask for help, I

- 20 don't get any. I have Mr. Morrison telling me there is
- 21 nothing I can do for you at the present. Then that might, to
- 22 a reasonable juror, make that juror think that he's got an ax
- 23 to grind with the government at that point.
- MR. CARUSONE: Yes. Maybe is more credible,
- 25 because I think, you know, he's, he's been told specifically

- 1 there is nothing we can do to help you and, yet, still comes
- 2 forward and testifies.
- One of the things that's not clear from the
- 4 testimony today, will be clear when you look at all the
- 5 documents, is that at the time that Ellis Price spoke with
- 6 Trooper Brownfield, the decision had already been made,
- 7 motion for arrest of judgment had already been granted.
- 8 Certainly, at the time that he testified, Ellis
- 9 Price knew that that decision had been, had been granted.
- 10 And, yet, he still came. Yet, he still followed through on
- 11 this and still testified.
- 12 THE COURT: I'm with you on that, but there's
- 13 something incongruous about the Petitioner's theory in the
- 14 sense that the theory seems to claim that the DA's Office
- 15 gave Mr. Price this benefit and then relied on his good faith
- 16 to do his part of the deal after the county's, the county's
- 17 right to appeal from the arrest of judgment had already
- 18 expired. That seems to me to be unprecedented, in my
- 19 experience, when you have a cooperating witness. You make

- 20 sure you secure the cooperation before the state does its
- 21 part of the bargain.
- In other words, the cooperating witness always has
- 23 to go first. But it seems to me the petitioner's theory here
- 24 is the inverse of that.
- MR. CARUSONE: I understand, and I agree with you,

- Your Honor. So, if we get down to a claim of, if we get
- something, I think there is no -- there was no deal. There
- was nothing the Commonwealth did to, to encourage Ellis Price

- to say that he was going to get some type of a benefit that
- he would lead him astray. No hints.
- 6 THE COURT: He was doing it for his brother.
- 7 MR. CARUSONE: Hum?
- THE COURT: What if he was doing it for his 8
- brother?
- MR. CARUSONE: Testifying for his brother. 10
- 11 THE COURT: No. What if he -- it seems to me clear
- that Ellis Price already had his arrest of judgment. The
- 13 time for appeal of that had already expired.
- 14 But what if he was giving testimony in the
- Breakiron case consistent with what his brother Robert
- testified to, namely, I'm going to try to help you out. Get
- you five years instead of ten?
- 18 MR. CARUSONE: If that was, if that was his hidden
- motivation and there was some reciprocation by the

- 20 Commonwealth on that, let's say they had an agreement to that
- 21 effect. And that was not disclosed. I would admit that
- 22 would be favorable information.
- Now, would it have been a Brady violation not to
- 24 disclose it? I don't think, because I don't think they're
- 25 going to be able to establish prejudice. But I think the

- 1 fact that, in your hypothetical, it's not hypothetical. In
- 2 your theory here, if the benefit was to go to someone other
- 3 than Ellis Price, would that change the Brady analysis? I
- 4 don't think it would.
- 5 THE COURT: Well, isn't that something that I want
- 6 to know as a defense counsel?
- 7 MR. CARUSONE: Yes.
- 8 THE COURT: I mean, if I'm defense counsel,
- 9 cross-examining Mr. Price, I want to be able to try to show
- 10 the jury that he's spinning some yarn here to try to help his
- 11 brother out.
- MR. CARUSONE: Well, it would be worth something if
- 13 there was some reciprocation on behalf of the government that
- 14 there was, in fact, some type of agreement.
- But, here, you have no evidence of that. I mean,
- 16 none. Not even from their side is there any evidence that
- 17 the government reciprocated in any of these requests that
- 18 they say have occurred. Regardless of whether it happened
- 19 to the brother, in the end, it's complete absence.

- Case 2:00-cv-00300-NBF Document 168 Filed 07/23/2007 20 THE COURT: There's some evidence that Robert told
- -- Robert hoped he was going to help him out. I think there
- 22 is no evidence that Ellis actually communicated that to
- anyone at the county DA's Office or any of the investigators.
- 24 MR. CARUSONE: Even if he did, even if you accept
- the premise that the letter went out, actually, was received

by the DA's Office? Although everybody says they never got

- 2 it.
- 3 I think the more important question is, what was
- 4 their reaction to that? You know? They, obviously, didn't
- 5 accept such a deal. They didn't make any type of an
- 6 agreement. More importantly, there wasn't anyone with even a
- 7 wink or a nod, okay, we'll take care of you after. When we
- 8 talk at the trial, we are going to give you some type of a
- 9 benefit. There was nothing. There was no evidence at all to
- 10 that effect.
- 11 THE COURT: And brother Robert did his time.
- MR. CARUSONE: And brother Robert did his time.
- Ellis Price did a whole chunk of time in Michigan.
- 14 Did a lot of time. So, there is no, no benefit here to Ellis
- 15 Price.
- And, for those reasons, I would, I would ask you to
- 17 rule in our favor, Your Honor.
- THE COURT: All right. I, again, I want to commend
- 19 counsel for the expert presentation. Obviously, a case, a

- 20 case of great importance to both sides.
- And I am going to ask the court reporter to prepare
- 22 the transcript at the joint expense of parties. And I will
- 23 give you thirty days from the date the transcript issues to
- 24 submit paragraph-by-paragraph proposed findings of fact.
- I am not sure conclusions of law make sense because

- 1 what I really need is a complete brief on all the issues, not
- 2 just legal issues that were raised during this hearing. And
- 3 I think we've already talked that I'm more than happy to have
- 4 you cut and paste work that you had already done previously,
- 5 which is substantial.
- But, it's very important to me in rendering a
- 7 proper decision that, that you update what had previously
- 8 been submitted in 2002 because there has been a lot of water
- 9 under the bridge since 2002.
- MR. CARUSONE: Your Honor, just with the findings
- 11 of fact, I just want to make sure I give you what you are
- 12 looking for. I mean, it's my understanding that I bear the
- 13 burden of proof here. I would like to make a number of
- 14 arguments, they haven't met their burden of proof, they
- 15 haven't -- is there going to be room for me to do that?
- 16 THE COURT: Well, if you want to add some
- 17 argumentation, either as a prelude or at the end of your
- 18 findings? But, basically, what I want is paragraph-by-
- 19 paragraph findings. For example, on such and such a date or

- 20 on or about such and such a date, James Sullivan authored a
- 21 letter that was signed by Ellis Price and Blair and was sent
- 22 to so and so. That would be presumably a proposed finding
- 23 for the defense.
- MR. CARUSONE: So, those that are favorable to me.
- 25 THE COURT: Yes. Yes. I want your competing -- I

want you to read the transcript, look at the documents,

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- 2 submit to me your competing versions of what the facts are.
- 3 And I'm quite certain they'll agree with some of both sides.
- 4 So, the question I have regarding the briefing is,
- 5 does it make sense to submit briefs at the same time or is it
- 6 more appropriate for the petitioner to submit first, then the
- 7 Commonwealth to respond?
- 8 MR. CARUSONE: I would like the latter, if it's
- 9 okay with you. Gives me an opportunity to meet their
- 10 argument one at a time. If we do it simultaneous, we may be
- 11 ships in the night missing each other's points.
- MR. LEV: I just want to separate out the proposed
- 13 findings from the briefings for this purpose. That although
- 14 I understand your feelings and I'm happy to oblige you, the
- 15 job of redoing and updating all of the claims involved in
- 16 case is going to be a far more time-consuming process and
- 17 time-taking process than, than providing you with proposed
- 18 findings of facts.
- 19 THE COURT: Well, I understand that. I don't want

- 20 to micro-manage that process. So, why don't we do this.
- 21 We'll stick with the thirty days from the date of the
- 22 transcript. I think that's important, because we, the
- 23 farther we get away from today, the more we're all going to
- 24 have our memories adversely affect the process.
- So, we'll say thirty days from transcript for the

- 1 proposed findings. I'll ask you counsel to confer about an
- 2 appropriate briefing schedule, dates, who goes first, who
- 3 goes second. Obviously, page limitations aren't in issue
- 4 here.
- 5 So, I'll ask you to submit that to me. If you can
- 6 consent to that, well, just file it of record and put it on
- 7 the docket. You don't need to do that now, unless you want
- 8 to, you want to do that now.
- 9 MR. LEV: I would rather if we continue.
- THE COURT: Do that at your convenience next week.
- MR. LEV: But I do have one, one question about
- 12 that, Judge. Judge Standish previously issued an opinion
- 13 rejecting all of the procedural defenses of non-exhaustion
- 14 and default that had been raised by the Commonwealth in
- 15 ordering that the issues be addressed on their merits and
- 16 then discovery moved ahead from that.
- 17 THE COURT: That is the law of the case; right.
- MR. LEV: That's what I think. Regardless of
- 19 whether I agree with what he did, that's the law of the case.

- 20 So, there is no need for us to re-brief or reconsider the
- 21 procedural issues that Judge Standish issued?
- MR. CARUSONE: Only thing is the Court has not
- 23 ruled, though, on the procedural arguments that we've made in
- 24 response.
- MR. LEV: I agree with that. I am leaving that

1	part.					
2	MR. CARUSONE: Yes.					
3	MR. LEV: But I am talking about all the other.					
4	MR. CARUSONE: Right; okay.					
5	MR. LEV: So we don't have to address those.					
6	THE COURT: Correct. All right. Thank you for all					
7	your hard work.					
8	MR. LEV: Thank you, Your Honor.					
9	MR. CARUSONE: Thank you, Your Honor.					
10	THE DEPUTY CLERK: All rise. This Honorable Court					
11	1 stands adjourned.					
12						
13	(Whereupon, the hearing was adjourned at 4:35 p.m.					
14	on the second day of February, 2007.)					
15						
16	CERTIFICATE					
17	I certify by my original signature herein that					
18	the foregoing is a correct transcript from the record of					

19 proceedings in the above-entitled matter.

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22	Sandra Wenger, Official Court Reporter			
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